



# Dispositivos de comunicación interatrial indicaciones y resultados

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# Objectives

**Physiopathology interatrial shunts**

**Different interatrial shunts devices**

**Selection of patients for HF**

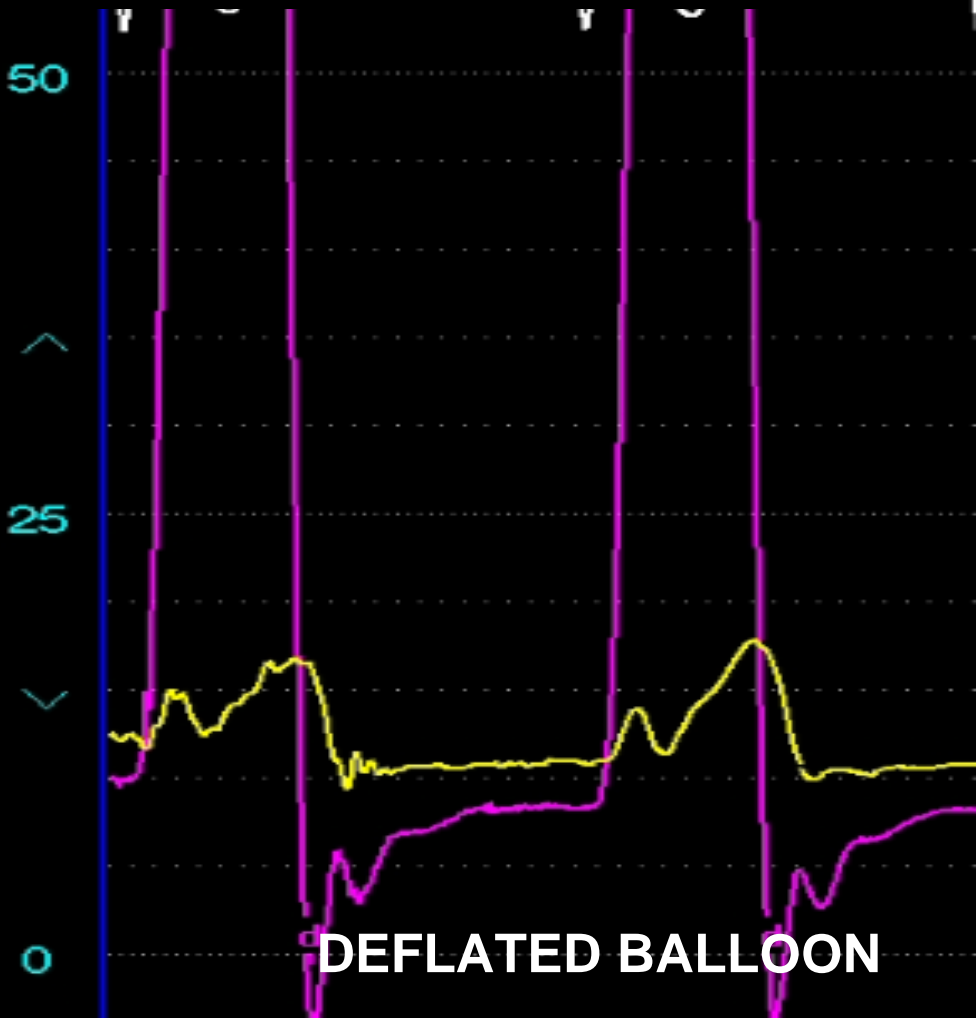
**Current clinical evidence**

80-year-old female. HYP, DM, CKF. Atrial fibrillation. Dyspnea of exertion.

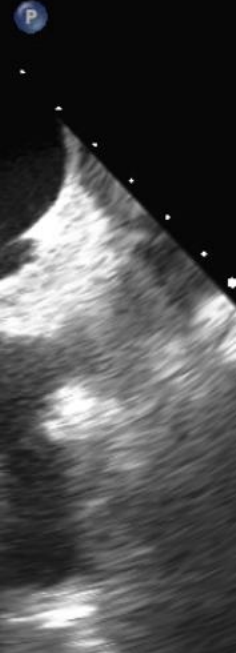
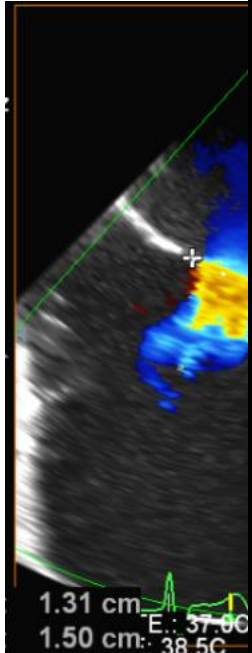
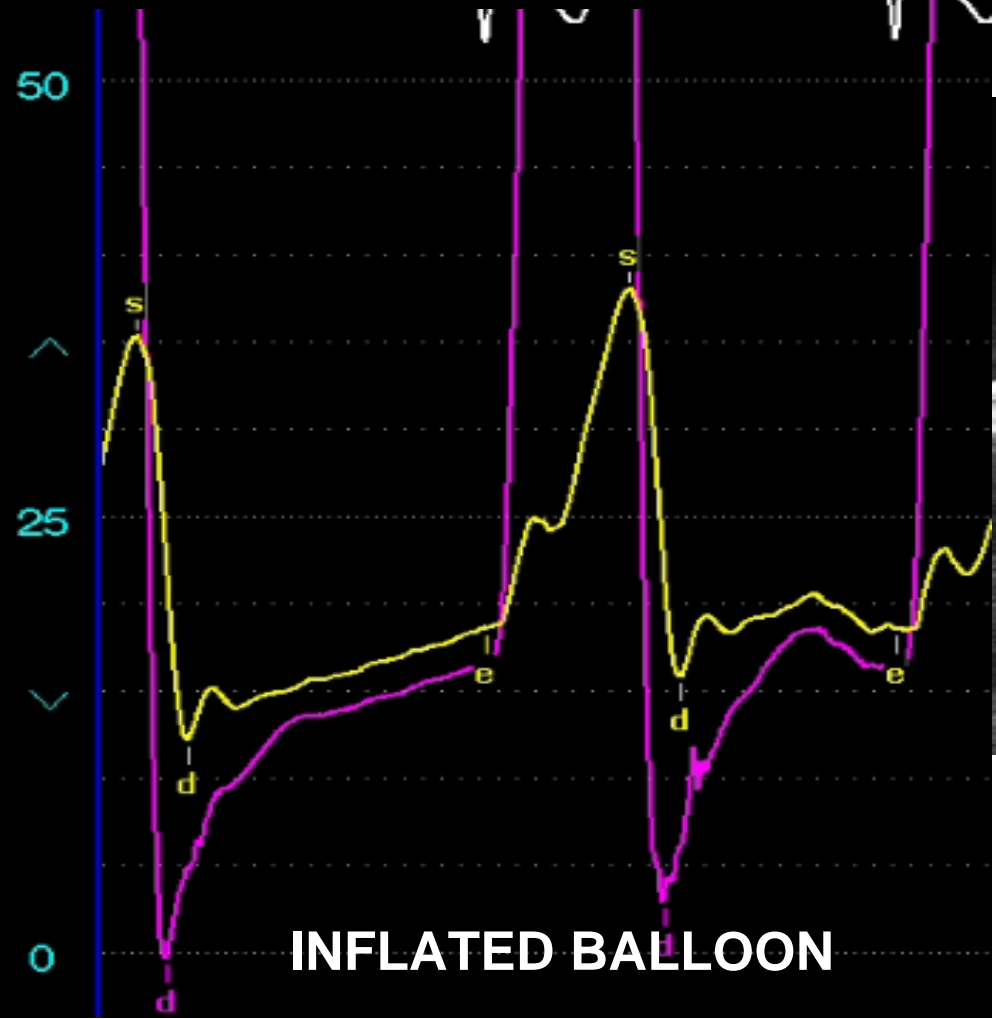
Surgery (70 years old): mitral comisurotomy + tricuspid anuloplasty + surgical ASD closure. Several admissions for decompensated HF

TTE: Mod MS (Area: 1.9 cm<sup>2</sup>). Normal LVEF. RV dilatation with systolic dysfunction. Moderate TR. PAP 70 mmHg. Residual ASD

LA: A 15; V 17; mean 12 mmHg



LA: A 25; V 38; mean 22 mmHg





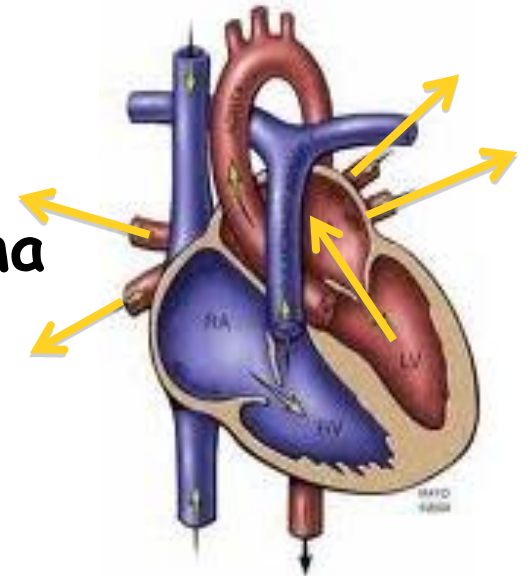
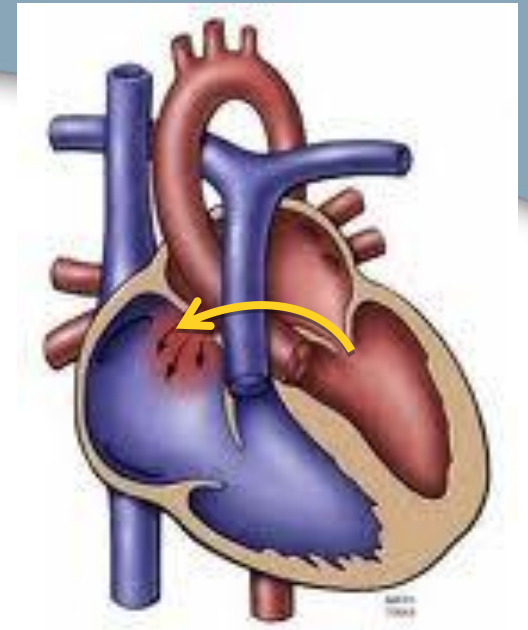
# Before closing ASD

*LV diastolic dysfunction (↓ elasticity)*

- aging-dependancy
- associated with: hypertension, ischemic artery disease...

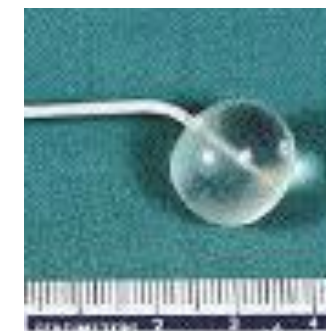
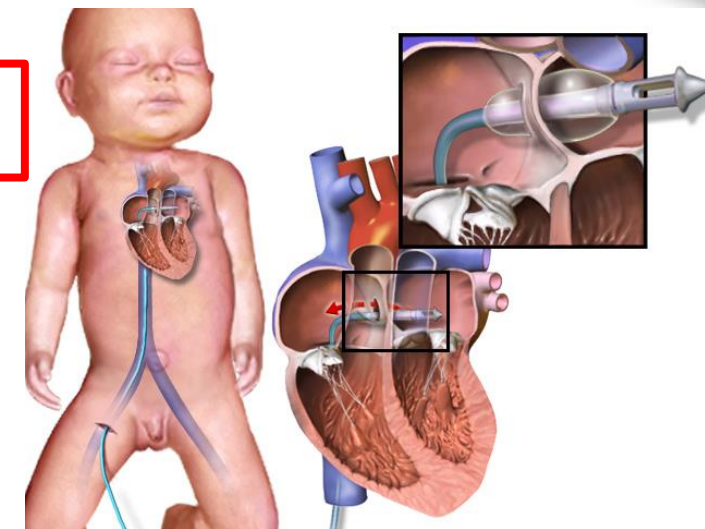
Restrictive physiology masked by ASD (overflow mechanism)

ASD closure - acute ↑ LA pressure → acute pulmonary edema



# Story of atrial septal opening

- |   |             |
|---|-------------|
| <b>1. Balloon atrial septostomy</b>                   | <b>1966</b> |
| <b>2. Blade septostomy</b>                            | <b>1975</b> |
| <b>3. Transseptal puncture</b>                        | <b>1978</b> |
| <b>4. Atrial septal stenting</b>                      | <b>1999</b> |
| <b>5. Modified technique of stent fenestration</b>    | <b>2003</b> |
| <b>6. Radiofrequency wave based atrial septostomy</b> | <b>2008</b> |
| <b>7. V-wave device</b>                               | <b>2014</b> |
| <b>8. Atrial Flow Regulator</b>                       | <b>2014</b> |

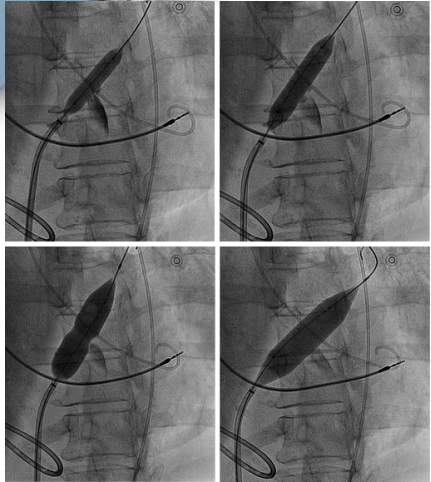


# Atrial Decompression Devices



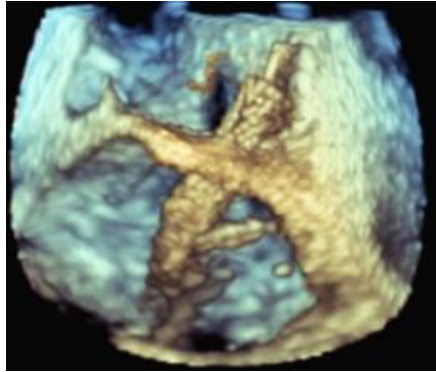
**1966**

**Balloon atrial septostomy**



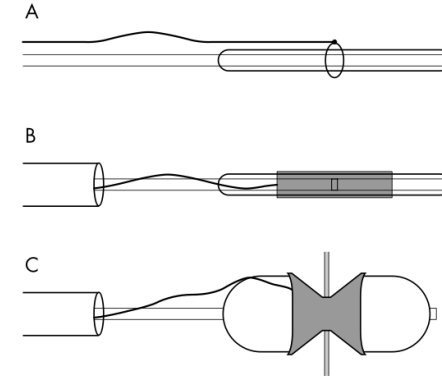
**1999**

**Stent fenestration**



**2003**

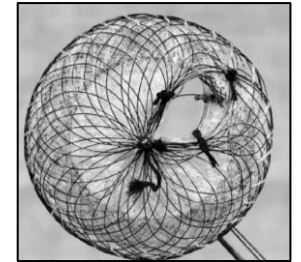
**Modified stent fenestration**



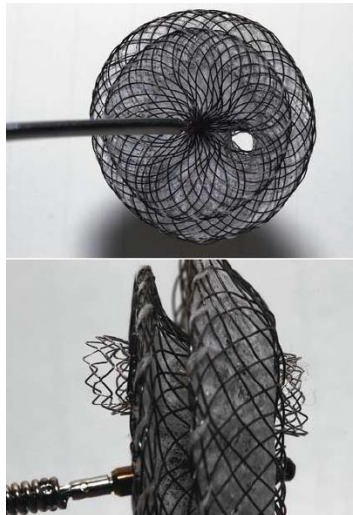
**Device fenestration**



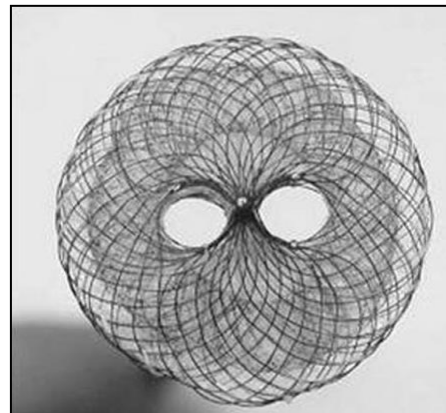
**Suturing fenestration**



**Device Stent fenestration**

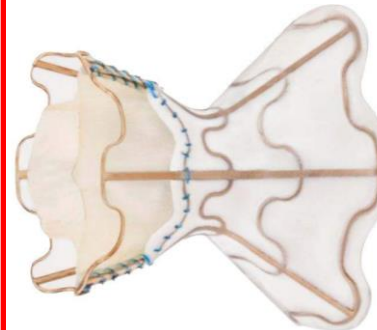


**Manufactured fenestrated devices**



**2014**

**Specific devices**



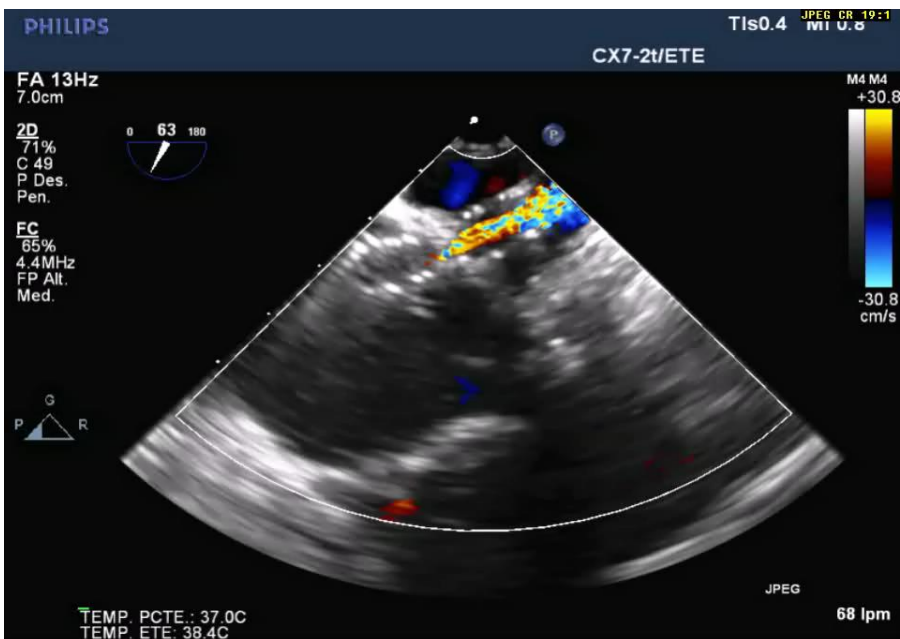
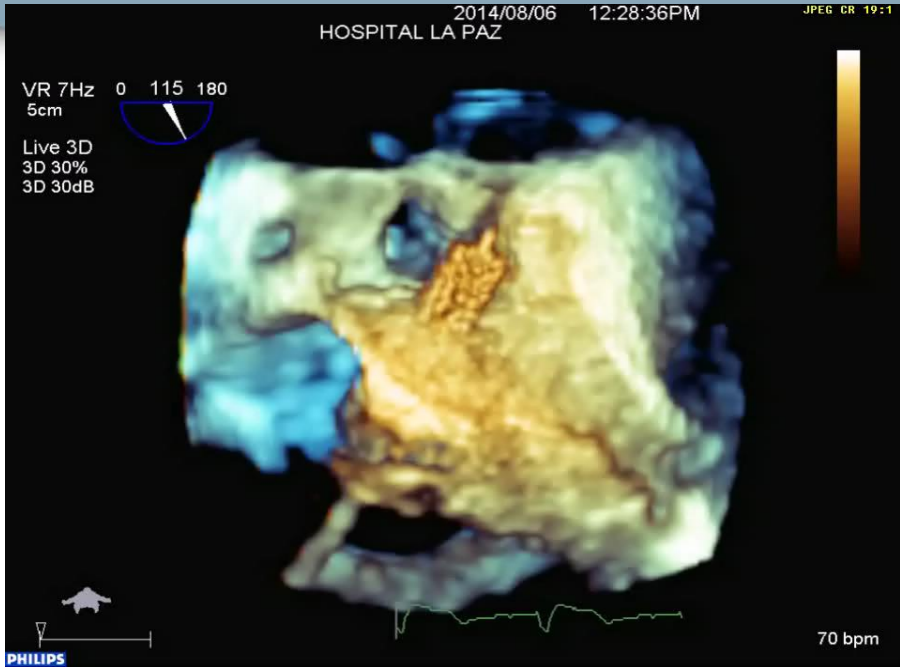
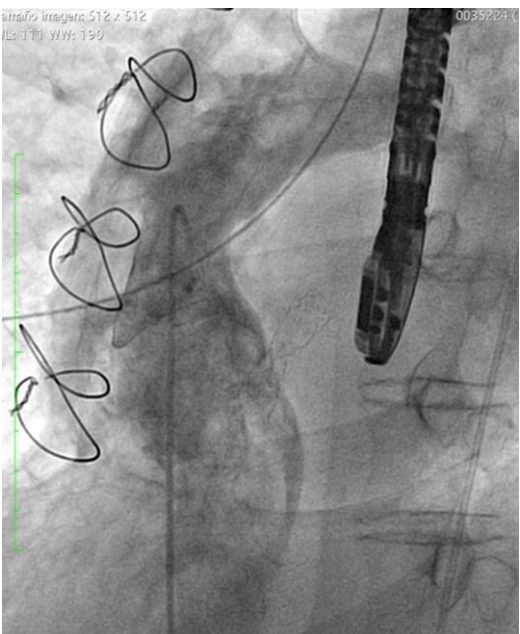
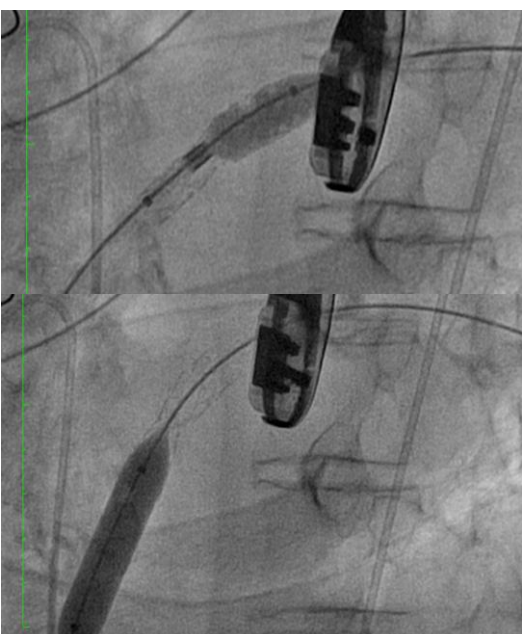
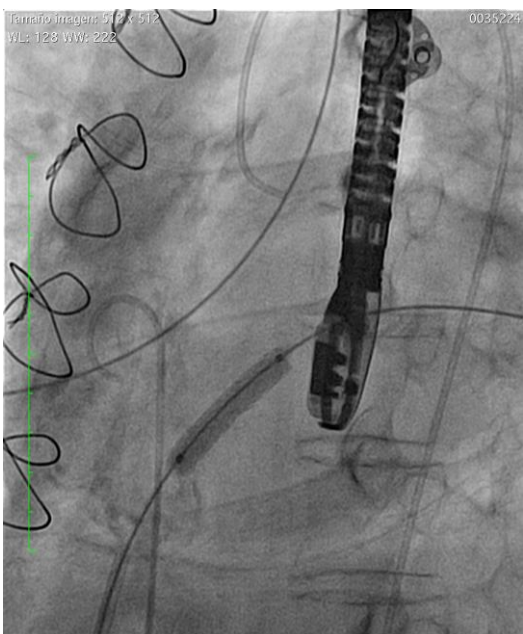
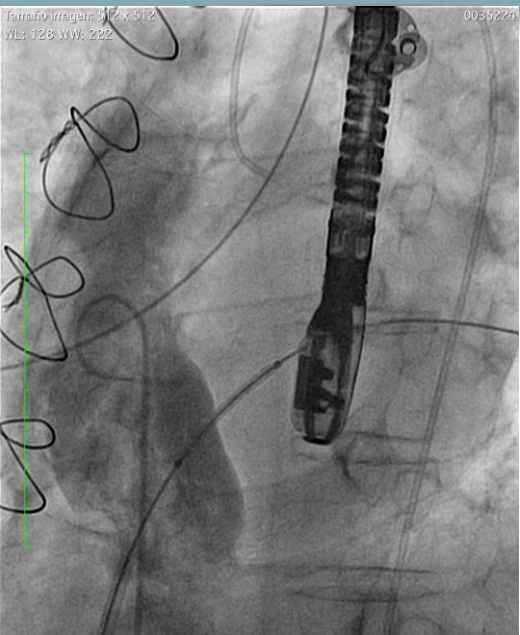
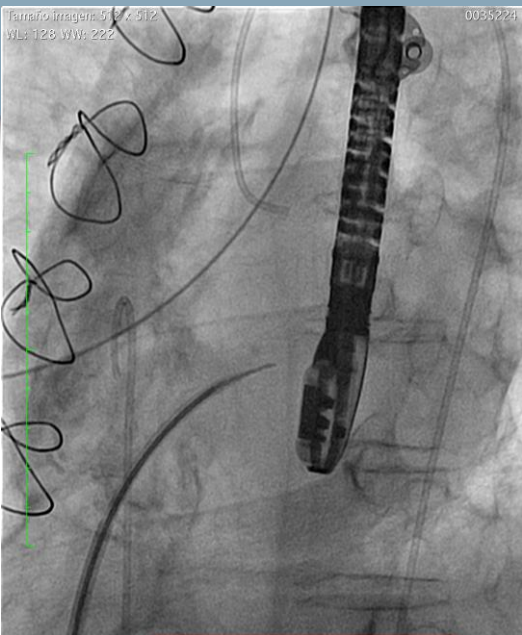
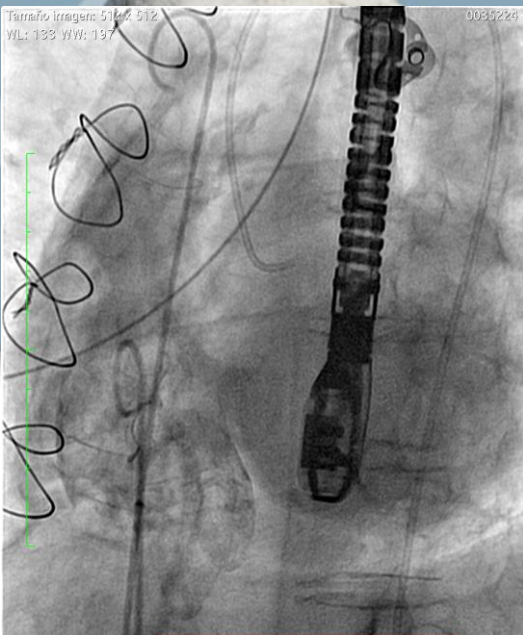
**V-Wave® (V-Wave)**



**IASD® (Corvia)**

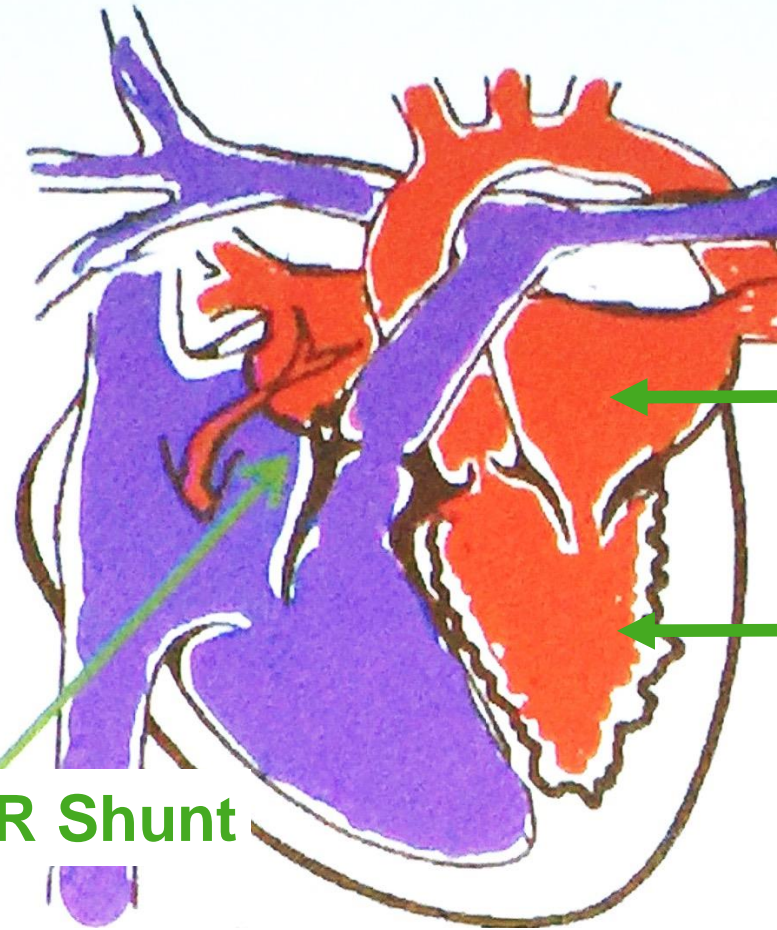


**AFR® (Occlutech)**



# Atrial Septal Opening...How does it work ?

## Diastolic/Systolic Left Heart Failure

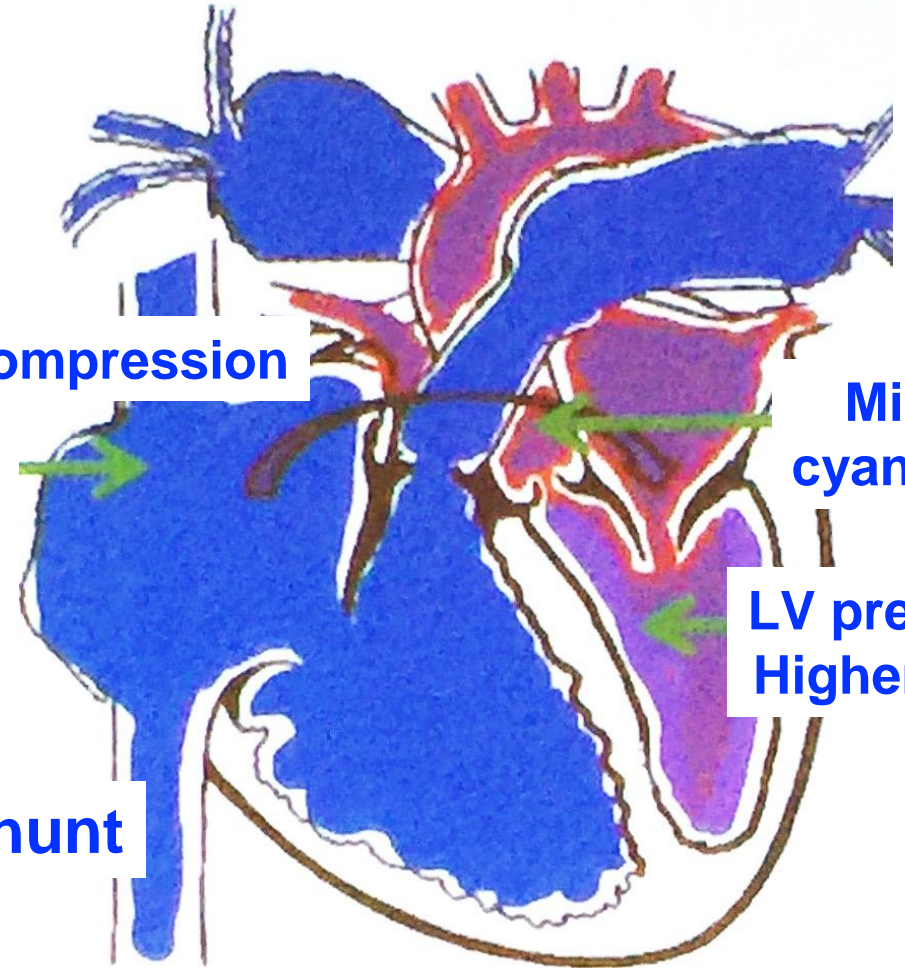


Decompression  
LA/LV  
chambers  
Regression  
Pulmonary  
Cogestion

Improved  
Shortness of  
breath

*Reduce pulmonary venous congestion*

## Pulmonary Artery Hypertension



RA decompression

Mild  
cyanosis

LV preload  
Higher CO

R-L Shunt

*Reduce systemic venous congestion  
Higher CO & improved oxygen delivery*



# Elevated LAP is a target of HF therapy

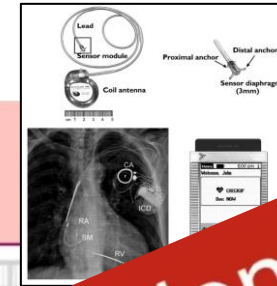
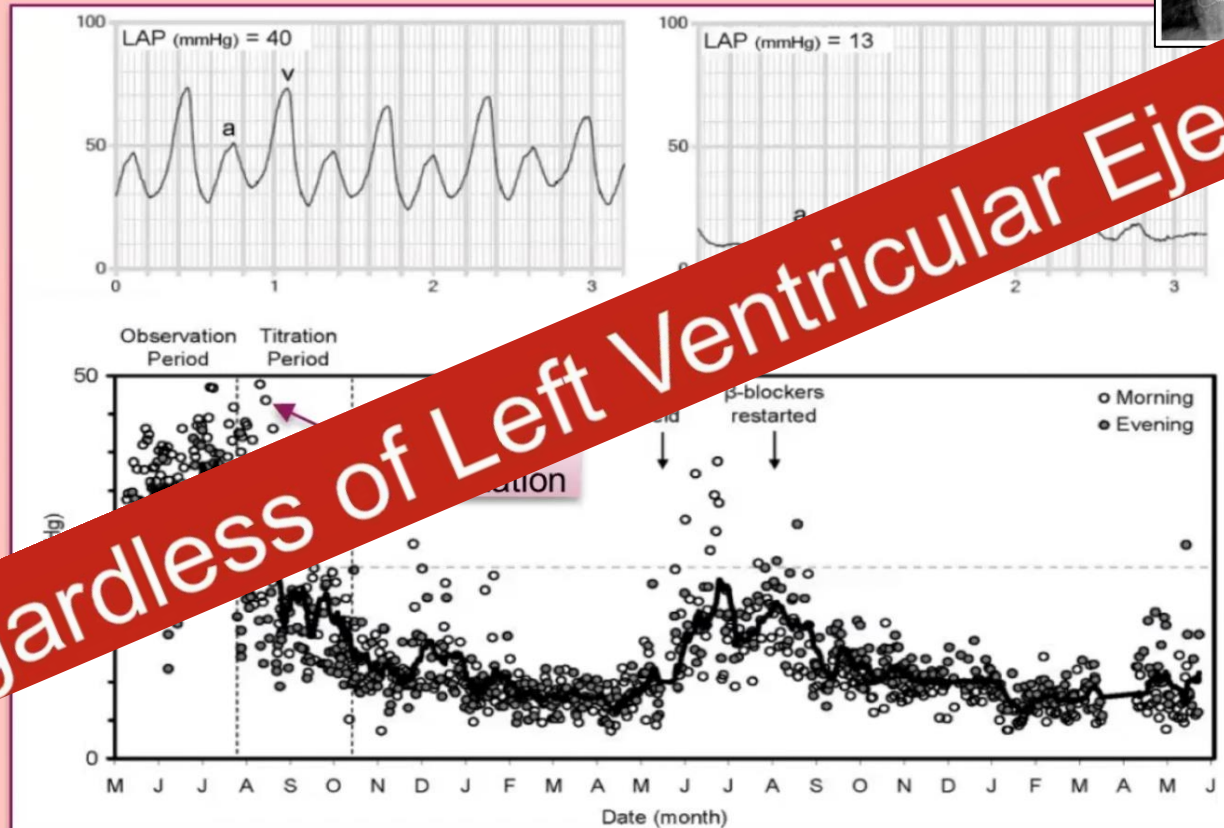


ADHF occurs when LAP is elevated for days to weeks

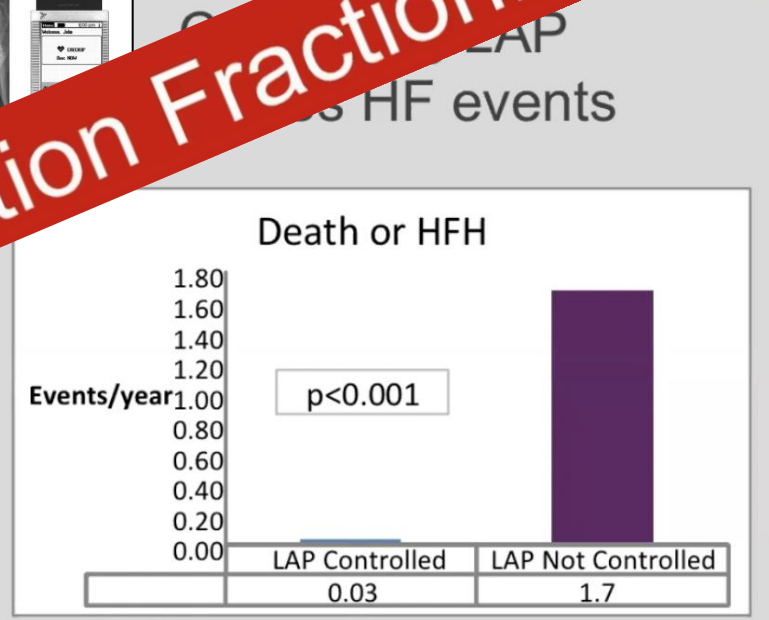
LAP tracings from single day typically show marked variations

LAP = 40 mmHg AM  
LAP = 13 mmHg PM

LAP readings over 2 years showing sustained LAP > 18 mmHg pre-ADHF event



Regardless of Left Ventricular Ejection Fraction!

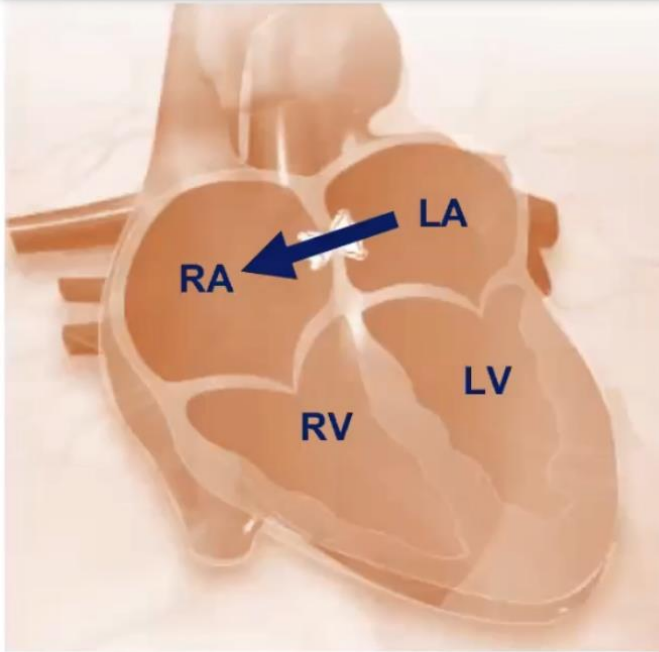


When LAP controlled (trend  $\leq 18$  mmHg) by pressure-guided medication adjustment, there were significantly fewer HF events

# Interatrial Shunting in HF: How it Works

An on-demand, dynamic, self-regulation LAP lowering therapy

## Mechanism of Action



Excess LA volume  
shunted to RA

↓ Left atrial pressure  
(LAP)

↓ Pulmonary artery  
pressure

- Reduced pulmonary congestion and HF events
- Improved functional status and symptom relief
- Signs of reverse LV remodeling
- Maintenance of RV function

**Qp/Qs: 1.2-1.3**

Eigler, et al. *Structural Heart* 2017

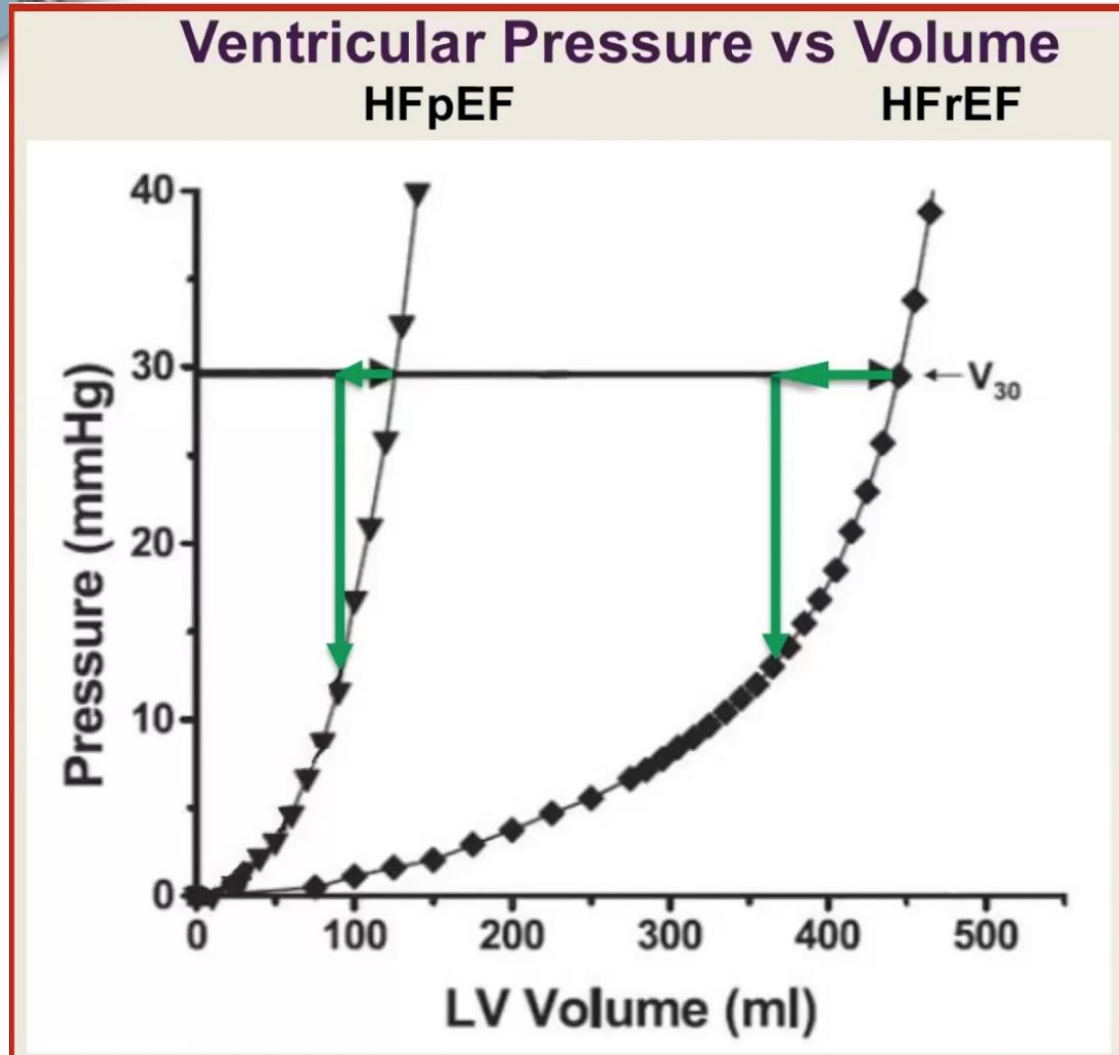
Hasenfuß, et al. *Lancet* 2016

Rodés-Cabau J, et al. *Lancet* 2016

Rodés-Cabau J, et al. *JACC Interv* 2018; 11:2300-2310

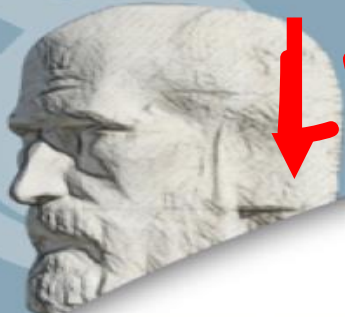
Paitazoglou C, et al. *EuroInterv* 2019

# How a small interatrial shunt reduces LAP In both HFrEF and HFpEF

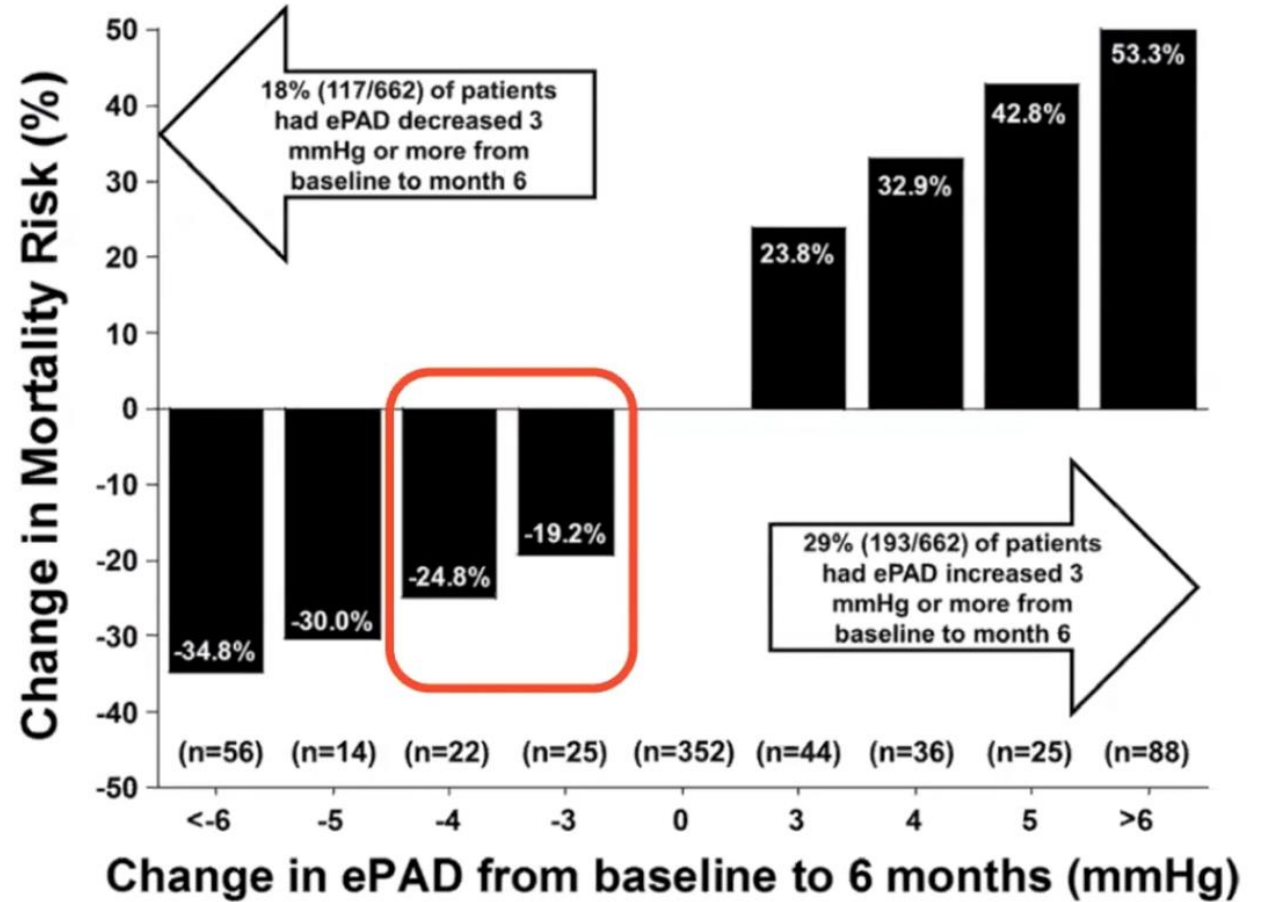
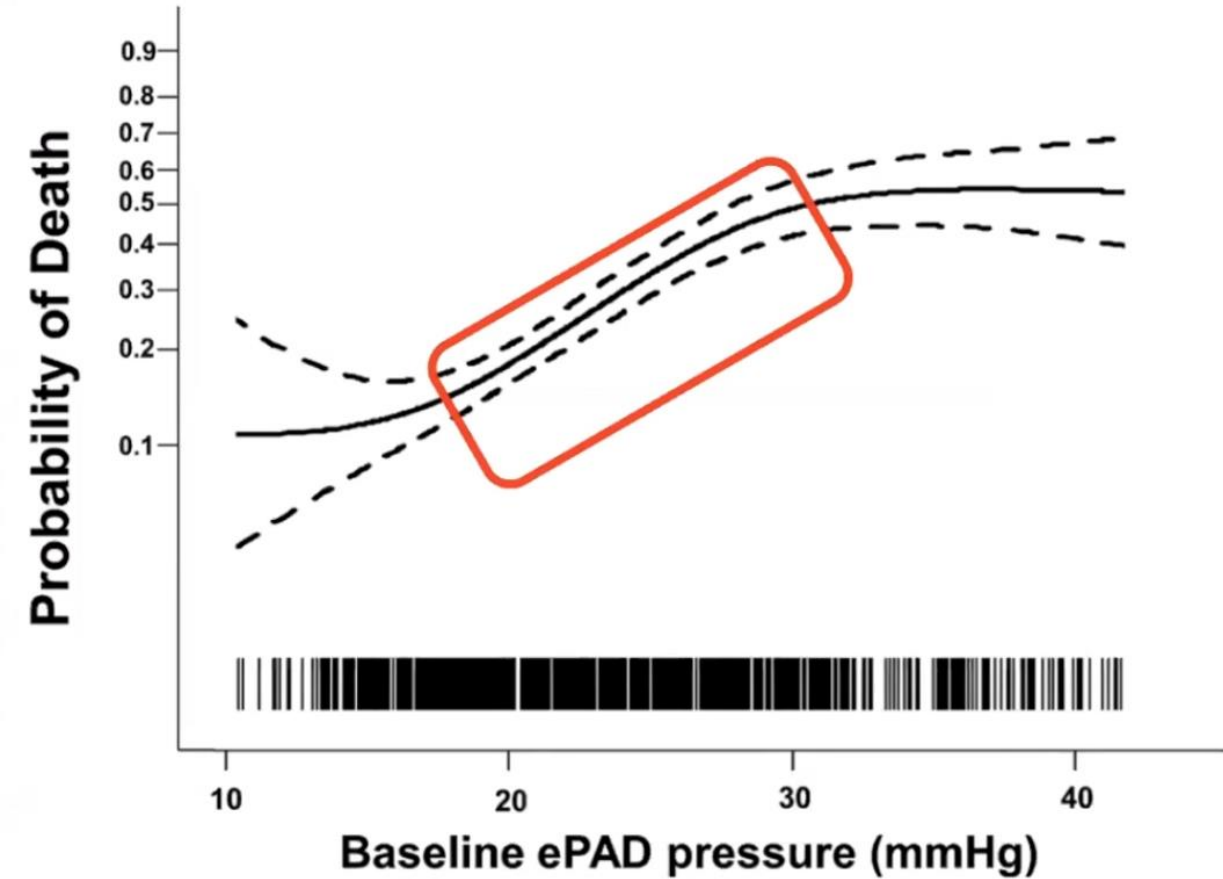


## Pressure – Volume Curves of LV

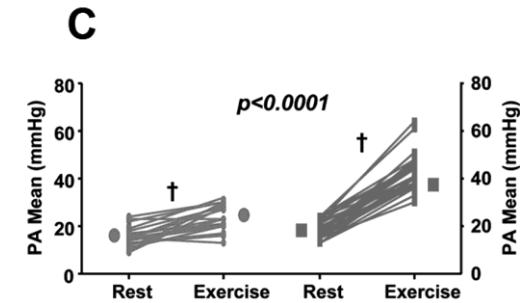
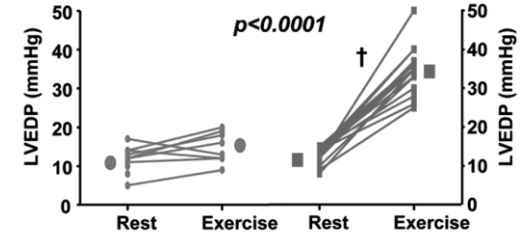
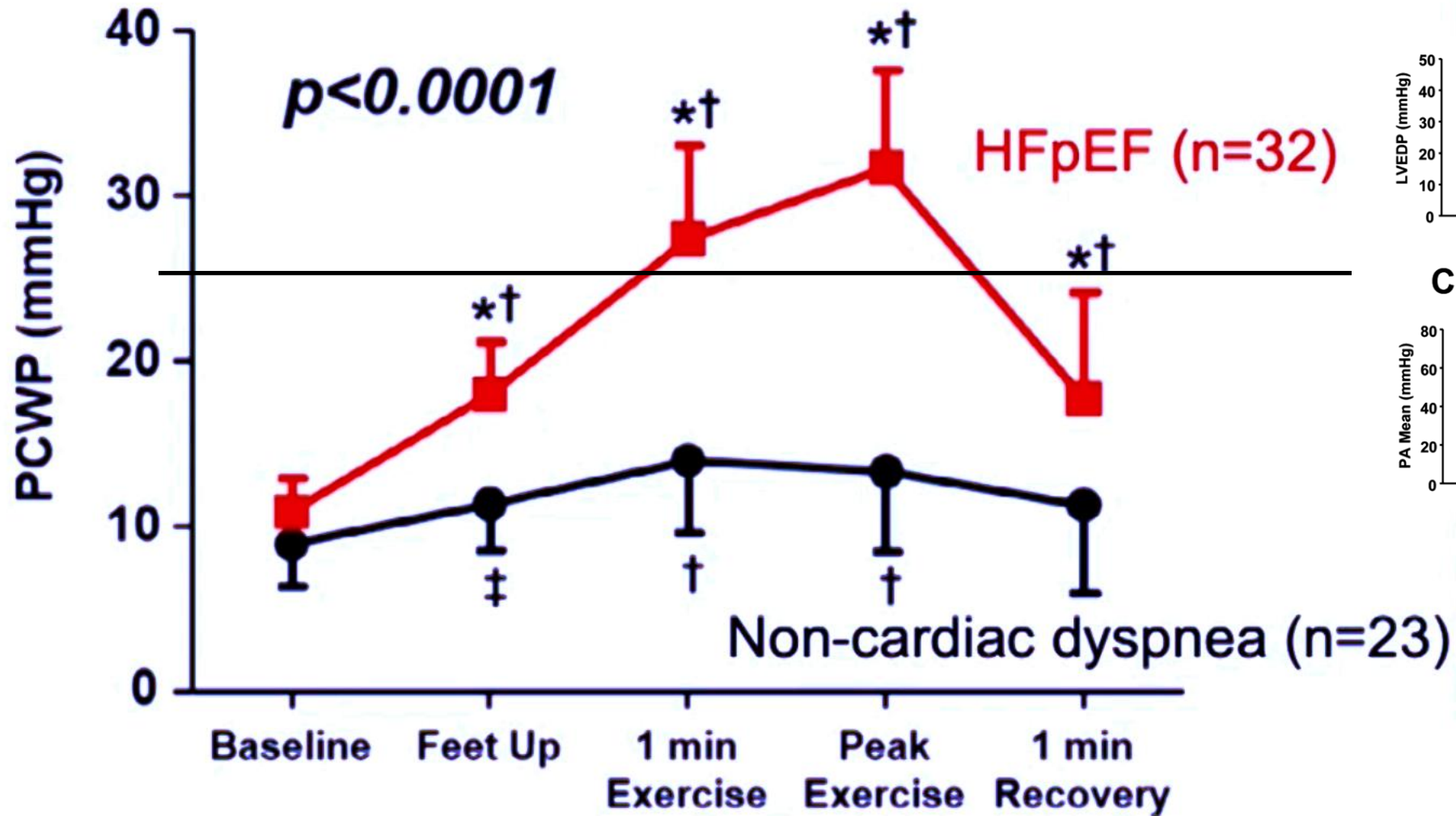
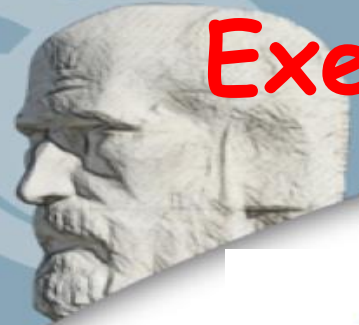
- Shows small volume of blood flow across an interatrial shunt can lead to large pressure decreases in both HFrEF and HFpEF
- Reduction in Left Ventricular and Left Atrial Pressures should reduce acute HF episodes and improve symptoms



↓ v filling pressure = ↓ mortality



# Exercise Hemodynamics Enhance Diagnosis of Early HF-pEF





# Evidence supporting interatrial shunt therapy in chronic HF

- Patients with mitral valve stenosis and an atrial septal defect (ASD) have fewer symptoms than patients with an intact septum
- Closure of ASDs in patients with unrecognized left ventricular dysfunction results in elevated LAP and pulmonary edema
- Pre-clinical animal studies demonstrate hemodynamic, echocardiographic, and survival benefits with interatrial shunting
- First-in-human and clinical pilot studies support the safety, feasibility, and potential effectiveness of interatrial shunting in heart failure

1. Lutembacher R. Arch Mal Coeur 1916  
2. Ewert P, et al. Catheter Cardiovasc Interv 2001  
3. Eigler N, et al. Structural Heart 2017  
4. Søndergaard L, et al. Eur Heart J 2014

5. Hasenfuß, et al. Lancet 2016  
6. Feldman et al. Circulation 2017  
7. Del Trigo M, et al. Lancet 2016  
8. Rodés-Cabau J, et al. JACC Intv 2018

9. Paitazoglou C, et al. EuroInterv 2019  
10. Guimarães L, et al. EuroInterv 2020

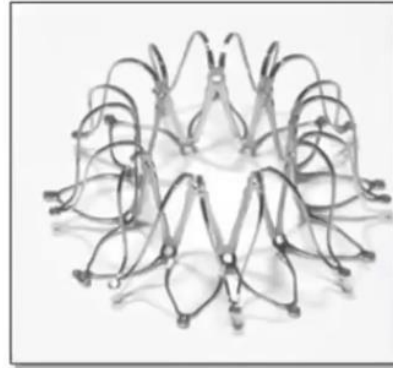
# Interatrial shunt devices with completed pilot studies

V-Wave Ventura Interatrial Shunt Device



5 mm venturi orifice and septal footprint diameters

Corvia Interatrial Shunt Device (IASD)



8 mm orifice plate, 19 mm diameter septal footprint

Occlutech Atrial Flow Regulator (AFR)



6-10 mm orifice plate, 22-26 mm diameter septal footprint

# Corvia clinical evidence pipeline

**Pilot Study --- CE Mark Study --- REDUCE LAP-HF I --- REDUCE-LAP HF III**

**Pilot Study (n=11): non-randomized, single-arm**  
*Completed (Sondergaard L et al. Eur J Heart Fail 2014)*

**CE Mark Study (n=64): non-randomized, single-arm**  
*Completed (Hasenfub G et al. Lancet 2016)*

**REDUCE LAP-HF I (n=44): RCT mechanistic study**  
*Completed (Feldman T et al. Circulation 2018, Shah SJ et al. JAMA Cardiol 2018)*

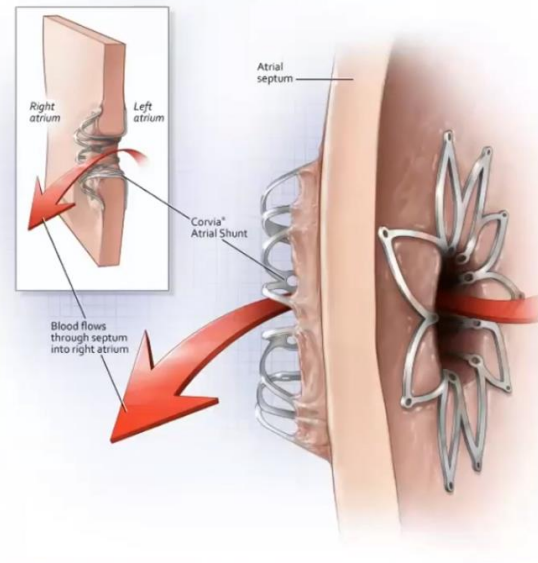
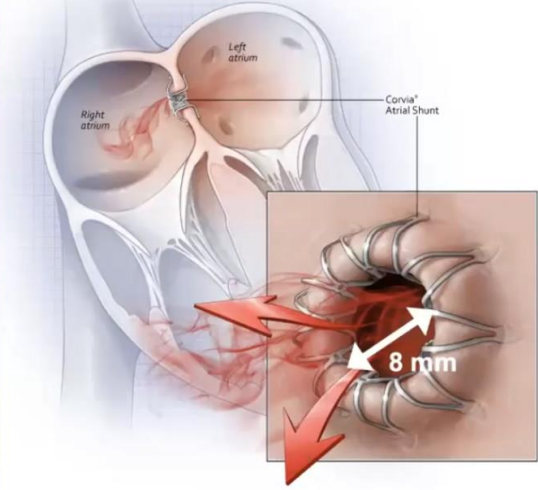
**REDUCED LAP-HF II (n=626): RCT pivotal study**  
*Enrollment complete, results expected Q4 2021*

**~500**

Corvia Atrial Shunts  
implanted globally

**7+ years**

Longest living patients  
with Corvia Atrial Shunt

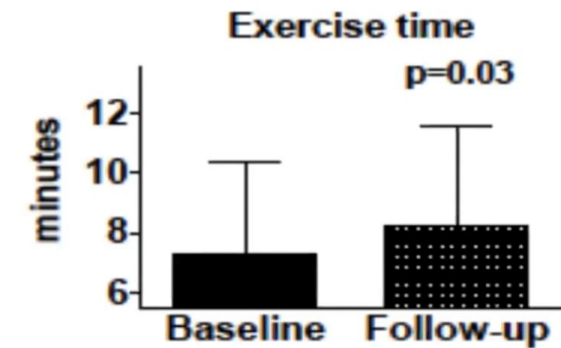
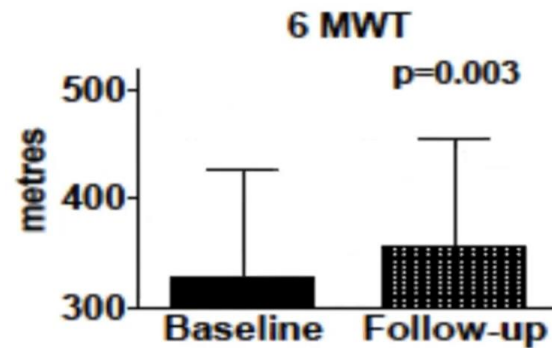
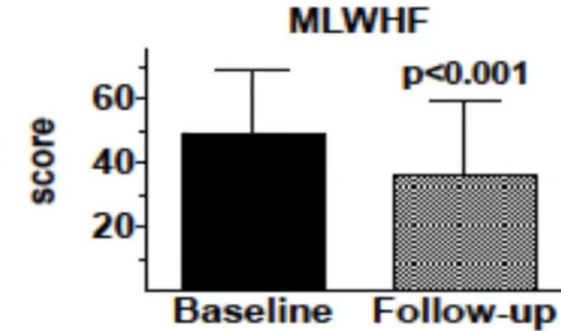
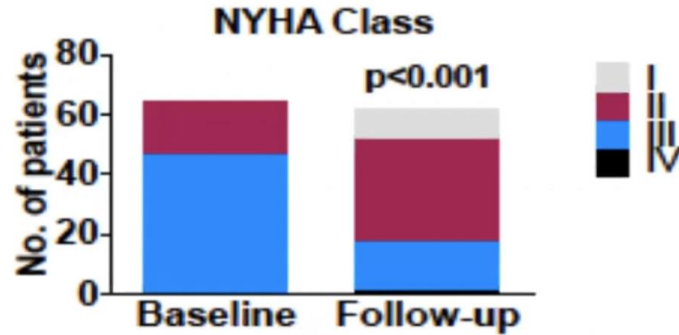




# CE Mark Study

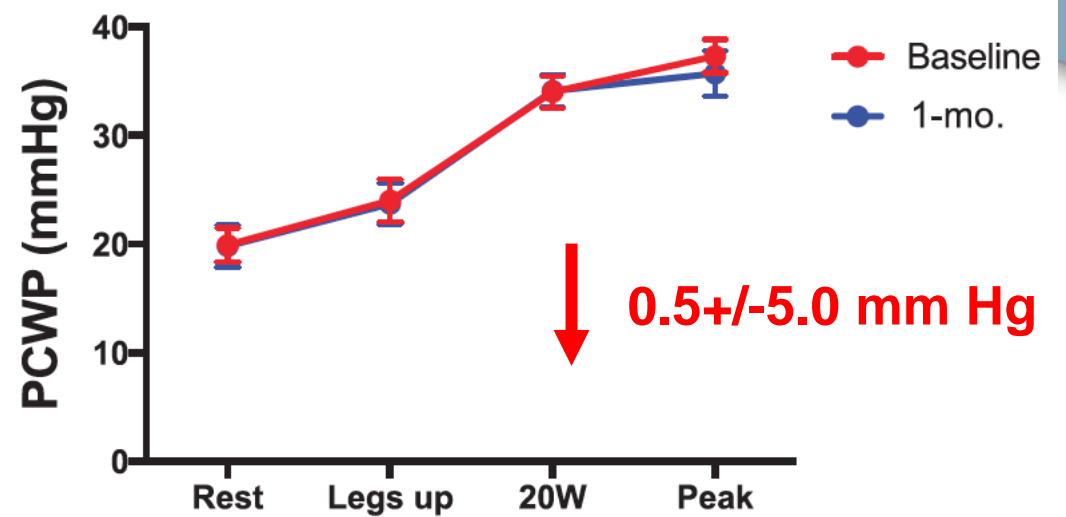


- Prospective, non-randomized study
- Symptomatic HF (N=64)
- **Preserved EF (>40%)**
- Elevated PCWP at rest (>15 mmHg) or during exercise (>25 mmHg)
- Monitored by independent DSMB and CEC
- Assessed by independent Core-Laboratories
  - Echo
  - Hemodynamic
- Three year clinical follow-up
  - One year complete

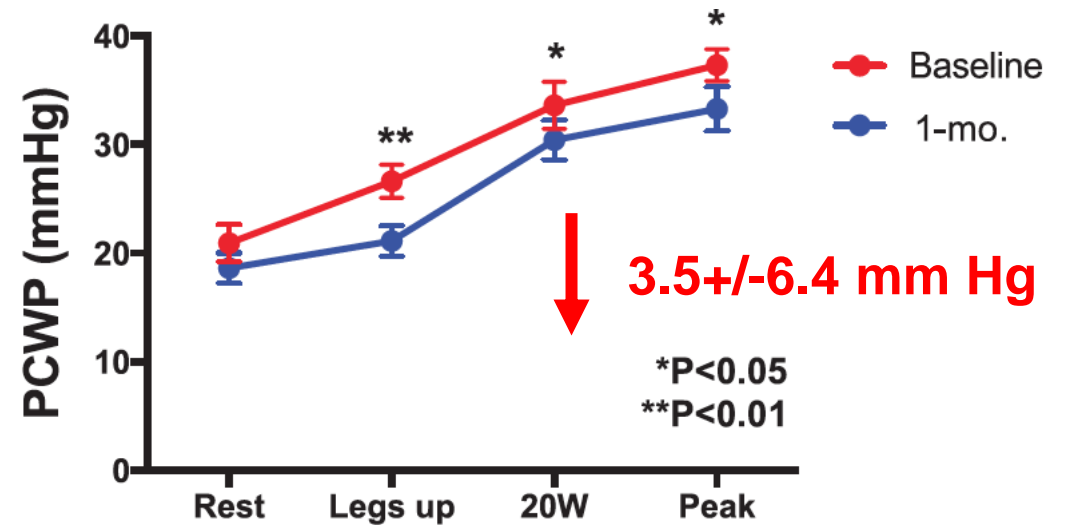


# REDUCE LAP-HF I Trial

- RCT (Sham procedure) (n=44)
- **III-IV NYHA**
- (1) prior hospitalization for HF within prior 12 m, or  
(2) (BNP >70 pg/mL in SR, >200 pg/mL in AF, or NTpro-BNP >200 pg/mL in SR, >600 pg/mL in AF) within past 6 m
- **EF  $\geq$ 40%**
- **PCWP during supine bike exercise  $\geq$ 25 mmHg + PCWP-RA pressure gradient  $\geq$ 5 mm Hg**



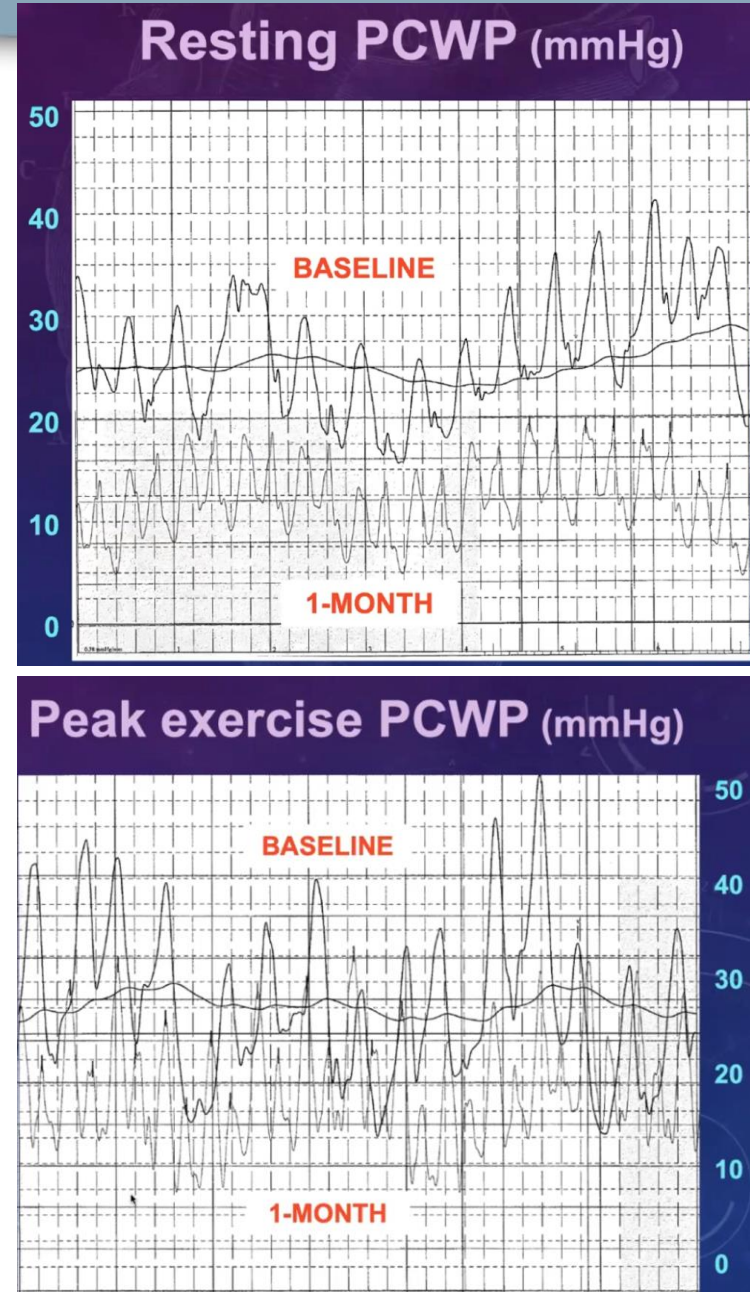
Control group: Baseline vs. 1-month PCWP



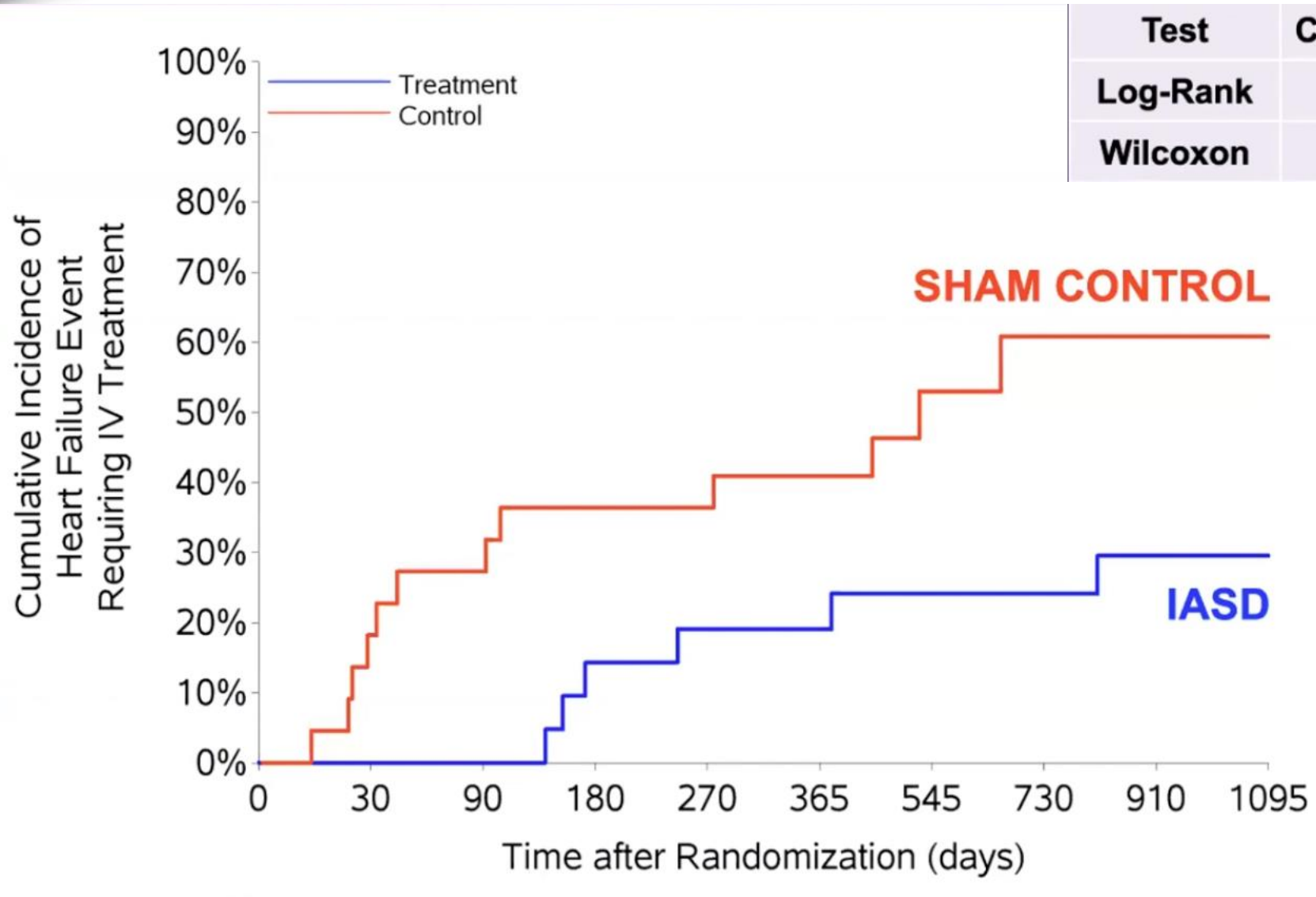
IASD group: Baseline vs. 1-month PCWP



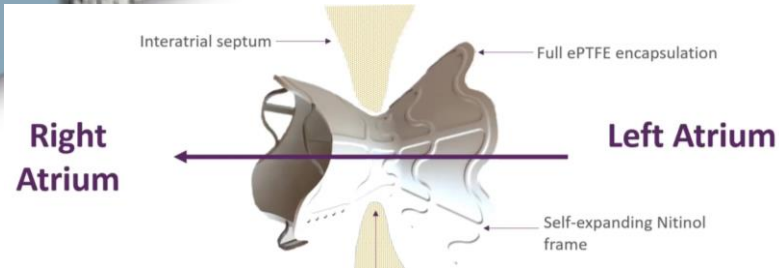
Adverse Event	IASD Patients (N=22)	Control Patients (N=22)	P Value
MACCRE	0.00 (0/21)	4.55 (1/22)	1.000
Cardiovascular death	0.00 (0/21)	0.00 (0/22)	—
Embolic stroke	0.00 (0/21)	0.00 (0/22)	—
Device-/procedure-related MACE*	0.00 (0/21)	0.00 (0/22)	—
New onset or worsening renal dysfunction	0.00 (0/21)	4.55 (1/22)	1.000
MACE	0.00 (0/21)	0.00 (0/22)	—
Cardiac death	0.00 (0/21)	0.00 (0/22)	—
Myocardial infarction	0.00 (0/21)	0.00 (0/22)	—
Emergency cardiac surgery	0.00 (0/21)	0.00 (0/22)	—
Cardiac tamponade	0.00 (0/21)	0.00 (0/22)	—
Death	0.00 (0/21)	0.00 (0/22)	—
Myocardial infarction	0.00 (0/21)	0.00 (0/22)	—
Stroke or transient ischemic attack	0.00 (0/21)	0.00 (0/22)	—
Systemic embolization	0.00 (0/21)	0.00 (0/22)	—
Cardiac perforation	0.00 (0/21)	0.00 (0/22)	—
Newly acquired atrial fibrillation/flutter	0.00 (0/21)	0.00 (0/22)	—
Major vascular complications	0.00 (0/21)	0.00 (0/22)	—
Device embolization	0.00 (0/21)	0.00 (0/22)	—
Device occlusion	0.00 (0/21)	0.00 (0/22)	—
Device-related repeat procedure	0.00 (0/21)	0.00 (0/22)	—
Heart failure event	4.76 (1/21)	13.64 (3/22)	0.607
Heart failure event requiring intravenous treatment	0.00 (0/21)	9.09 (2/22)	0.488
Cardiogenic shock	0.00 (0/21)	0.00 (0/22)	—



# REDUCE LAP-HF I Trial: 3-yr outcome

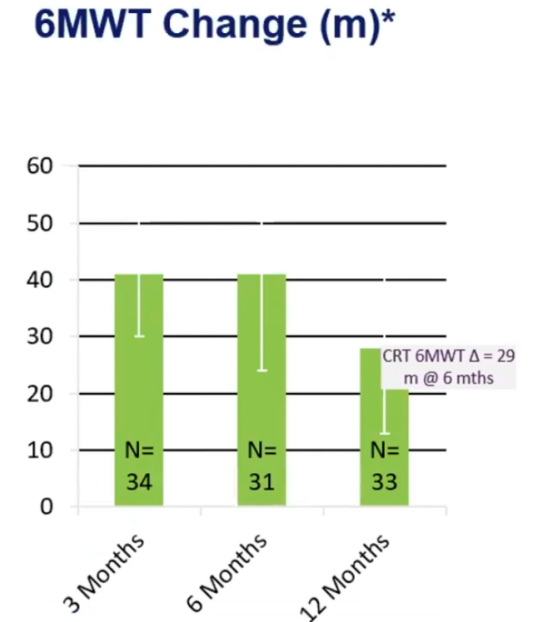
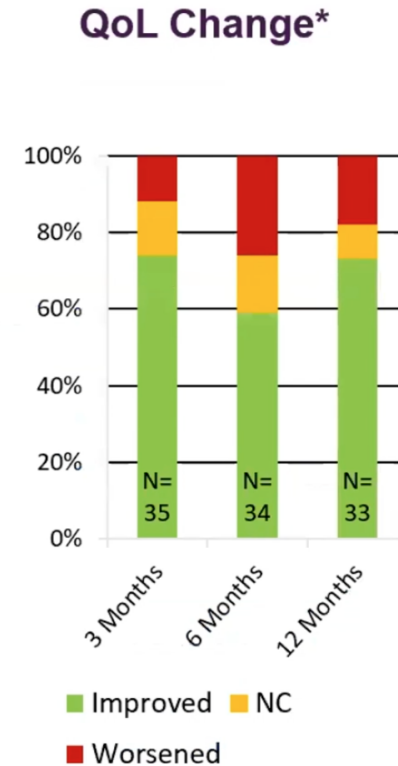
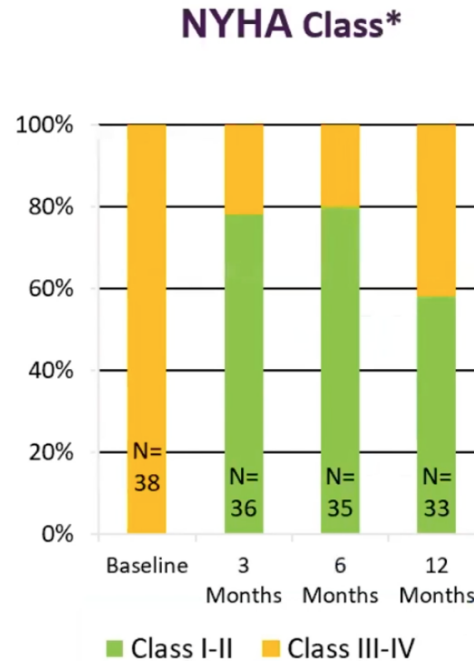


# V-Wave FIH Studies HFpEF & HFrEF



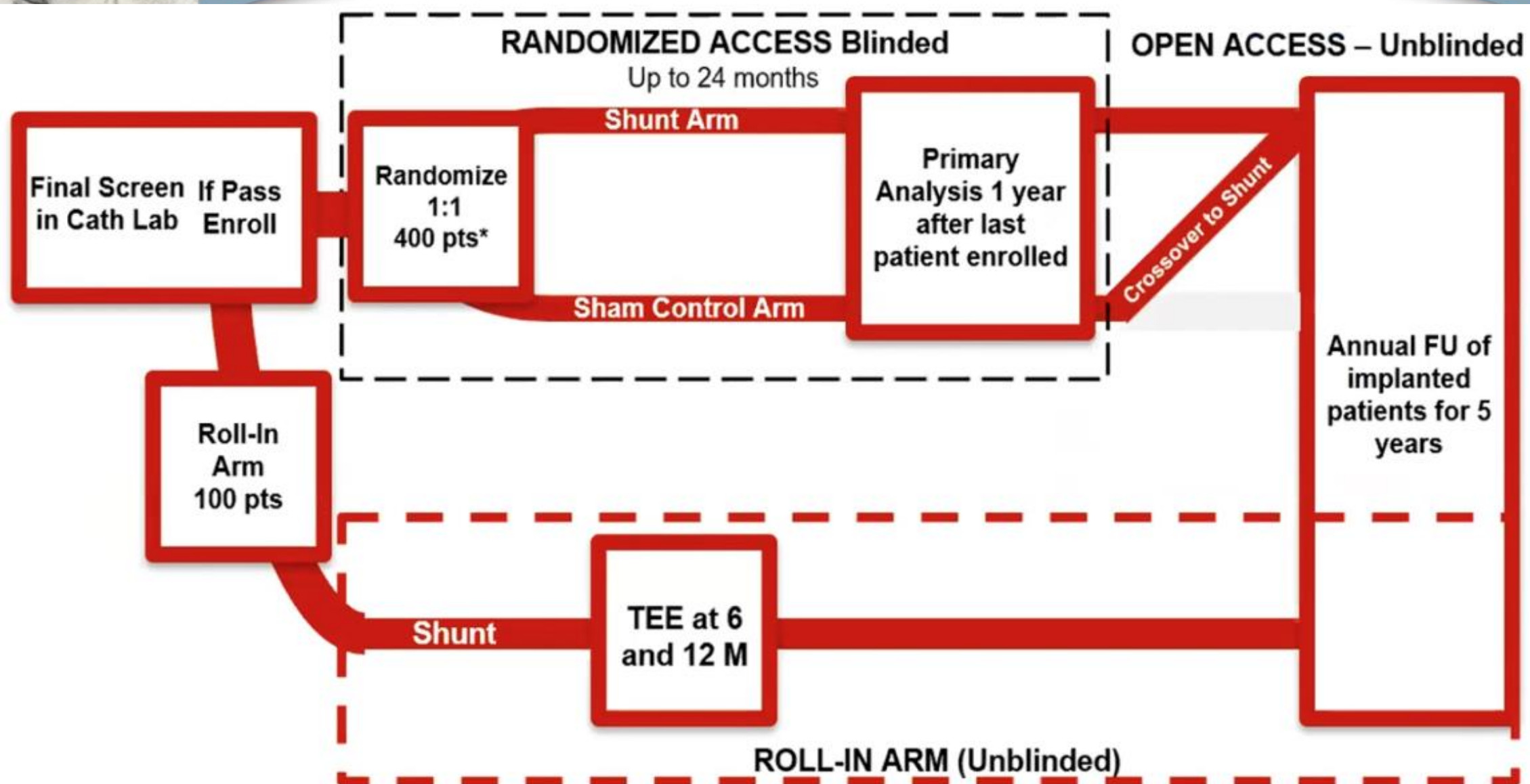
- Chronic HF, ischemic or non-ischemic etiology
- HFrEF and HFpEF
- NYHA class III or ambulatory class IV
- On GDMT and device therapies
- HF-hospitalization or elevated BNP/NT-proBNP

Total 38 pts (30 HFrEF, 8 HFpEF)  
 6 sites (Canada, EU, Israel)  
 Median FU 28 months (18-48 months)



\*p<0.04 (baseline vs. follow-up)

# RELIEVE HF (HF<sub>r</sub>EF & HF<sub>p</sub>EF)



# RELIEVE-HF Roll-In Cohort Data (n=88)

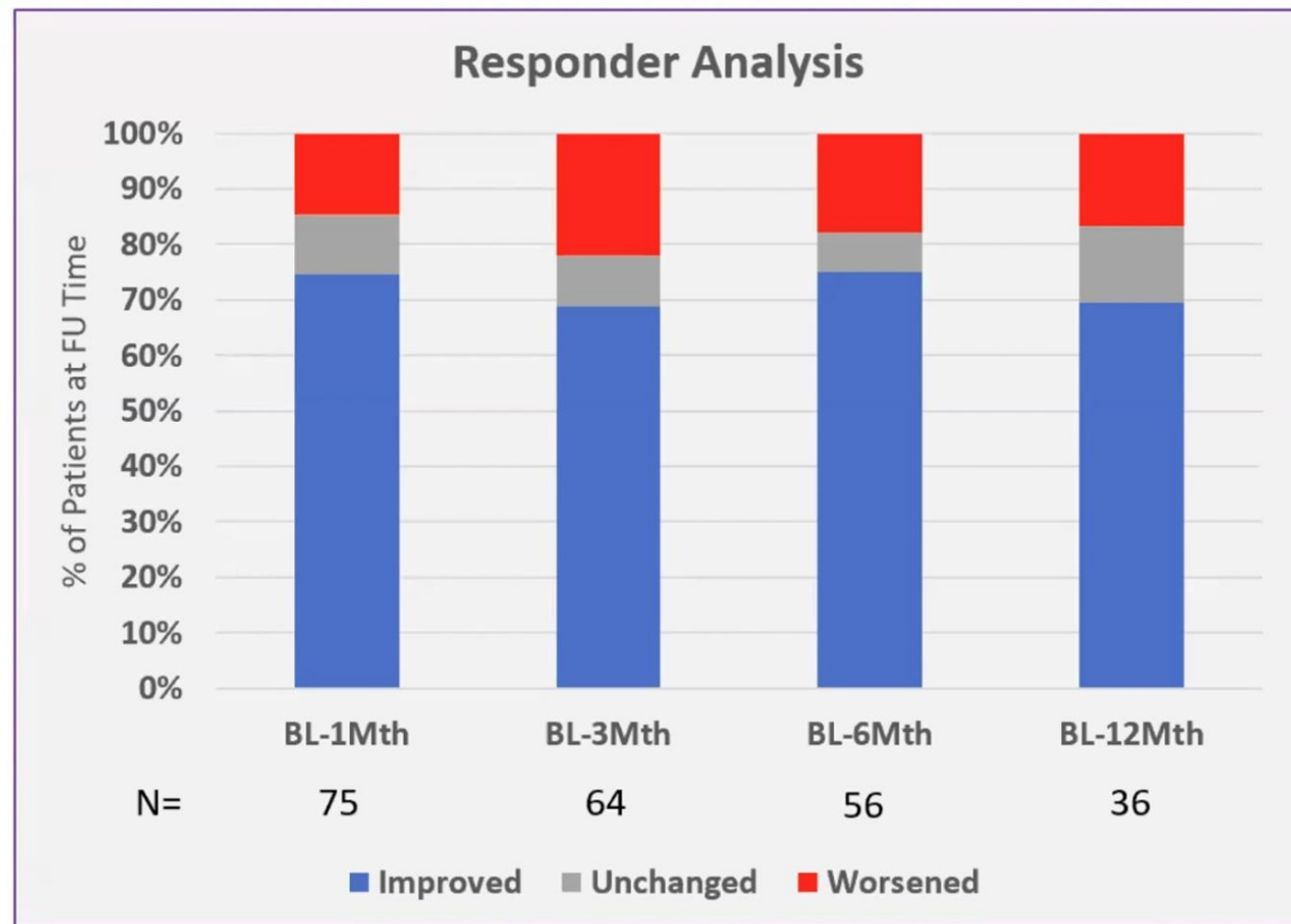
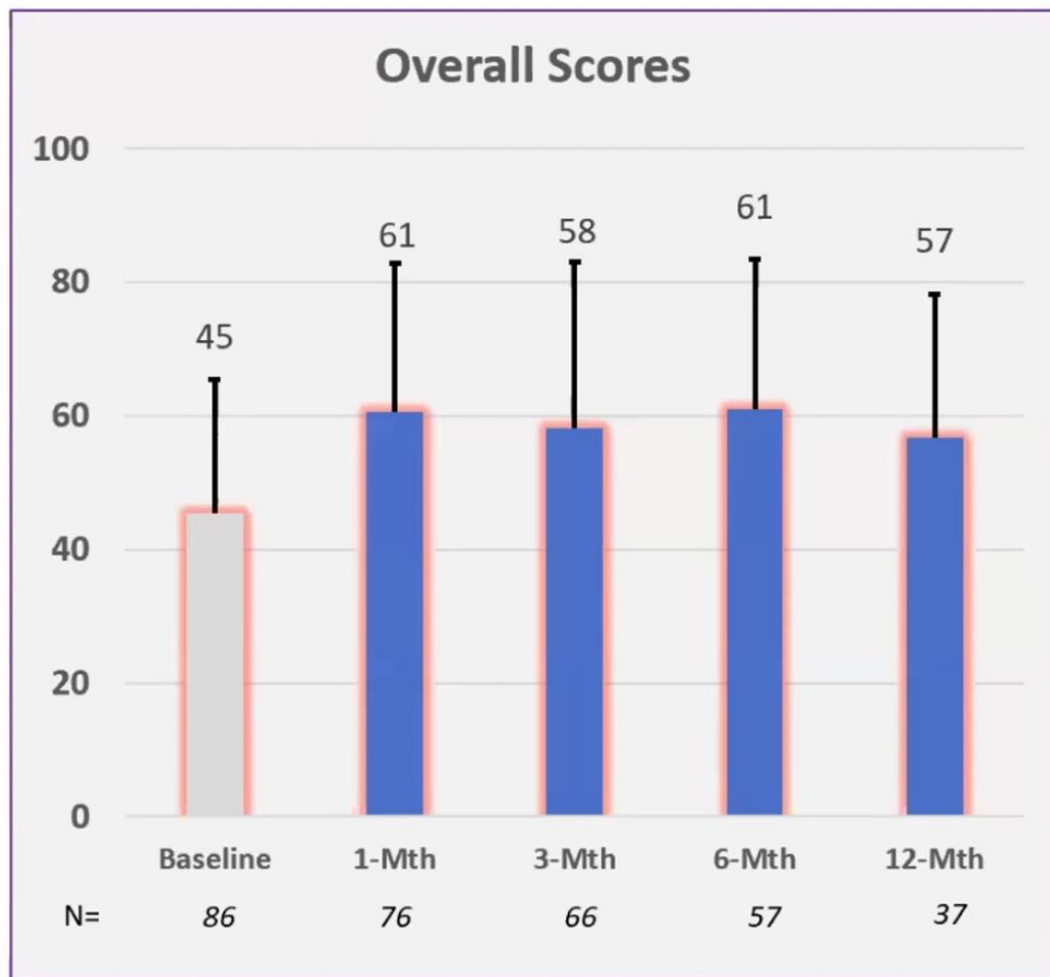
	Male Gender N (%)	Diabetes N (%)	HTN N(%)	Afib N (%)	HFrEF/ HFpEF %	NYHA II/III/IV %	ICD/CRT %	ACEi/ARB/ ARNI N (%)	MRA N (%)	B-Blocker N (%)	Diuretic N (%)
Roll-In: (N=88)	62 (70%)	46 (52%)	76 (86%)	42 (48%)	48 / 52	- / 98 / 2	23 / 24	66 (75%)	50 (57%)	73 (83%)	81 (92%)

	Age (yrs)	BMI (Kg/m2)	HF Hosp (# in prior year)	eGFR (ml/min/ 1.73 m2)	Ejection Fraction		PCWP (mmHg)	RAP (mmHg)	PAP, sys (mmHg)	Cardiac Index (L/min/m2)	6MWD (m)	KCCQ	NT-proBNP (pg/ml)
					HFrEF (%)	HFpEF (%)							
Roll-In: (N=88)	70±11	31±6	1.0±1.2	51±20	28±7	58±7	20±7	11±4	45±12	2.4±0.9	280±88	46±20	3321±3799

Median Follow-up, months	13.3
Median Procedure time, min	75 (IQR 60-99)
Successful device implantation	99%
Device embolization/dislocation	0
Need for a second device	1
Median Length of stay, days	1 (IQR 1-1)
MACNE <sup>1</sup> at 30 days	0
Device or Procedure Related MACNE <sup>1</sup> (at any time)	0
Shunt Patency through 12 months <sup>2</sup>	100%

# RELIEVE-HF Roll-In Cohort Data (n=88)

## KCCQ Score



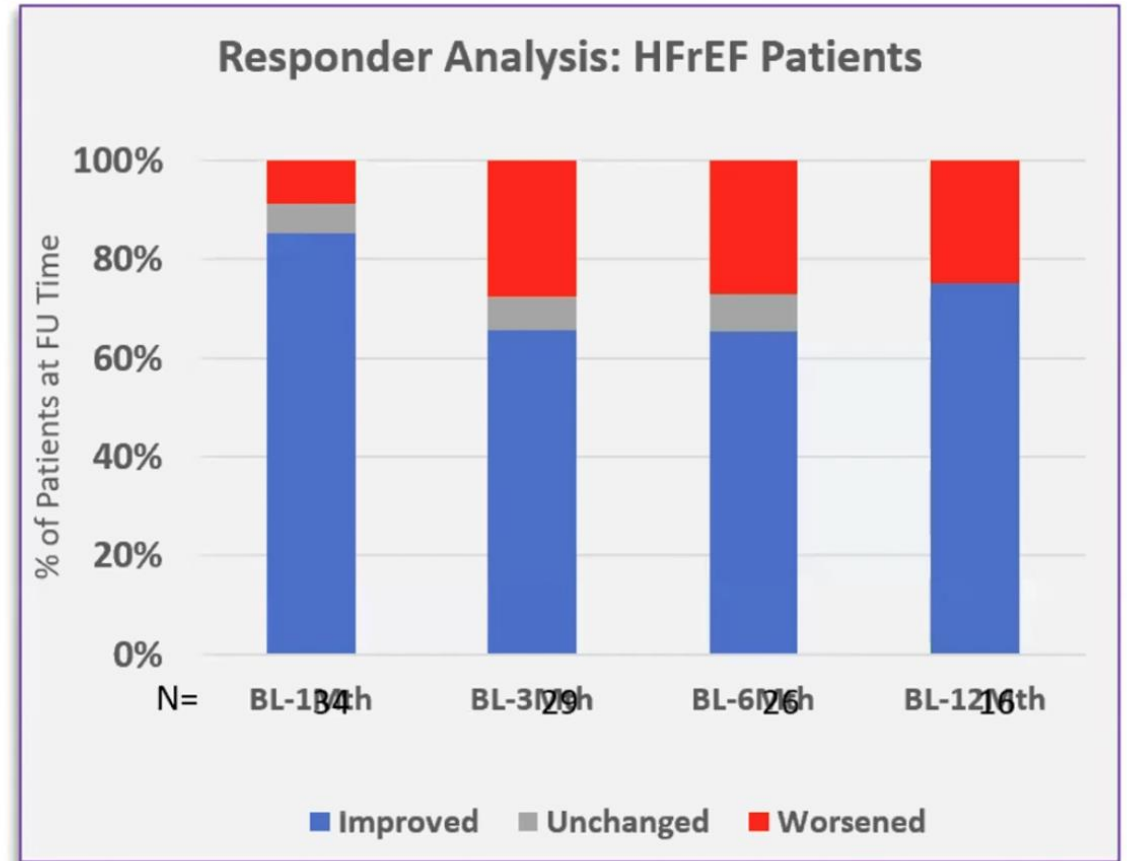
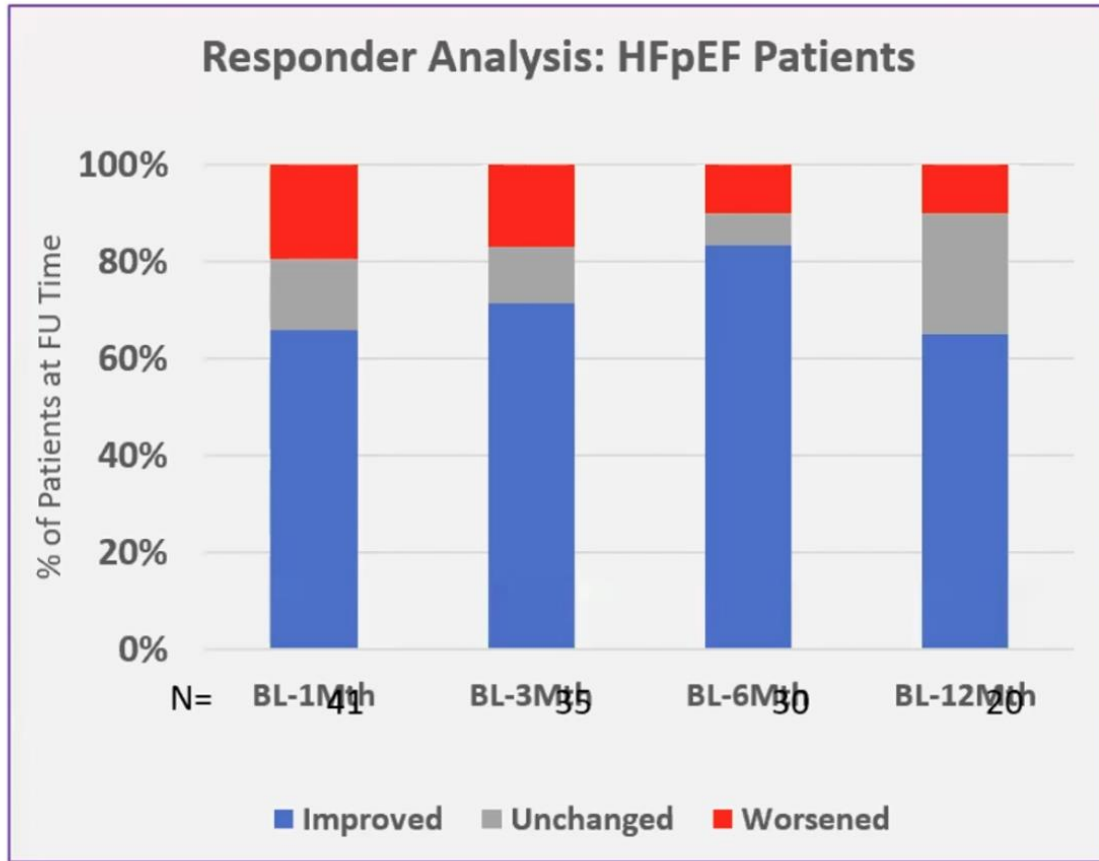
Improved or Worsened requires  $\geq 5$ -point change from Baseline



# RELIEVE-HF Roll-In Cohort Data (n=88)

## KCCQ Score

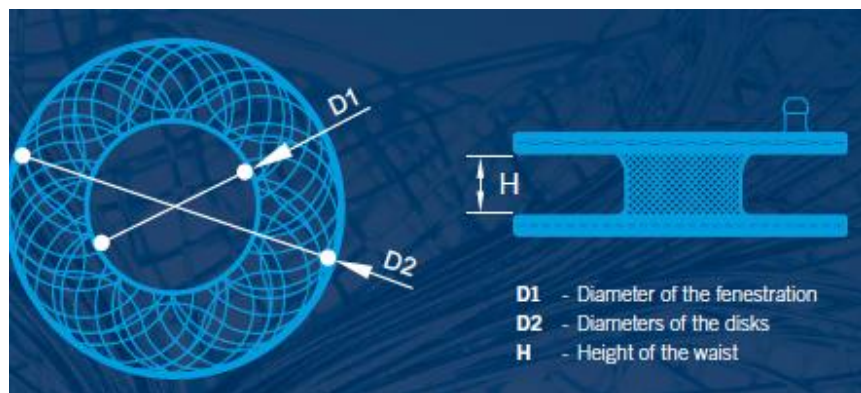
65-80% of patients in both groups show significant improvement through 12 months



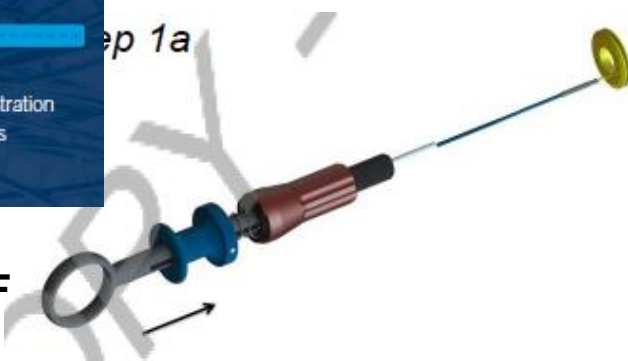
Improved or Worsened requires  $\geq 5$ -point change from Baseline

# Atrial Flow Regulator (AFR®)

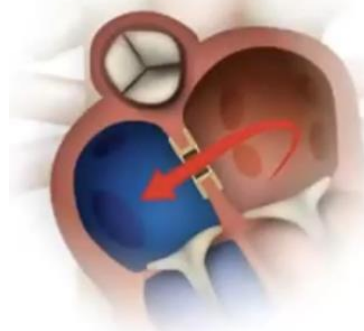
AFR: 4, 6, 8 y 10 mm con 2,5 y 10 mm de cintura



CE-marked for use in HFpEF & HFrEF  
Safety & efficacy in PH



## Left Heart Failure (HFpEF & HFrEF)<sup>1</sup>



- Left to right shunt  
Decompression of LA
- Regression of PCWP/LAP
- Reduced pulmonary congestion
- Symptomatic improvements

## Right Heart Failure (PAH)<sup>1</sup>



- Right to left shunt  
Right-sided decompression & LV filling
- Improves cardiac output
- Improved oxygen delivery to the body
- Symptomatic improvements

# AFR-PRELIEVE Trial

## SCREENING

### Heart failure team

### Procedure interventionalist

## IMPLANTATION (DO)

## FOLLOW-UP

(D1/D7/D30/D90/D180/D360)

◆ **Primary endpoint**  
(SAFETY)

◇ **Secondary endpoint**  
(FURTHER SAFETY+EFFICACY)

Chronic heart failure (NYHA III-IV)

HFrEF (EF 15-39%)

HFpEF (EF 40-70%)  
+NT-proBNP >125 pg/ml

+informed consent

Invasive cardiac catheterisation and haemodynamic measurements:  
+ PCWP or LVEDP  $\geq 15$  mmHg or  
+ PCWP  $\geq 25$  mmHg at exercise and CVP  $< 20$  mmHg

Successful balloon atrial septostomy (BAS)

Study inclusion

Imaging-guided (TEE) implantation of the Occlutech® AFR device

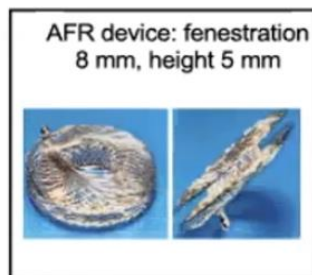
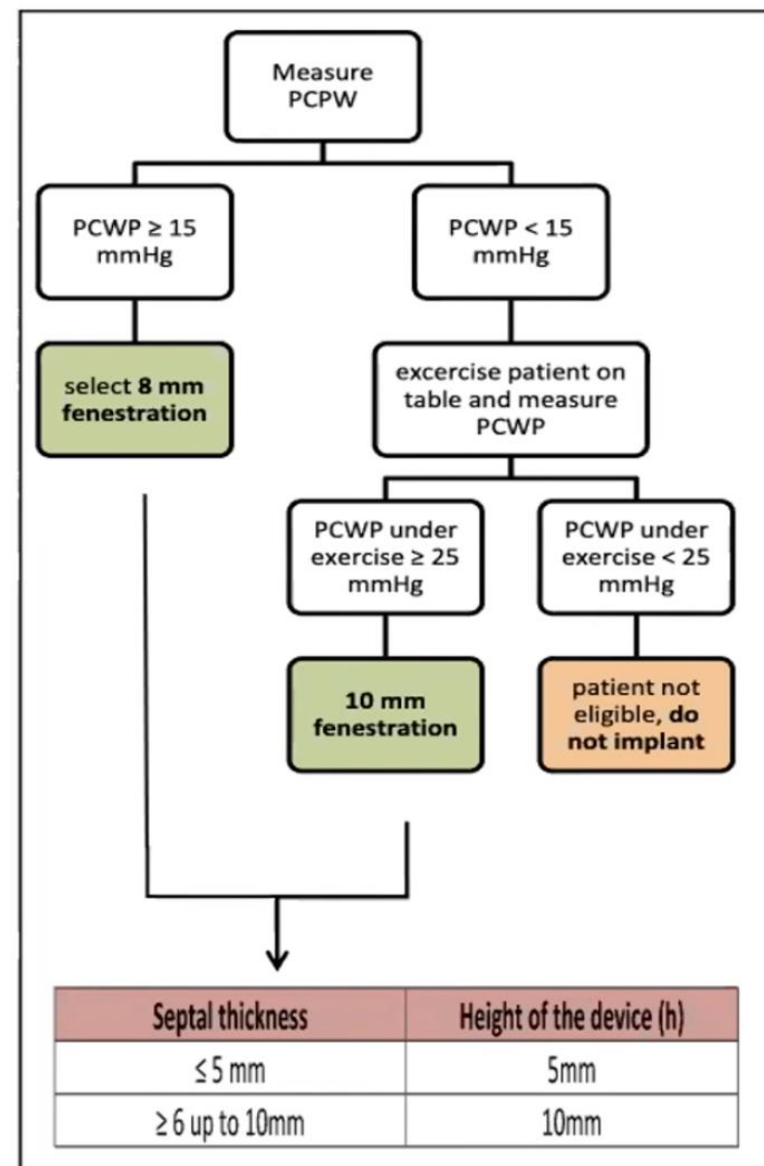
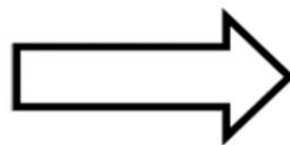
◆ Serious adverse device effect (SADE)	D1-D90
◇ Serious adverse device effect (SADE)	D90-D360
◇ Mechanical performance (Echo)	D1-D360
◇ Clinical variables (NYHA, KCCQ)	D1-D360
◇ Functional variables (Echo, 6MWT)	D1-D360
◇ Laboratory variables (NT-proBNP, Hb, crea, lactat)	D1-D360
◇ Haemodynamic variables (catheterisation) and TEE	D90

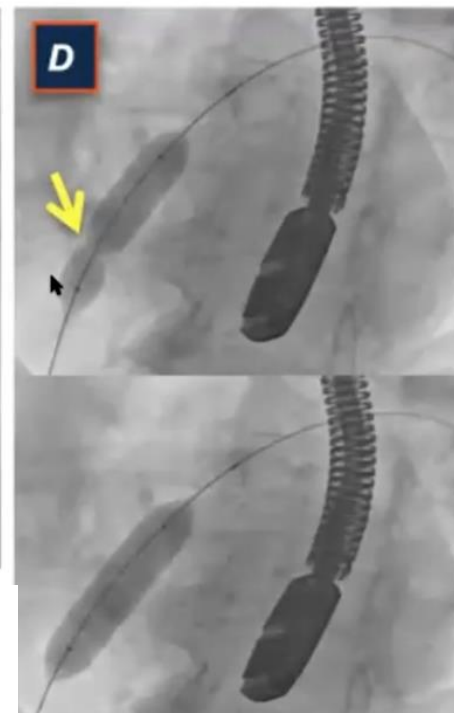
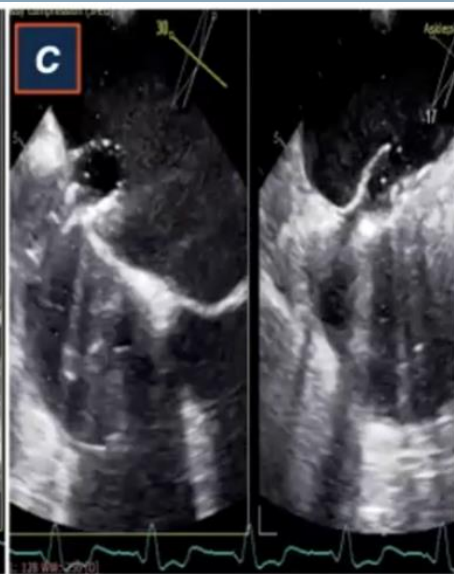
### Objectives

- Safety and tolerability of the AFR device by assessing the incidence of SADEs between 3- & 12-months following implantation
- Improvement in patient symptoms and of hemodynamic parameters at 3-, 6- & 12-months following implantation

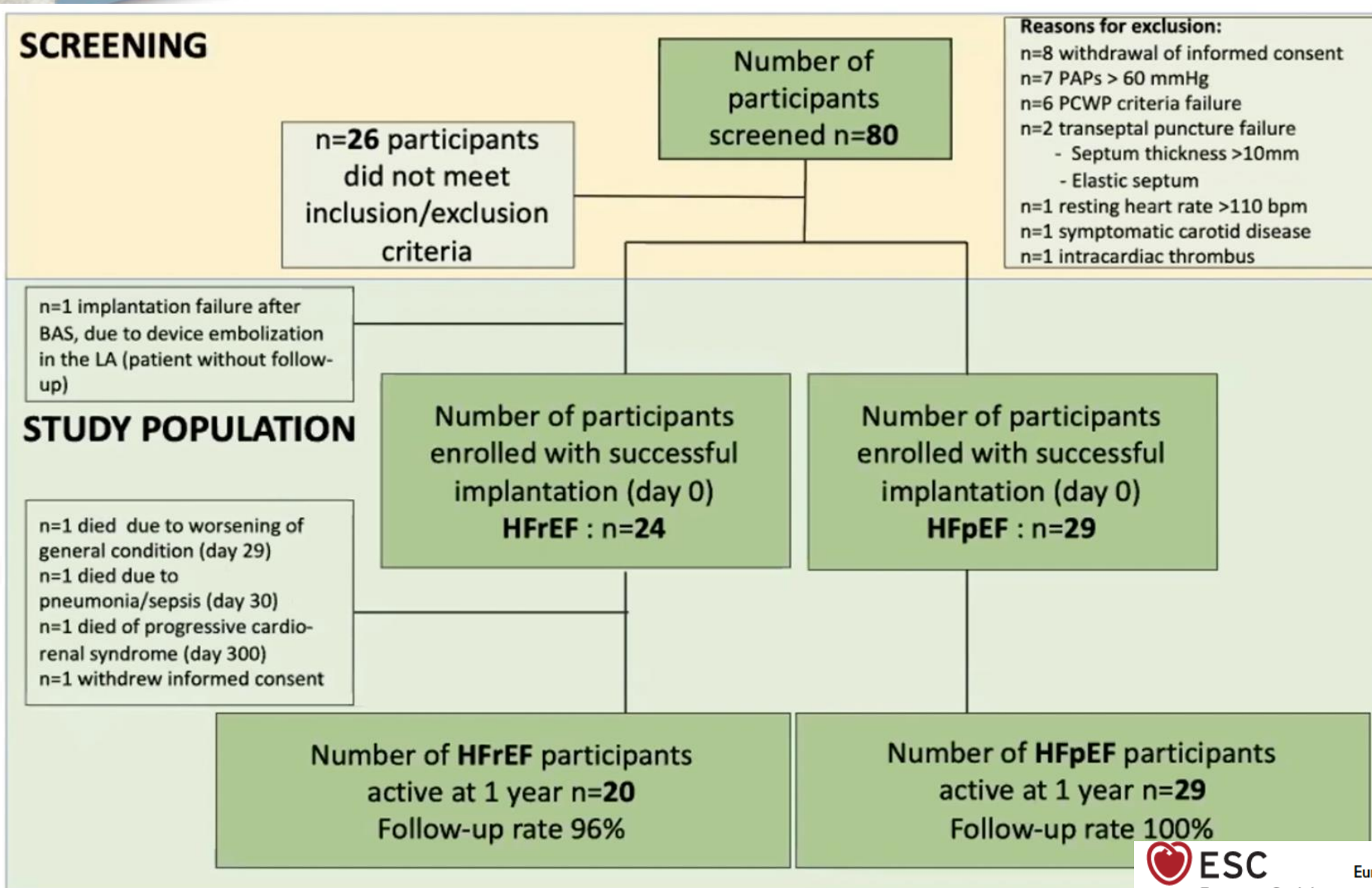
# AFR-PRELIEVE Trial

## PRELIEVE STUDY DEVICE SIZING






# AFR-PRELIEVE Trial



# AFR-PRELIEVE Trial

	HFrEF (n=24)	HFpEF (n=29)
<b>Demographics, mean ± SD</b>		
Age, years	69.3 ±6.2	66.2 ±10.4
Gender male, n (%)	71%	48%
<b>Cardiac status, mean ± SD</b>		
NYHA class III, n (%)	92%	93%
NYHA class IV, n (%)	8%	7%
NT-proBNP, pg/ml	3025 ±4034	850.9 ±1434
6MWD, m	186 ±102	219 ±122
PAP, systolic, mmHg	29.2 ±14.6	37.6 ±12.3
<b>Echocardiographic measurements</b>		
LV-EF, %	31.2 ±7.1	51.1 ±6.3
Left atrial diameter, mm	44.1 ±8.3	43.9 ±7.1
Mitral valve E/E', ratio	13.2 ±8.5	15.2 ±6.3
LVEDD, mm	61.7 ±8.1	51.7 ±7.3
TAPSE, cm	2.1 ±0.6	4.6 ±6.2

# AFR-PRELIEVE Trial



	HFrEF n=24	HFpEF n=29	Combined n=53
<b>Implantation success, n (%)</b>	100%	100%	100%
<b>Device fenestration diameter</b>			
8 mm, n (%)	79%	72%	75%
10 mm, n (%)	21%	28%	25%
<b>Device waist height</b>			
5 mm, n (%)	100%	90%	94%
10 mm, n (%)	0%	10%	6%
<b>Procedural time in min, mean ± SD</b>			
Balloon atrioseptostomy	13.5 ± 9.5	12.2 ± 11.5	12.7 ± 10.7
Device implantation	6.5 ± 5.2	9.3 ± 8.5	7.7 ± 7.5
Overall catheterization	83.5 ± 21.9	81.9 ± 33.8	83.0 ± 29.0
Fluoroscopy	23.9 ± 7.7	18.8 ± 10.9	21.4 ± 9.7



# AFR-PRELIEVE Trial

## Safety (12 months)

	Combined n=53
Device removal, n (%)	0
SADEs, n (%)	1 (2%)
Death, n (%)	3 (6%)
Stroke, n (%)	0
Myocardial infarction, n (%)	1 (2%)
Atrial fibrillation (new onset or worsening), n of patients with at least 1 event (%)	11 (20.3)
Renal function worsening or new impairment (no dialysis), n (%)	11 (20.7)
<b>Hospitalizations</b>	
For heart failure, total events	11
For heart failure, patients with at least 1 event (%)	6 (11.1)

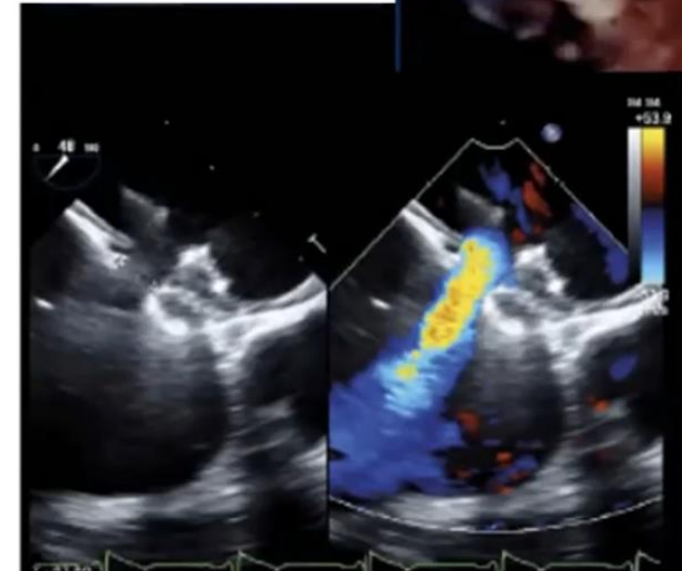
(1) patient with 2 documented SADE after the procedure with bleeding at the puncture site and loss of consciousness, which resolved without sequela

# AFR-PRELIEVE Trial

## Device patency (12 months)

	HFrEF n=24	HFpEF n=29	Combined n=53
<b>Shunt Patency<sup>1</sup></b>			
Post-procedure	100%	100%	100%
3 months	88%	90%	89%
12 months	80%	90%	85%
<b>Pulmonary-Systemic Flow Ratio<sup>3</sup></b>			
Qp/Qs (post procedure)	1.3±0.2	1.1±0.4	1.2±0.3
Qp/Qs (3 months)	1.3±0.2	1.2±0.1	1.2±0.2

*n=3 patients died, 1 withdrew patient consent and 5 patient had inadequate TTE quality to assess patency*

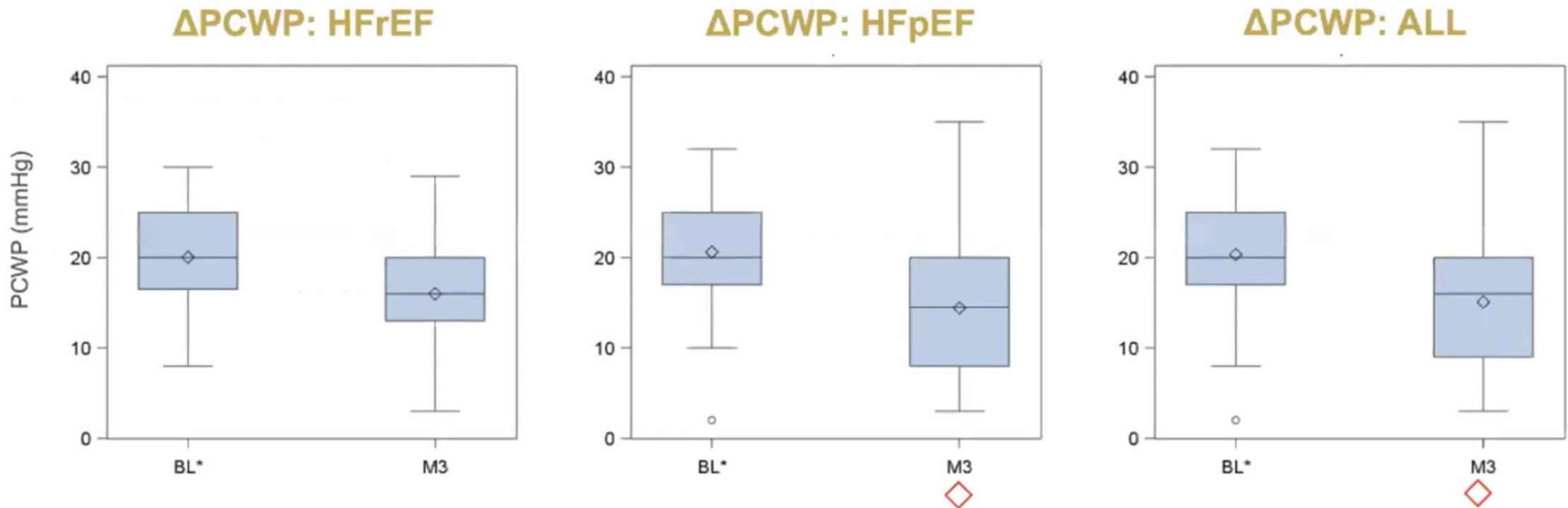


# AFR-PRELIEVE Trial

	HF <sub>r</sub> EF			HF <sub>p</sub> EF		
	Baseline (n=24)	12 months (n=20)	Δ12 months vs. baseline (n=20)	Baseline (n=29)	12 months (n=29)	Δ12 months vs. baseline (n=29)
<b>Left Heart Measurements</b>						
LA diameter (mm)	44 ±8.4	44.3 ±6.1	1.3 ±5.2	43.6 ±7.1	43.08 ±6.72	-0.5 ±5.7
LV enddiastolic diameter (mm)	61.7 ±8.1	61.4 ±9.2	0.33 ±9.3	51.7 ±7.3	51.8 ±9.9	-0.0 ±6.8
Mitral valve E/E'	13.2 ±8.5	9.6 ±5.1	-3.1 ±5.8 <sup>1</sup> ( <i>p</i> <0.05)	15.2 ±6.3	12.7 ±5.3	-2.40 ±5.2 <sup>1</sup> ( <i>p</i> <0.05)
MAPSE (cm)	2.2 ±2.5	1.9 ±1.9	-0.3 ±3.5	2.8 ±4.1	3.9 ±5.3	1.1±4.7
Ejection fraction (%)	31.2 ±7	39.6 ±13.8	7.9 ±14.4* ( <i>p</i> <0.05)	51.1 ±6.3	49.9 ±10.3	-1.1 ±9
<b>Right Heart Measurements</b>						
PAP systolic (mmHg)	29.2 ±14.5	29.3 ±12.9	5.4 ±14.5	37.7 ±12.3	36.5 ±12.3	.3 ±13.3
TAPSE (cm)	2.1 ±0.6	2.2 ±0.4	0.03 ±0.4	4.6 ±6.2	5.4 ±7.6	0.7 ±7.1
Ratio RV/LV size (mm)	0.6 ±0.1	0.6 ±0.2	0.04 ±0.2	0.6 ±0.2	0.7 ±0.1	0.09 ±0.1 <sup>1</sup> ( <i>p</i> <0.05)
RV enddiastolic diameter long axis (mm)	35.7 ±8	38.6 ±8.7	2.6±7.2	30.7 ±9.9	34.5 ±8	4.2 ±8.5 <sup>1</sup> ( <i>p</i> <0.05)
RV enddiastolic diameter short axis (mm)	31.9 ±6.6	36.5 ±9.4	2.7 ±4.5	30.8 ±7.2	34.6 ±8.7	2.8 ±9.4

# AFR-PRELIEVE Trial

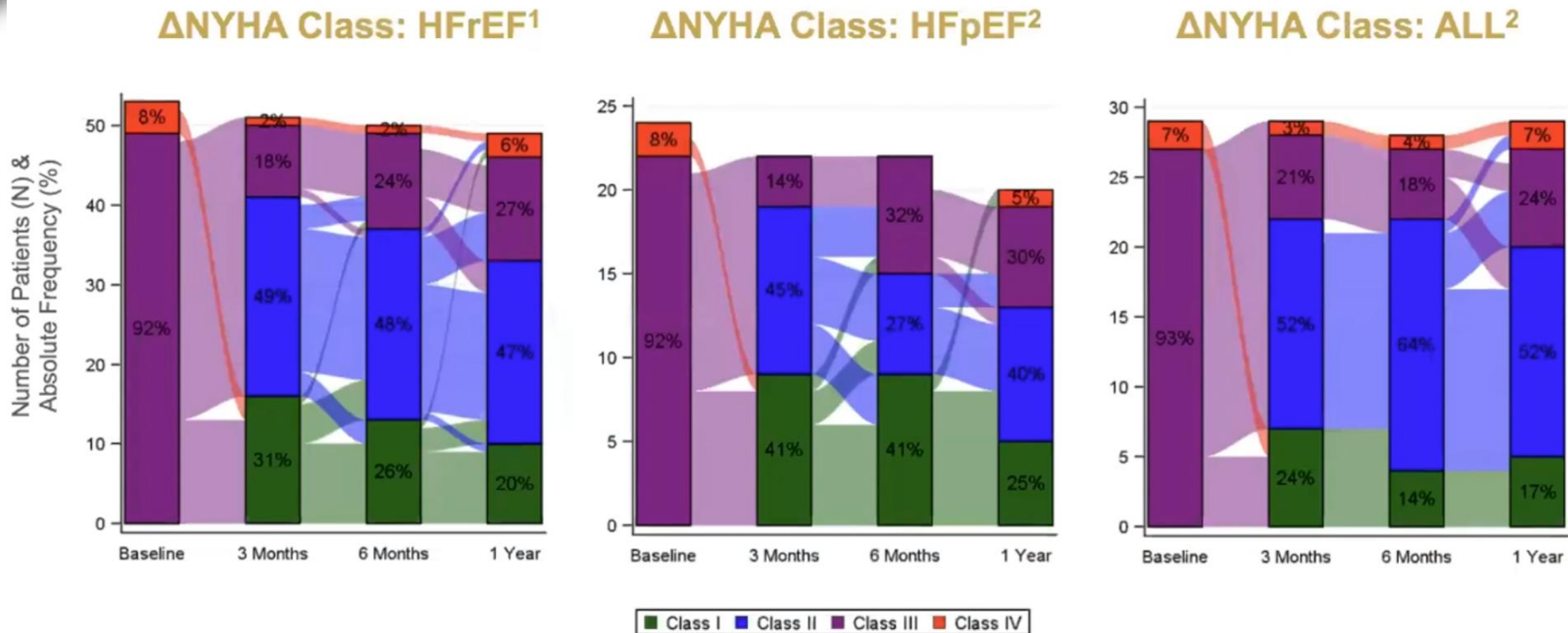
## PCWP (3 months)



◇  $p < 0.0005$   
\*baseline measurements taken on day of procedure

# AFR-PRELIEVE Trial

## NYHA (12 months)



(1)  $p < 0.0001$  at 3 and 6 months;  $p = 0.0012$  at 12 months

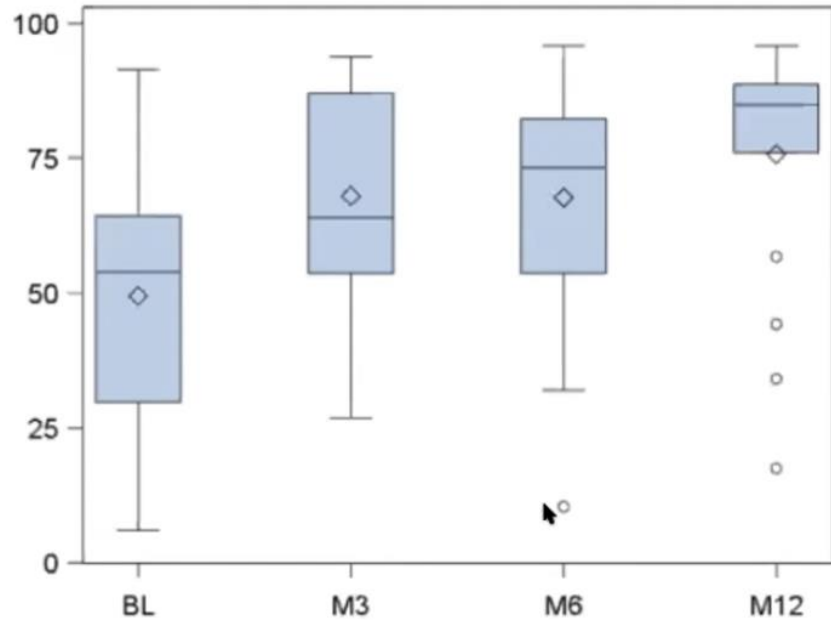
(2)  $p < 0.0001$  at 3, 6 and 12 months



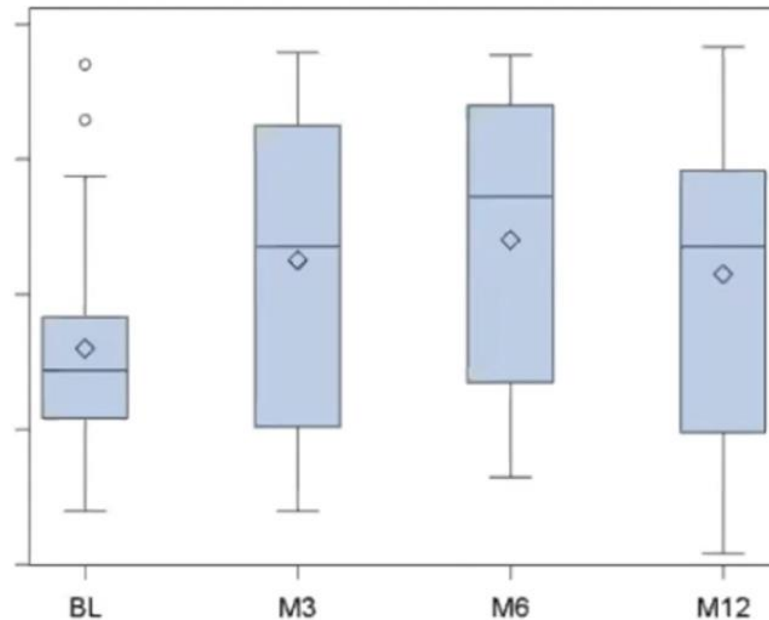
# AFR-PRELIEVE Trial

## KCCQ-OSS (12 months)

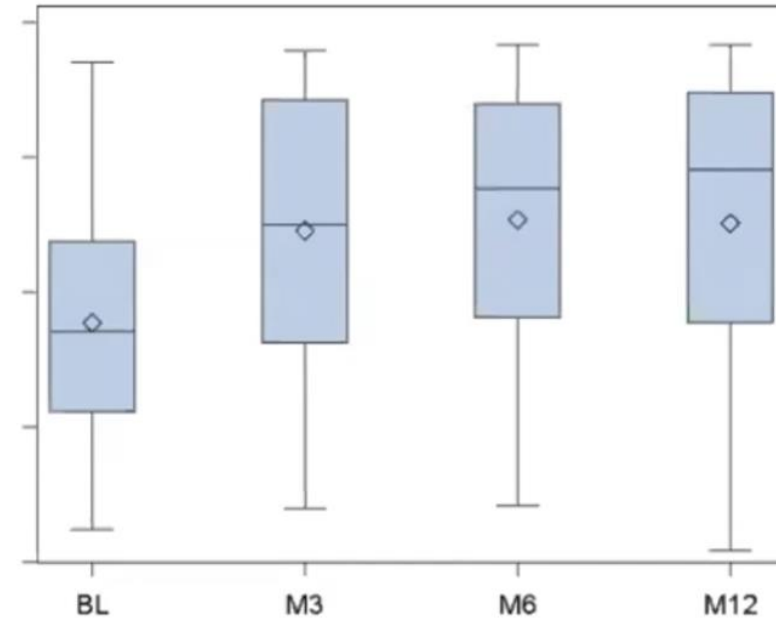
### ΔKCCQ-OSS: HF<sub>r</sub>EF



### ΔKCCQ-OSS: HF<sub>p</sub>EF



### ΔKCCQ-OSS: ALL



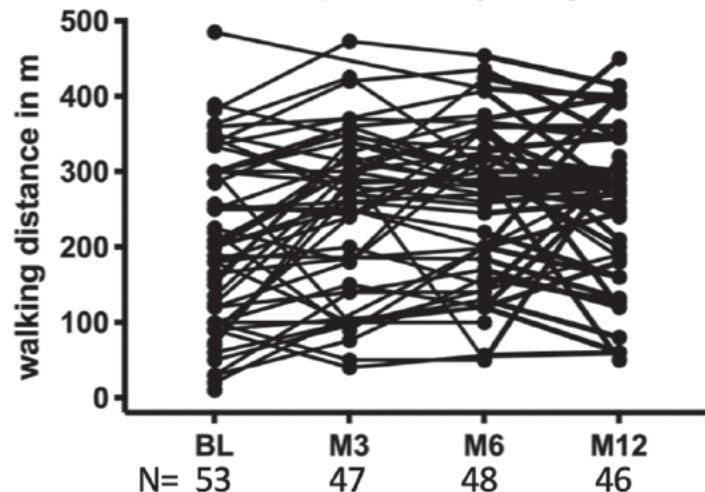
◆  $p < 0.05$  ★  $p < 0.005$  ◇  $p < 0.0005$

# AFR-PRELIEVE Trial



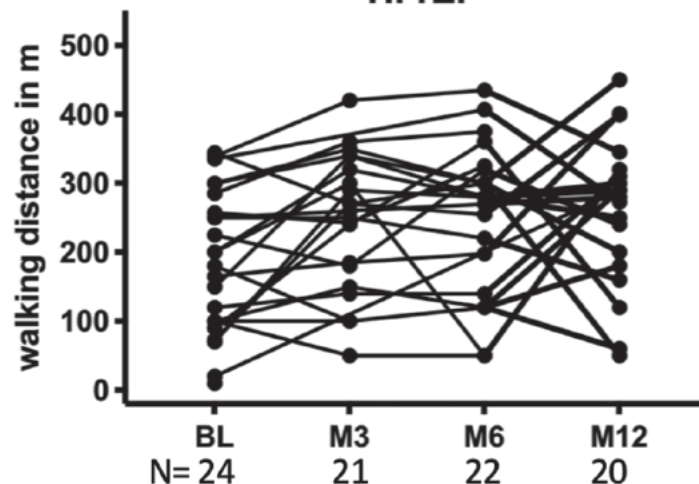
## 6MWD (12 months)

**E** 6 minute walking distance (6MWD)  
All patients (n=53)



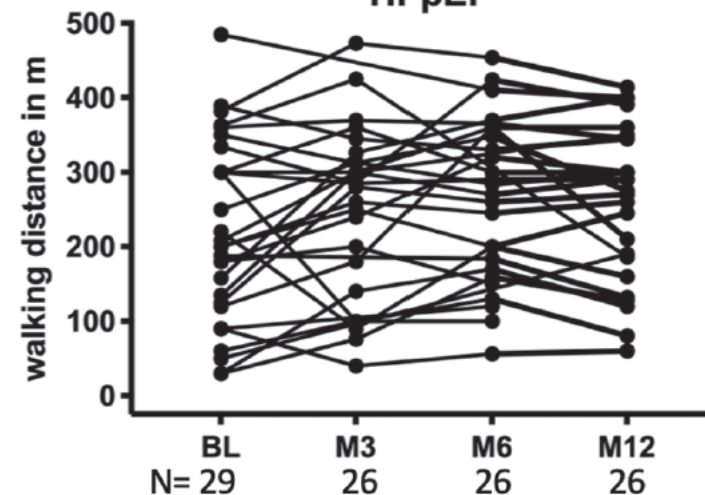
Patient level  $\Delta$  6MWD (m)  
M3-BL:  $\Delta$  50 (-14, 92), p 0.0016\*  
M6-BL:  $\Delta$  20 (-20, 96), p 0.0030\*  
M12-BL:  $\Delta$  50 (-33, 113), p 0.0198\*

6 minute walking distance (6MWD)  
HFrEF



Patient level  $\Delta$  6MWD (m)  
M3-BL:  $\Delta$  40 (-7, 110), p 0.0155\*  
M6-BL:  $\Delta$  27 (-8, 95), p 0.0035\*  
M12-BL:  $\Delta$  60 (-3, 134), p 0.0494\*

6 minute walking distance (6MWD)  
HFpEF



Patient level  $\Delta$  6MWD (m)  
M3-BL:  $\Delta$  55 (-21, 91), p 0.0416\*  
M6-BL:  $\Delta$  5 (-25, 132), p 0.0425\*  
M12-BL:  $\Delta$  28 (-41, 103), p 0.18

Graphics depict individual patient measurements during follow-up  
 $\Delta$  values are reported in median (Q1, Q3)

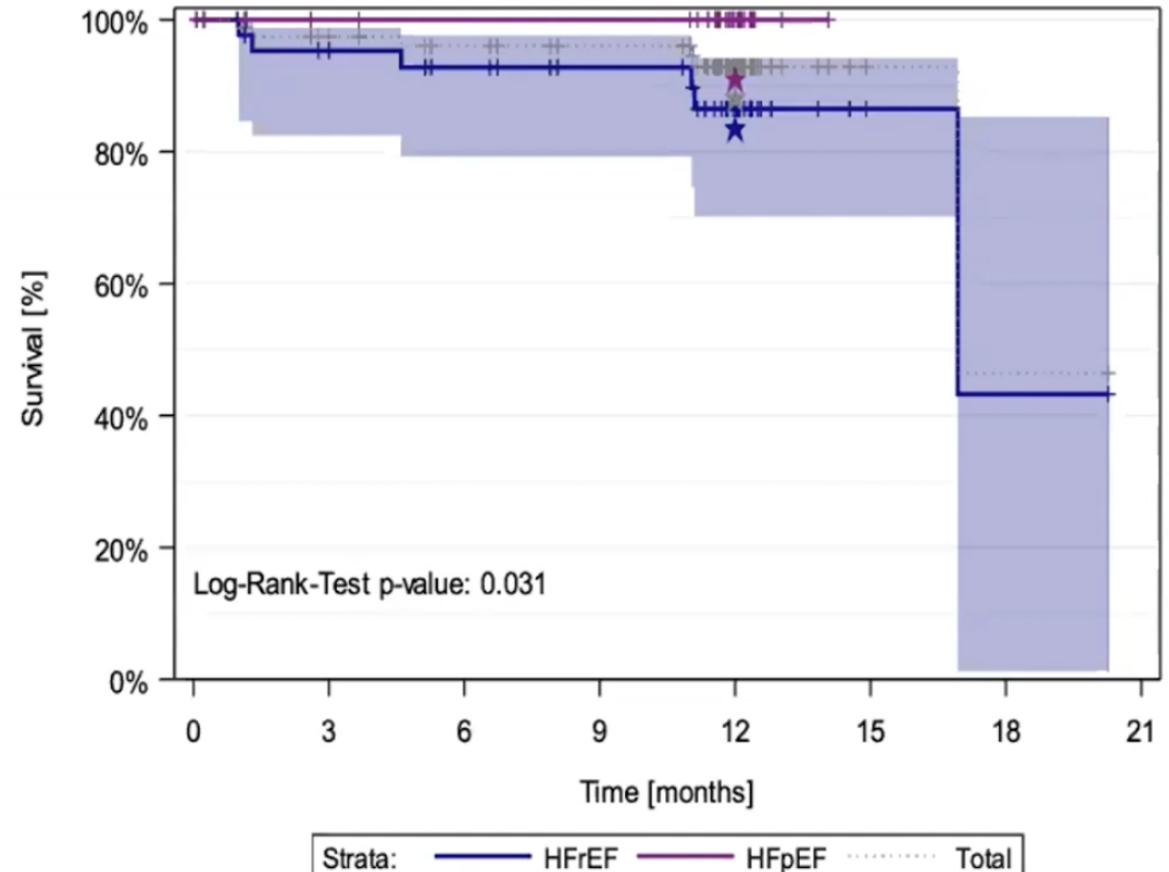
# AFR-PRELIEVE Trial

## Observed vs Predicted Mortality Rates (AFR patients)

- **All patients (HFrEF & HFpEF):**
  - Predicted mortality: 12.2/100 patient years
  - Observed: 5.7/100 patient year
  - > 53.3% lower rate ( $p < 0.05$ )
- **HFpEF cohort:**
  - predicted mortality: 9.3/100 patient years
  - Observed: 0/100 patient years
- **HFrEF cohort:**
  - predicted mortality: 16.8/100 patient years
  - observed: 10.8/100 patient year
  - > 35.7% lower rate ( $p > 0.05$ )

Median follow-up duration: 353 days

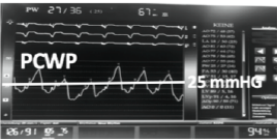
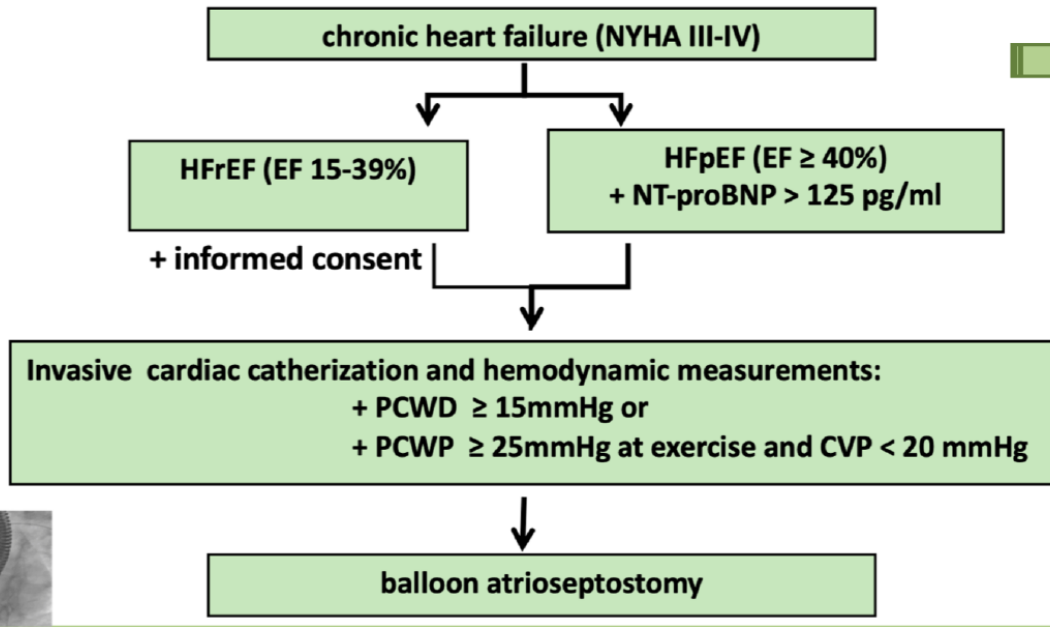
Time to HF-Related Death  
HFpEF, HFrEF & Combined (N=60)<sup>2</sup>





# THE PRELIEVE STUDY FLOW CHART

**SCREENING**  
n=80



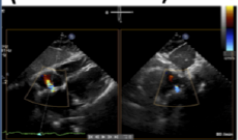
**STUDY INCLUSION** n=54

**SUCCESSFUL IMPLANTATION OF THE AFR DEVICE** n=53/54

◆ Primary endpoint    ↓    ◇ Secondary endpoint

- ◆ Serious adverse device effect (SADE) up to 3 months
- ◇ Serious adverse device effect (SADE) up to 12 months
- ◇ Hemodynamic variables with right heart catheterization at 3 months
- ◇ Patency
- ◇ Clinical variables (NYHA, KCCQ, 6MWD)
- ◇ Laboratory variables (NT-proBNP concentration)
- ◇ Echocardiographic measurements

**ONE-YEAR FOLLOW-UP**  
n=53  
(n=24 HFrEF, n=29 HFpEF)



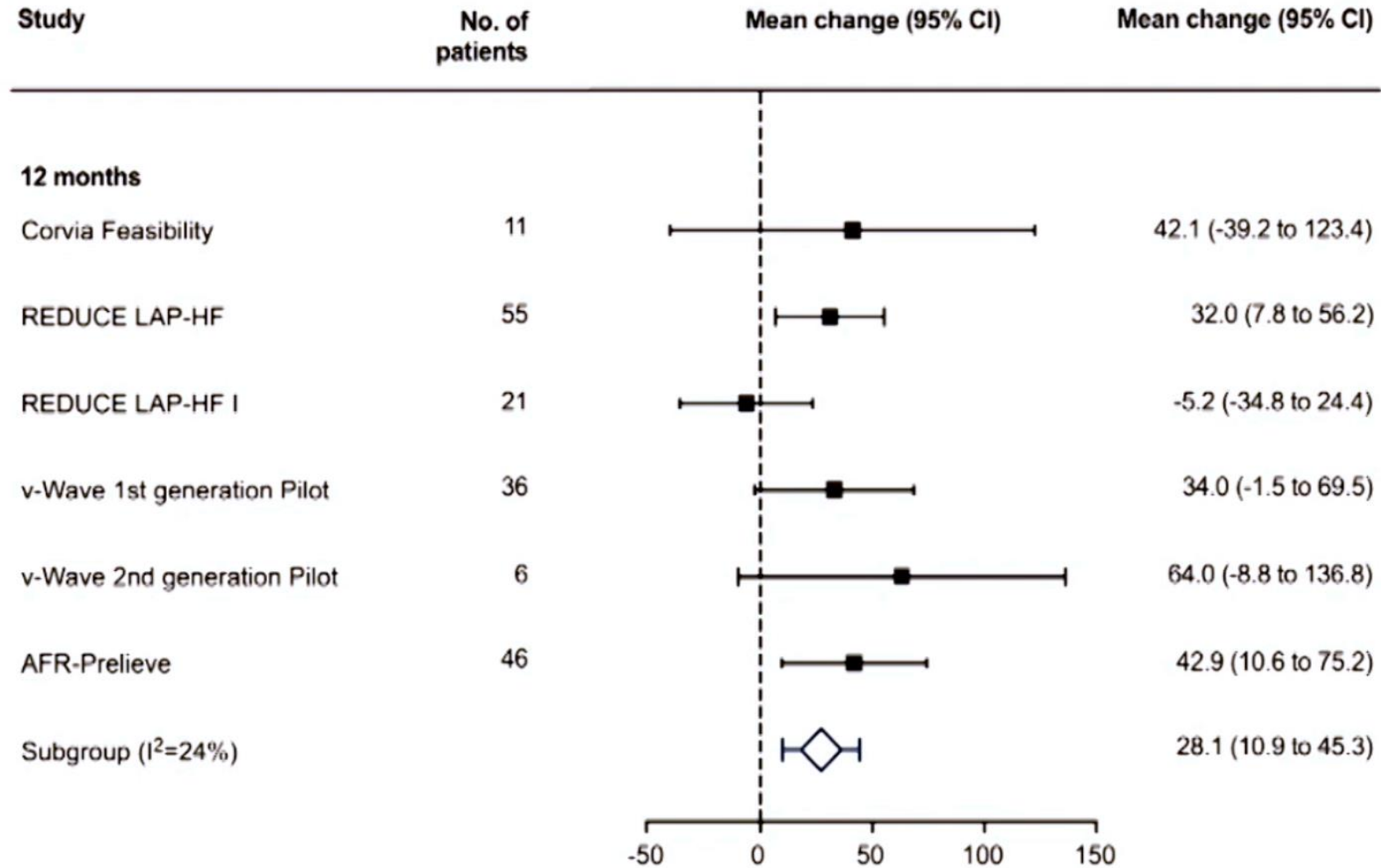
## Reasons for screening failure (n=26):

- n=8 withdrawal of informed consent
- n=7 PAPs > 60 mmHg
- n=6 PCWP criteria failure
- n=2 transseptal puncture failure
  - septum thickness >10mm
  - elastic septum
- n=1 resting heart rate >110 bpm
- n=1 symptomatic carotid disease
- n=1 intracardiac thrombus

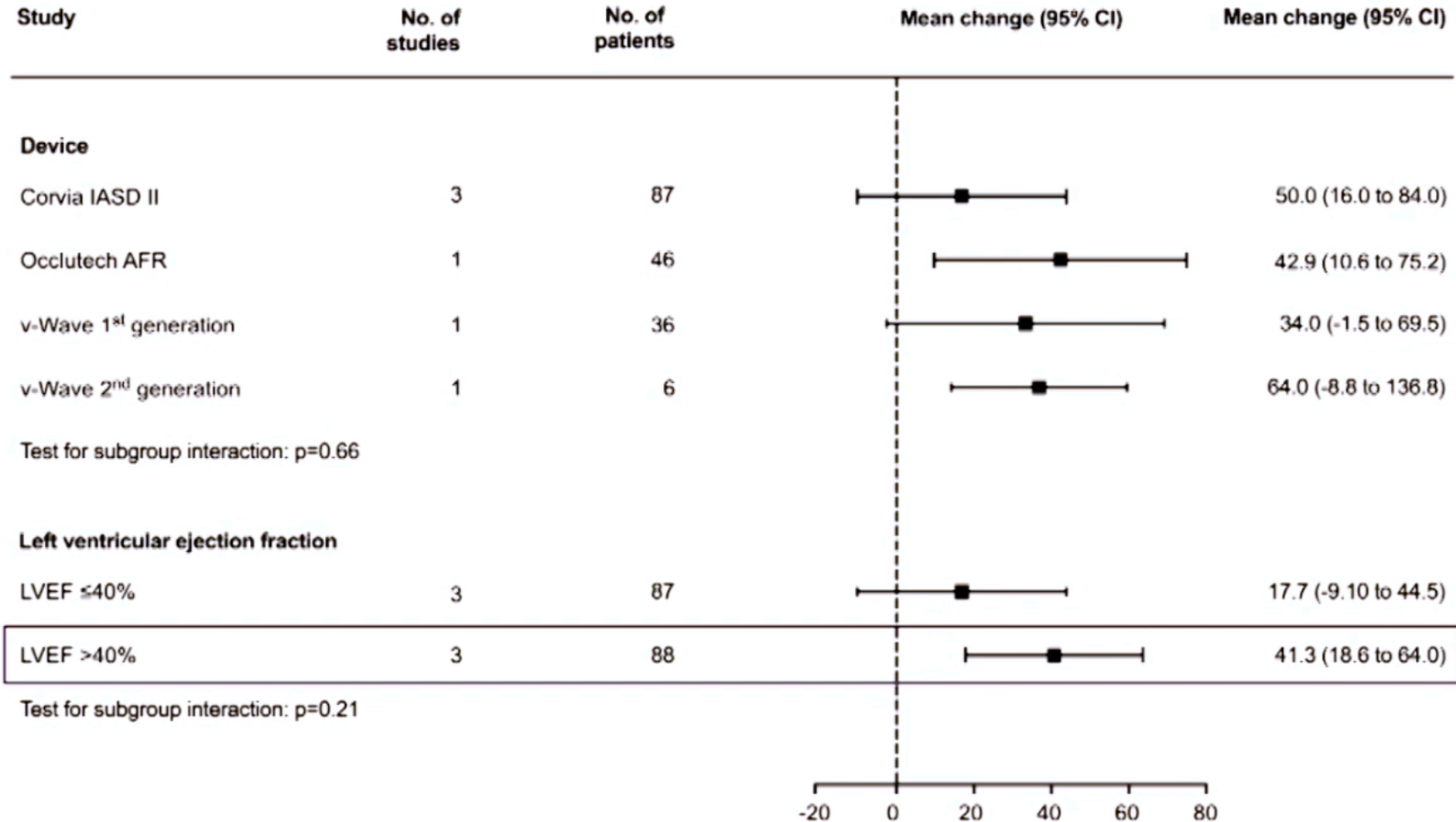
## Results in Summary:

- Implantation of the AFR device was **feasible in 98% of the included patients**
- During one-year follow-up:
  - **No shunt occlusions** (92% patients with sufficient echo quality)
  - **No strokes**
  - **No worsening of right heart function**
- Three-month **PCWP reduction** ↓ and **improvement** ↑ in **functional NYHA class, quality of life and exercise capacity** at one-year follow-up suggest potential clinical efficacy

# Change in 6-MWD



# Change in 6-MWD

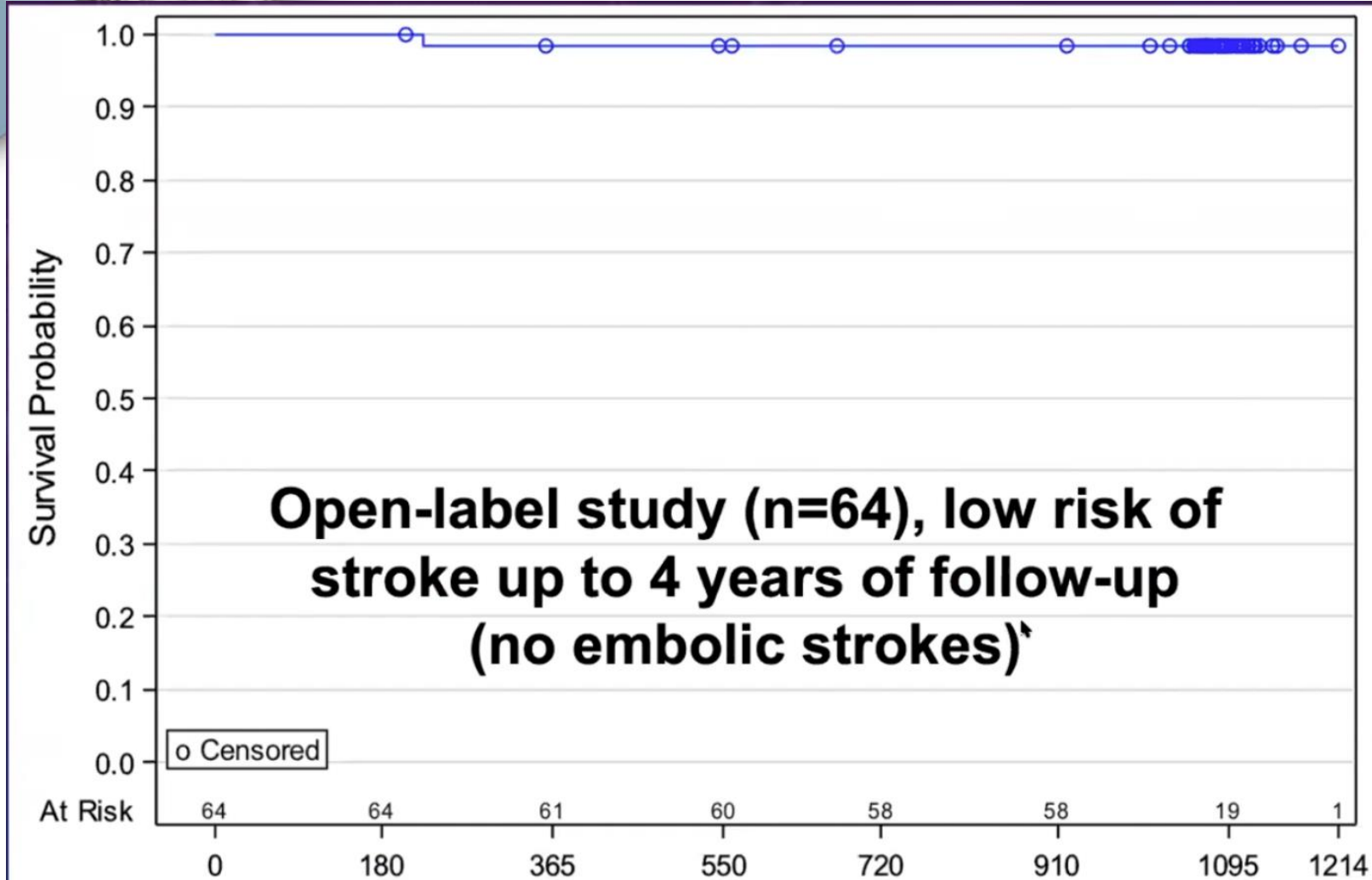


# Secondary endpoint



Outcome	No. of patients	Mean change at 12 months (95% CI)
<i>Cardiac status</i>		
NT-proBNP, pg/mL	102	-56.5 (-199.7 to 86.7)
NYHA class	182	-0.6 (-1.0 to -0.3)
Standardized QoL	146	<b>17.7 (10.8 to 24.6)</b>

# Risk of stroke ?

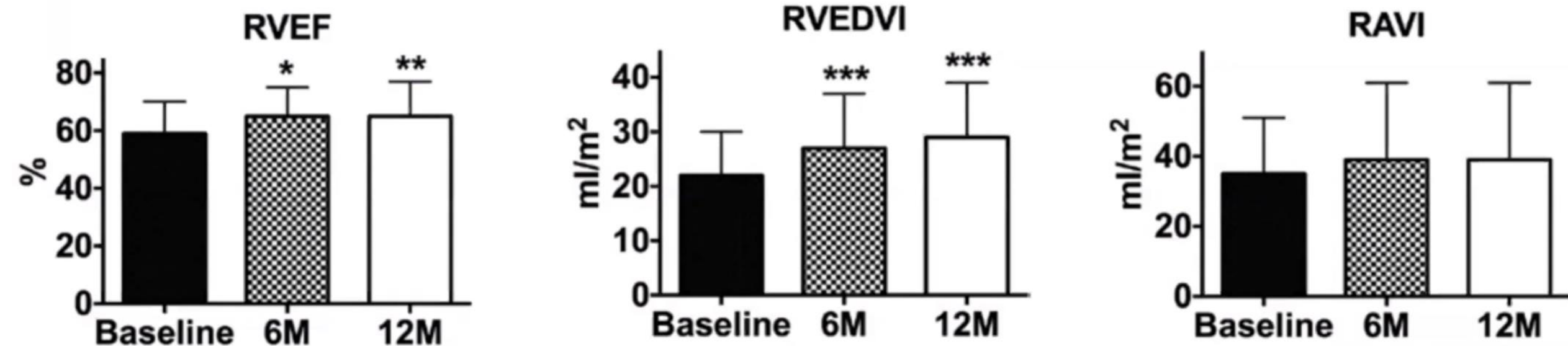


## Anticoagulation:

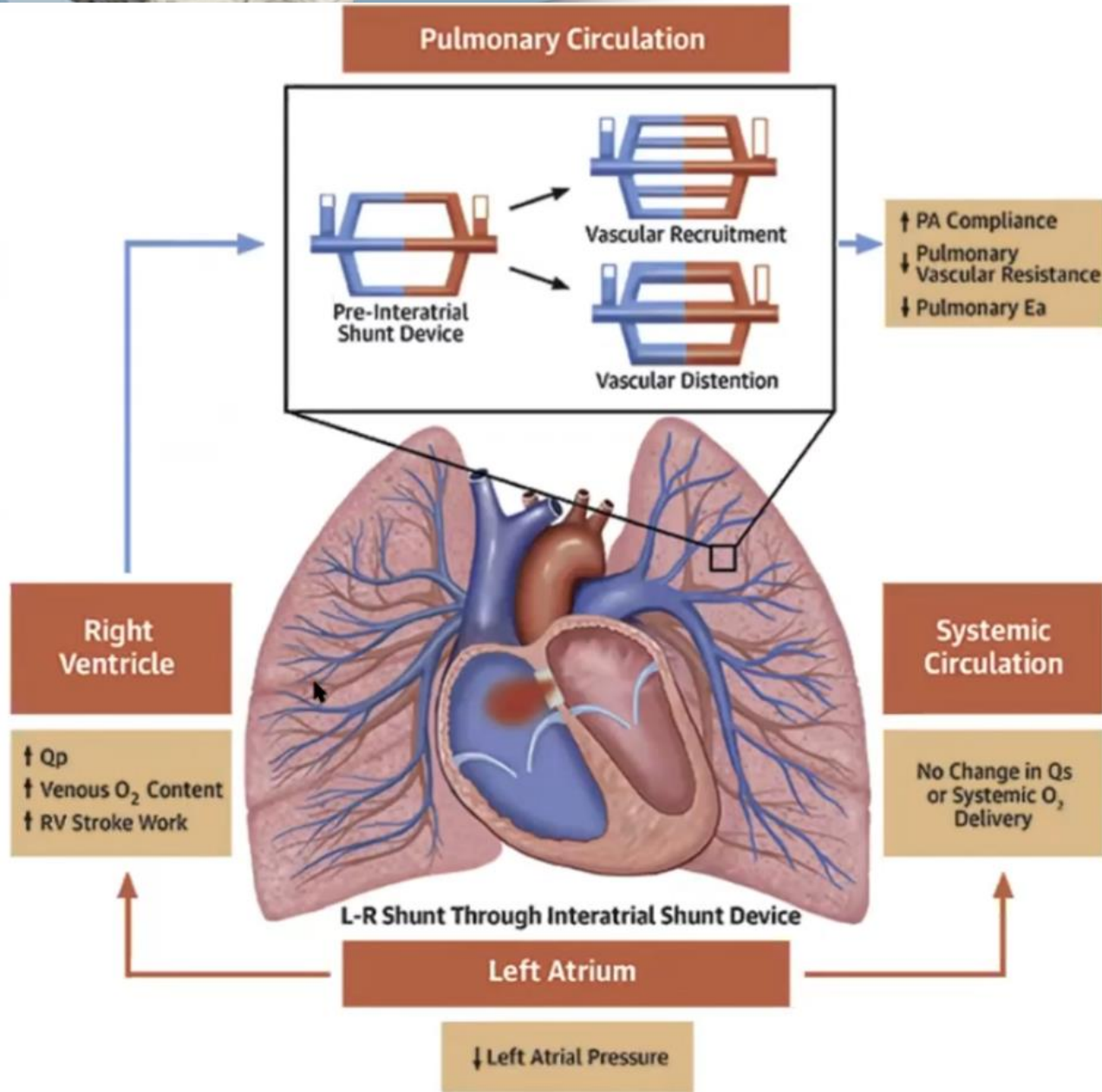
- All get ASA 81 mg po qd indefinitely
- If on anticoagulation (e.g., DOAC, warfarin, clopidogrel) continue for at least 6 months
- If not on anticoag, Rx with clopidogrel x 6 mo.

# Risk of RV failure ?

No change in RV parameters between 6 and 12 months



# Risk of RV failure ?



## Atrial Shunt device

↓ PCWP

↓ Augmentation of PA systolic pres

↑ O<sub>2</sub> delivery to pulmonary vasculature

↑ Flow to pulmonary vasculature

↑ Pulmonary vascular recruitment

# How big ?

## Basic Science and Experimental Studies

### Effects of an Interatrial Shunt on Rest and Exercise Hemodynamics: Results of a Computer Simulation in Heart Failure

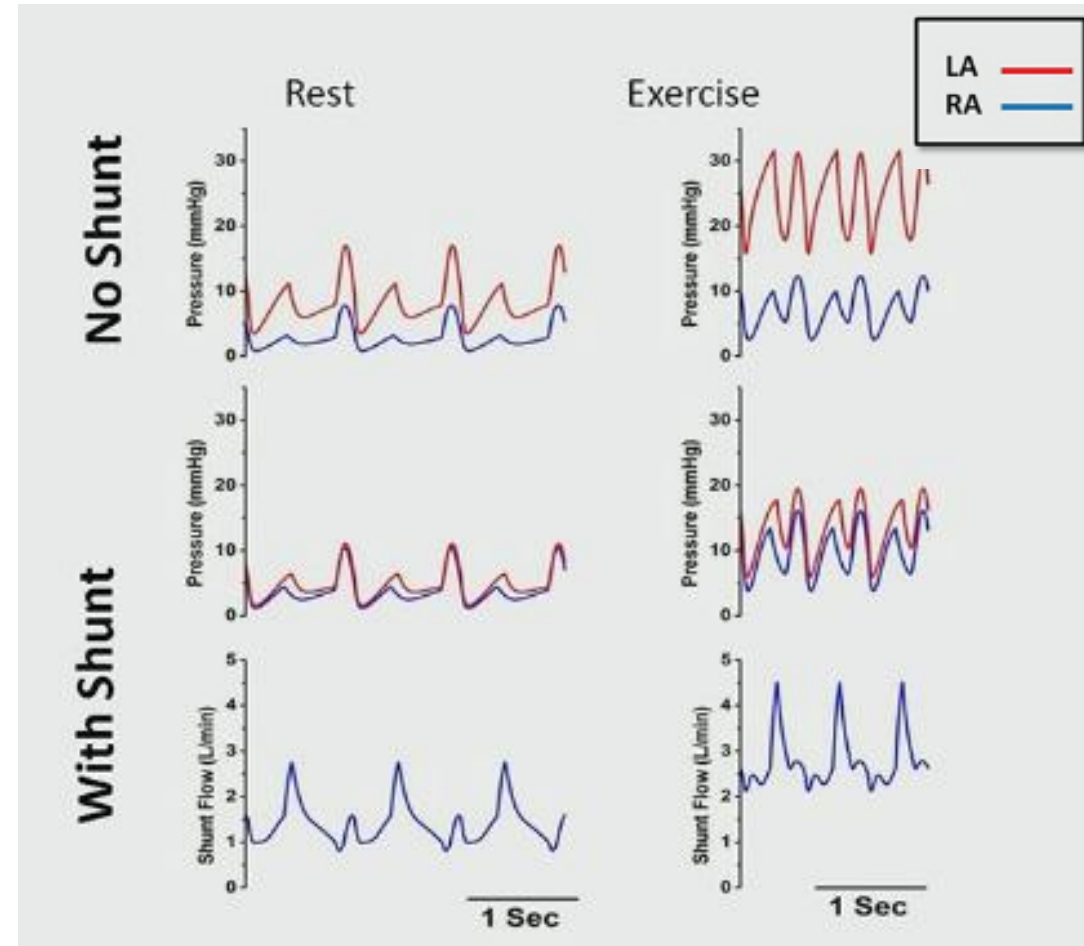
DAVID KAYE, MD, PhD,<sup>1</sup> SANJIV J. SHAH, MD,<sup>2</sup> BARRY A. BORLAUG, MD,<sup>3</sup> FINN GUSTAFSSON, MD,<sup>4</sup>  
JAN KOMTEBEDDE, DVM,<sup>5</sup> SPENCER KUBO, MD,<sup>6</sup> CHRIS MAGNIN,<sup>5</sup> MATHEW S. MAURER, MD,<sup>7</sup> TED FELDMAN, MD,<sup>8</sup> AND  
DANIEL BURKHOFF, MD, PhD<sup>7</sup>

#### ABSTRACT

**Background:** A treatment based on an interatrial shunt device has been proposed for counteracting elevated pulmonary capillary wedge pressure (PCWP) in patients with heart failure and mildly reduced or preserved ejection fraction (HFpEF). We tested the theoretical hemodynamic effects of this approach with the use of a previously validated cardiovascular simulation.

**Methods and Results:** Rest and exercise hemodynamics data from 2 previous independent studies of patients with HFpEF were simulated. The theoretical effects of a shunt between the right and left atria (diameter up to 12 mm) were determined. The interatrial shunt lowered PCWP by  $\sim 3$  mm Hg under simulated resting conditions (from 10 to 7 mm Hg) and by  $\sim 11$  mm Hg under simulated peak exercise conditions (from 28 to 17 mm Hg). Left ventricular cardiac output decreased  $\sim 0.5$  L/min at rest and  $\sim 1.3$  L/min at peak exercise, with corresponding increases in right ventricular cardiac output. However, because of the reductions in PCWP, right atrial and pulmonary artery pressures did not increase. A majority of these effects were achieved with a shunt diameter of 8–9 mm. The direction of flow through the shunt was left to right in all of the conditions tested.

**Conclusions:** The interatrial shunt reduced left-sided cardiac output with a marked reduction in PCWP. This approach may reduce the propensity for heart failure exacerbations and allow patients to exercise longer, thus attaining higher heart rates and cardiac outputs with the shunt compared with no shunt. These results support clinical investigation of this approach and point out key factors necessary to evaluate its safety and hemodynamic effectiveness. (*J Cardiac Fail* 2014;20:212–221)





# How big ?

## Ideal hemodynamics after AFR<sup>®</sup>

### Pulmonary Hypertension

RA pressure < 15 mmHg

LA pressure < 15 mmHg

Systemic saturation > 85%

Qp:Qs ratio > 0.75

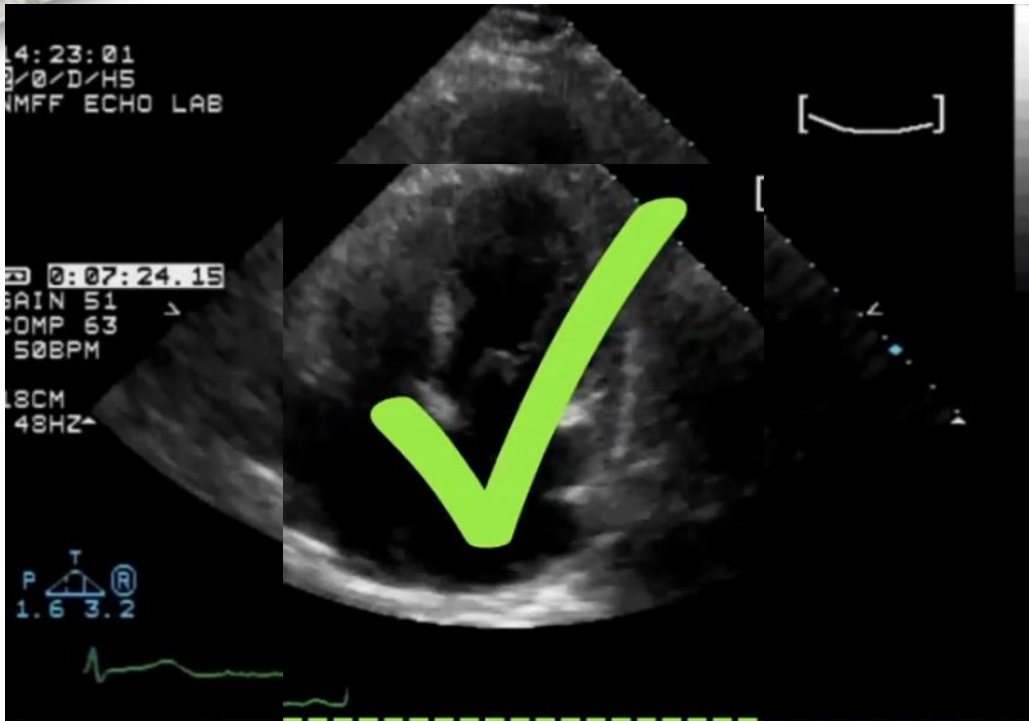
### HFpEF

AFR size (mm)	Pre-procedure		Post-procedure		Δ in LAP (mmHg)
	LAP (mmHg)	Qp:Qs (%)	LAP (mmHg)	Qp:Qs (%)	
4	20		18	109	2
	25	95	23	110	2
	30		28	111	2
6	20		17	128	3
	25	95	21	131	4
	30		25	133	5
8	20		14	150	6
	25	95	18	156	7
	30		22	161	8
10	20		13	175	7
	25	95	16	183	9
	30		20	190	10

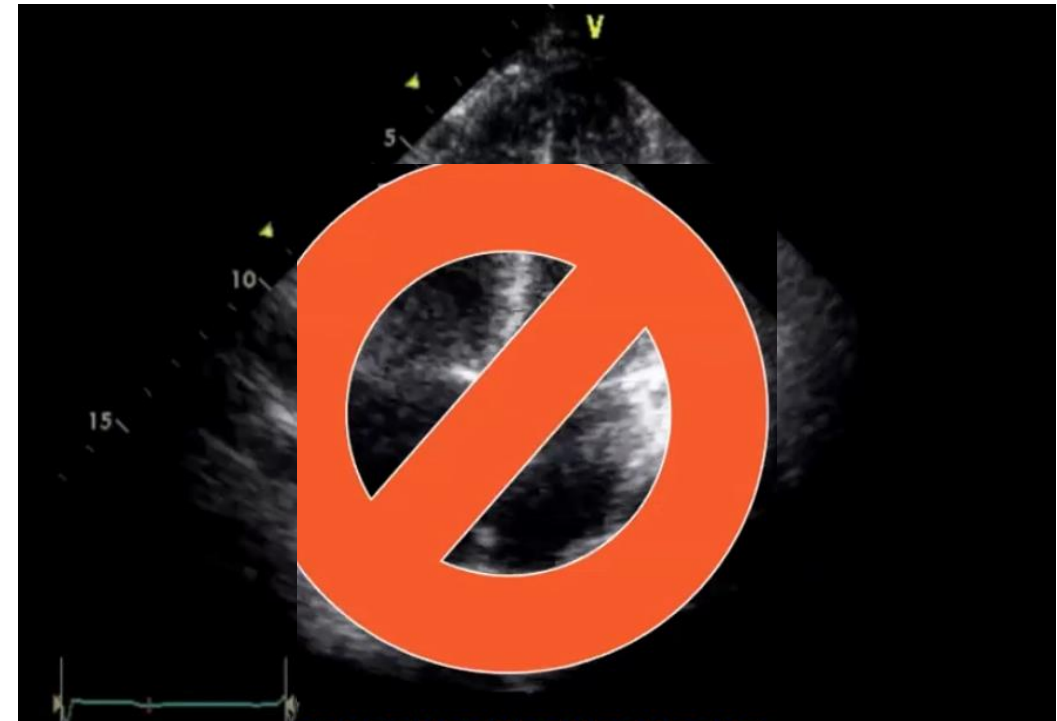
Initial experience and Computer simulation suggests AFR 6-10 mm can reduce RAP, with increase CO without dropping Qp/Qs below 0.75 & saturation above 85%

Safe ASD size is crucial – 8 mm = Qp/Qs 1.3:1

# Selection of patients

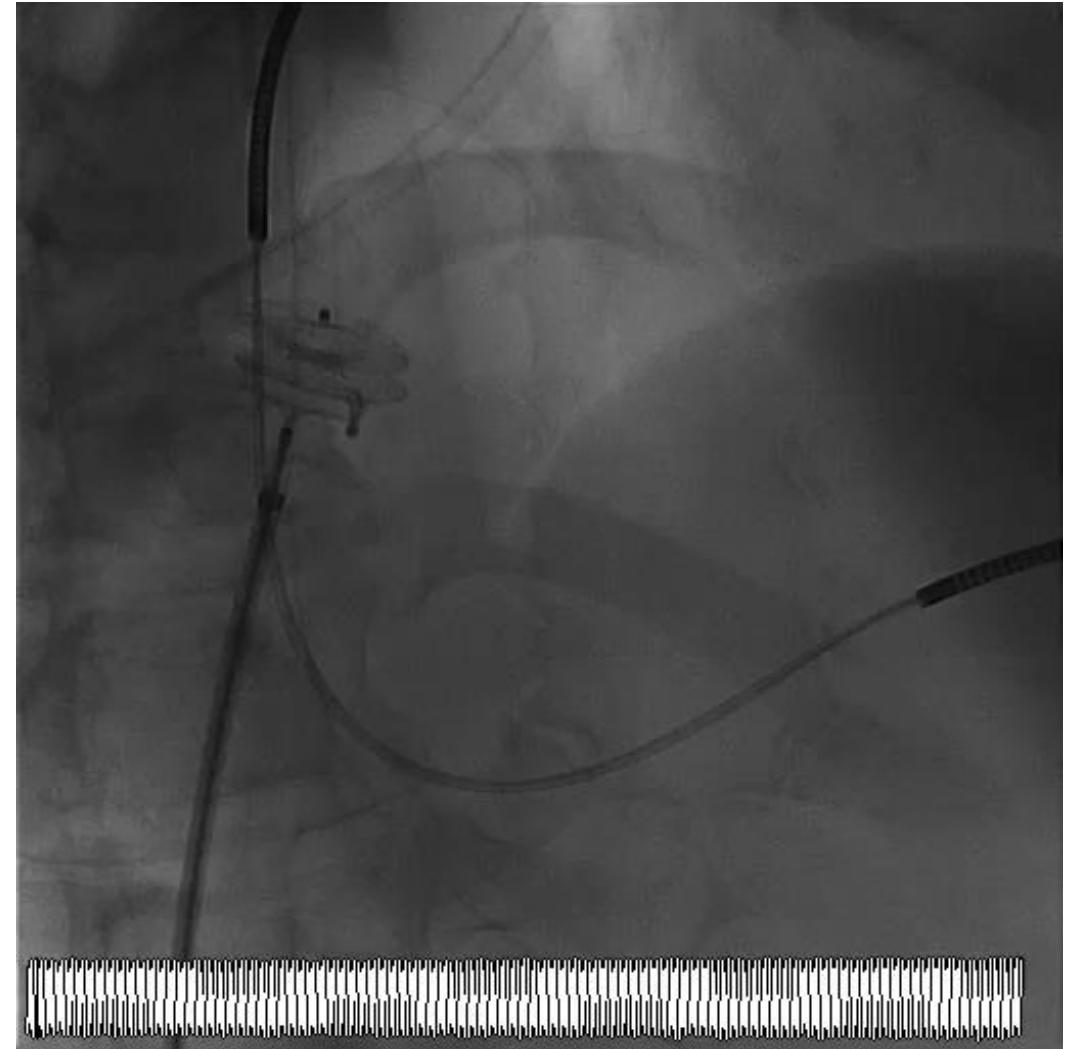
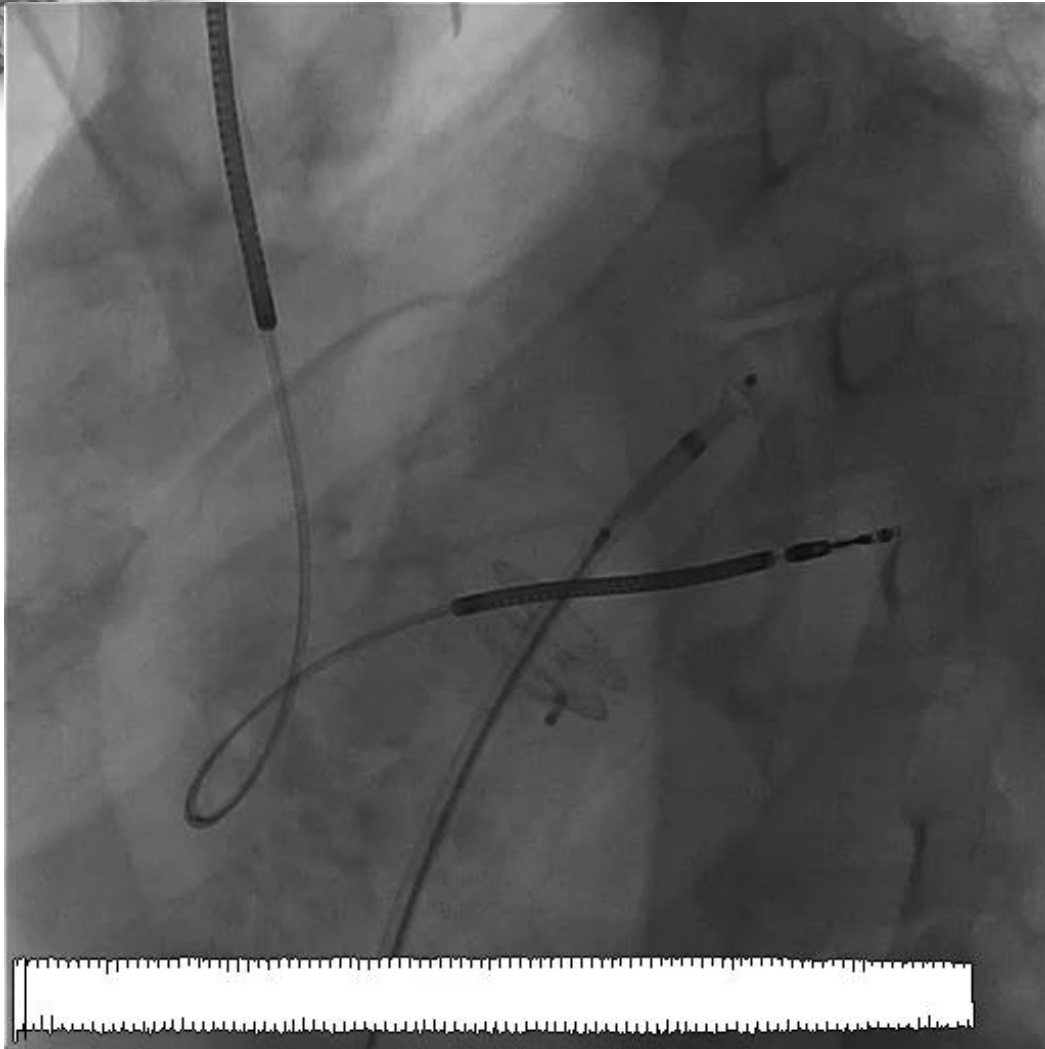


**RAP: 8 mmHg**  
**PCWP: 29 mmHg**  
**CI: 3.4 L/min/m<sup>2</sup>**  
**PVR: 1.8 WU**

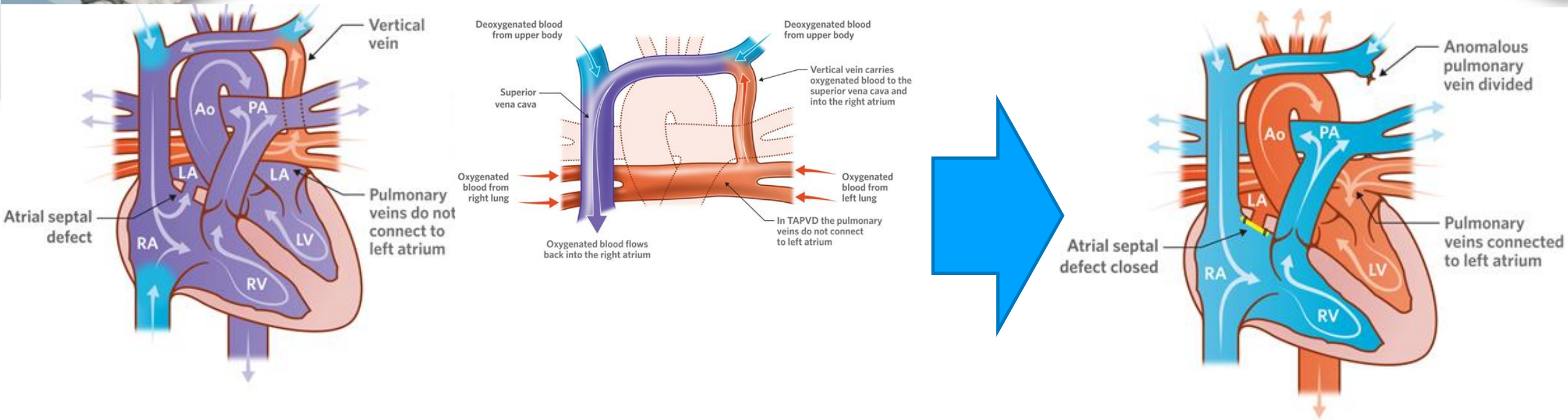


**RAP: 24 mmHg**  
**PCWP: 28 mmHg**  
**CI: 2.1 L/min/m<sup>2</sup>**  
**PVR: 3.8 WU**

# Easily to close



# Case CHD. Restrictive LV - AFR®



**62 y.o. man. Supracardiac total anomalous pulmonary venous connection + ASD**

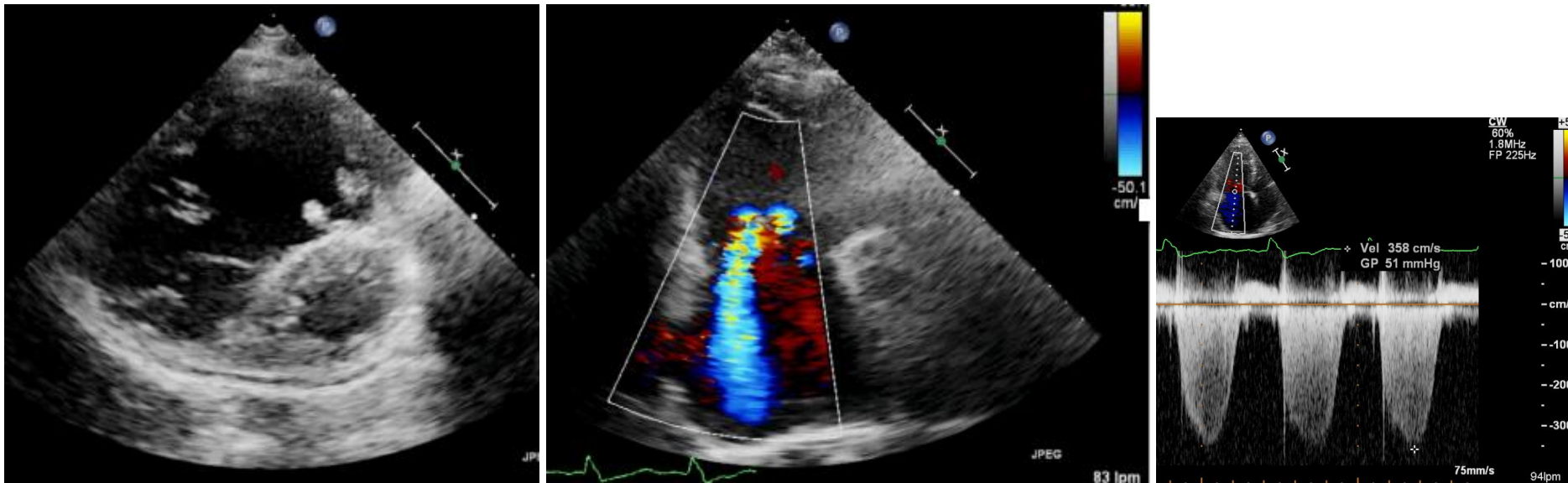
**1st surgery (median sternotomy): connection pulmonary venous confluence with LA + closure of ASD with a patch (33 y.o.)**

**2nd surgery (left thoracotomy): surgical vertical vein ligation (52 y.o.)**

# Case CHD. Restrictive LV - AFR<sup>®</sup>

Currently: patient complaints of dyspnea and angina with minimal exertion.  
FC III NYHA

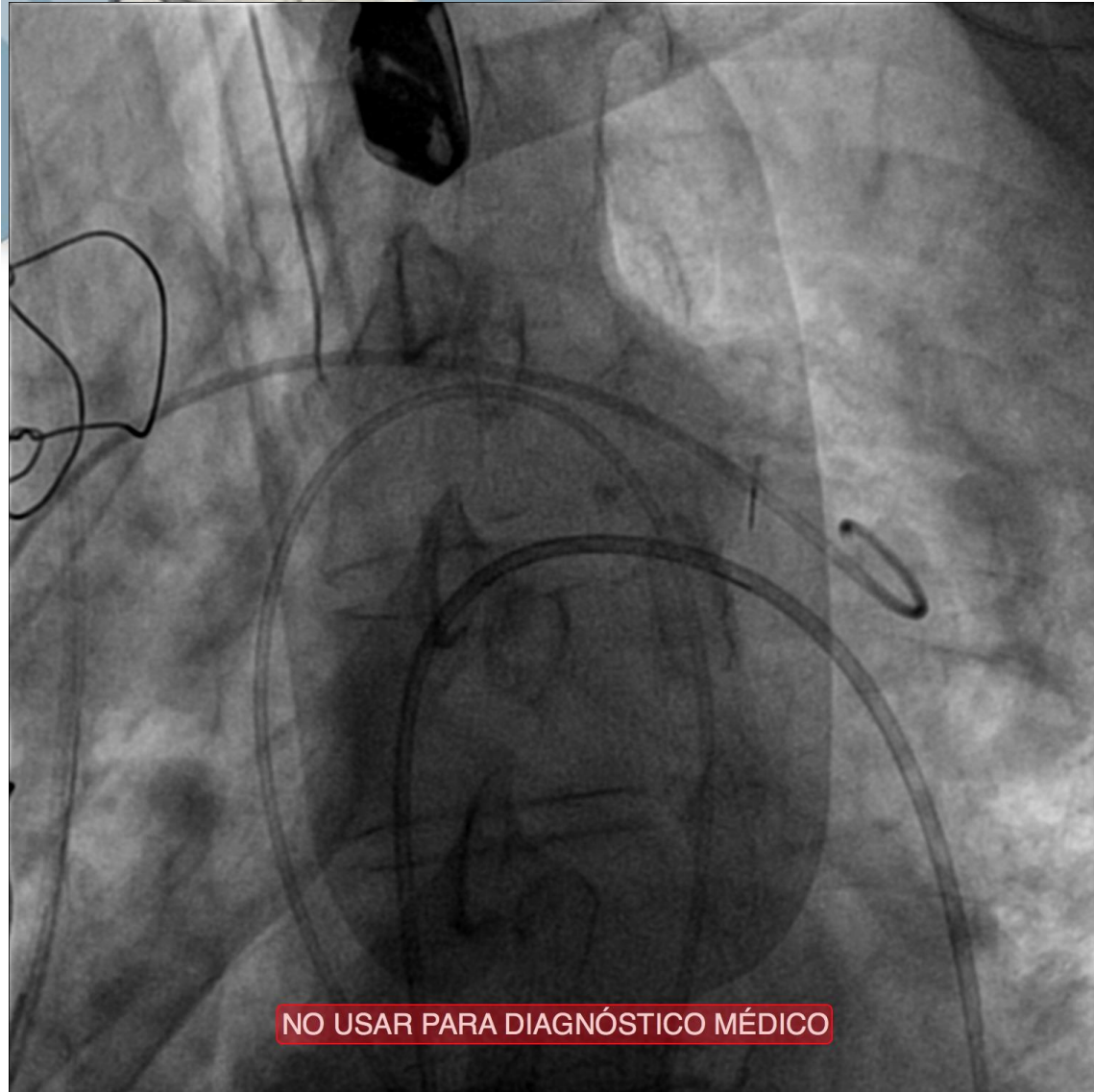
Echo: Enlargement and dysfunction of the right ventricle + severe tricuspid regurgitation + severe pulmonary artery hypertension



MRI: patency of the vertical vein, in spite of previously surgical ligation

# Case CHD. Restrictive LV - AFR®

## Cardiac catheterization

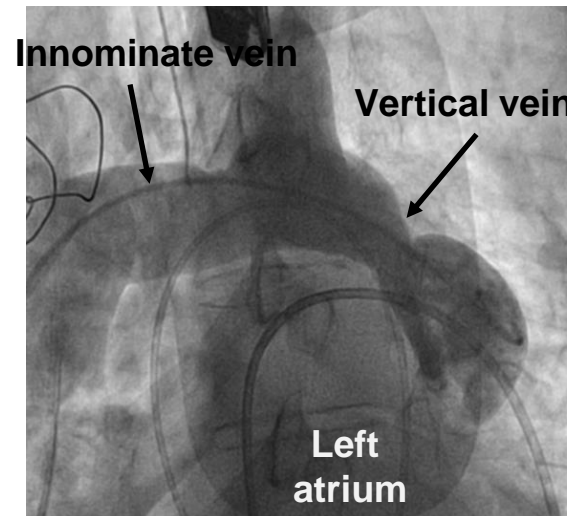
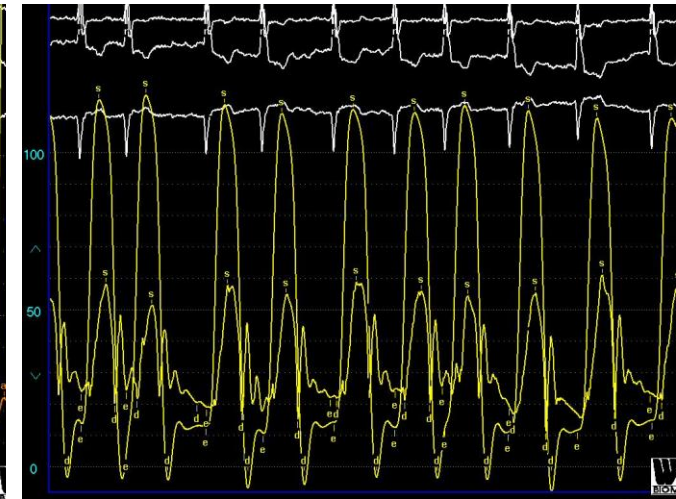
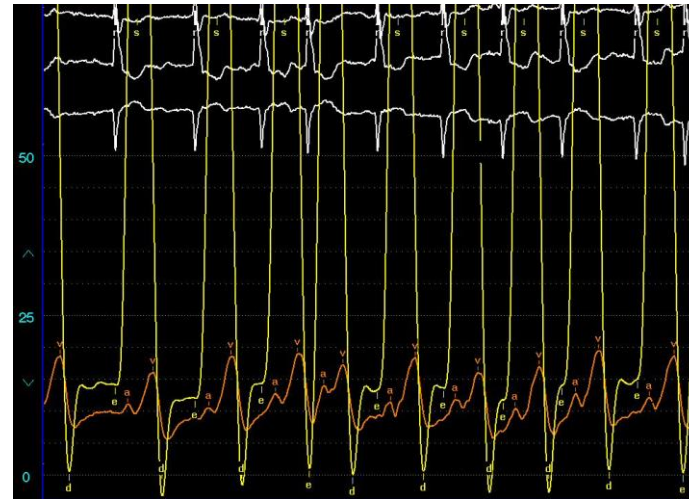


LA 12/18 (12)

LV 110/0-12

PA 56/20 (32)

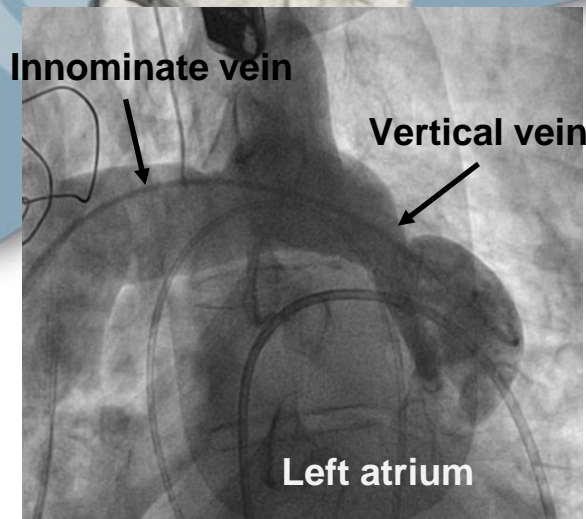
LV 110/0-12



**Saturations: IVC: 57%,  
RA: 77%, PA: 73%, LA:  
90%, LV: 90% .... Qp/Qs:  
2.5:1**

# Case CHD. Restrictive LV - AFR®

**Basal:**

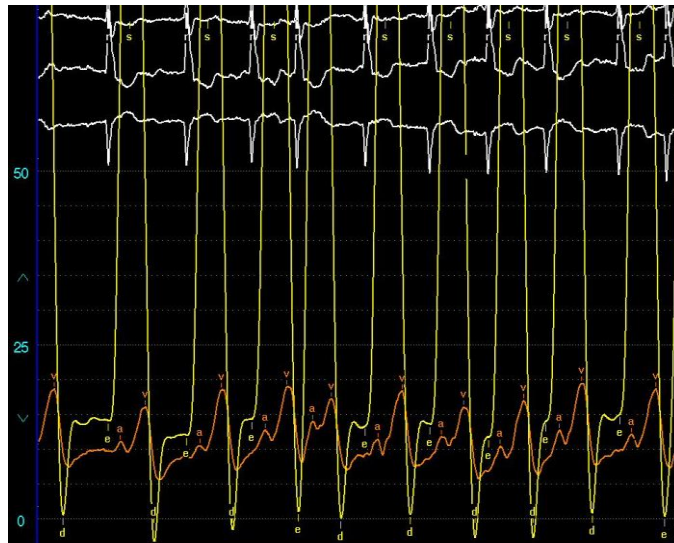


LA 12/18 (12)

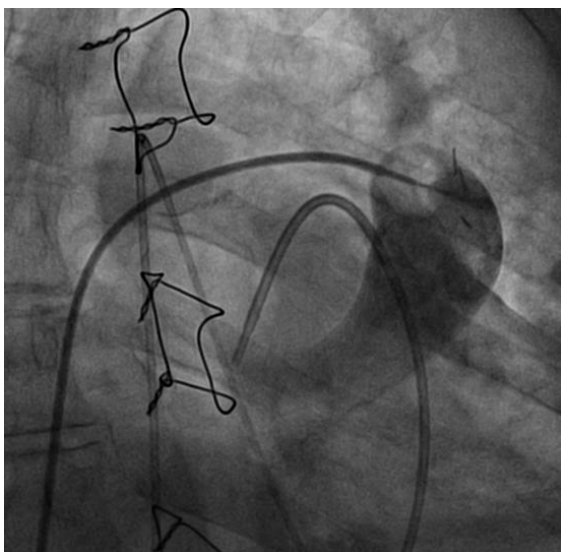
LV 110/0-12

PA 56/20 (32)

LV 110/0-12



**Transient occlusion  
innominate vein:**

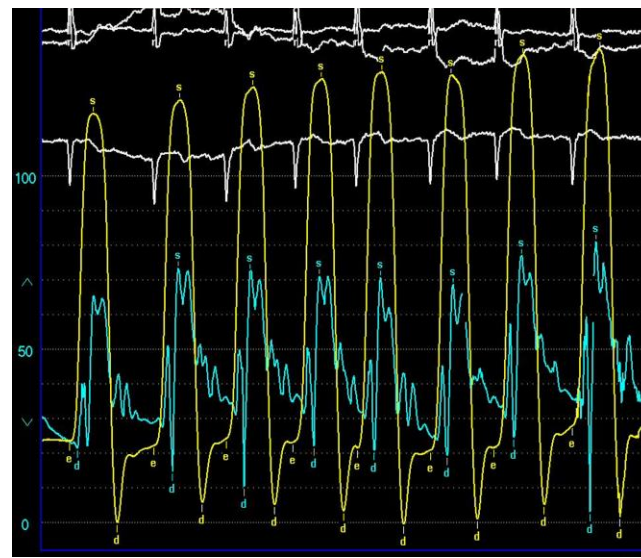
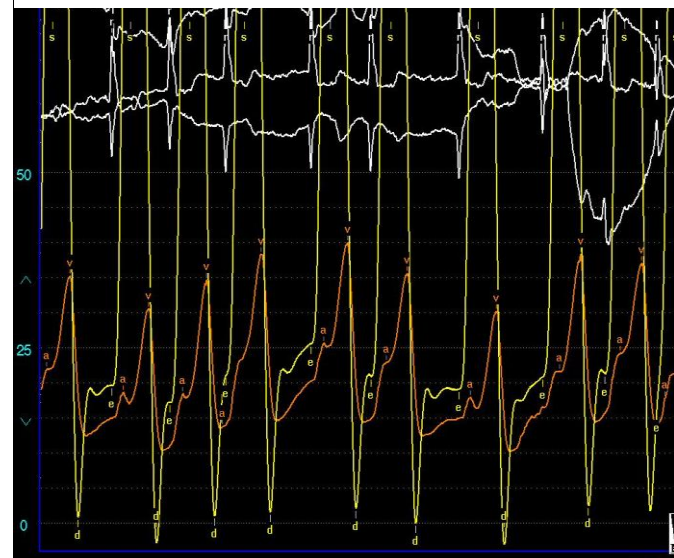


LA 22/36 (22)

LV 120/0-22

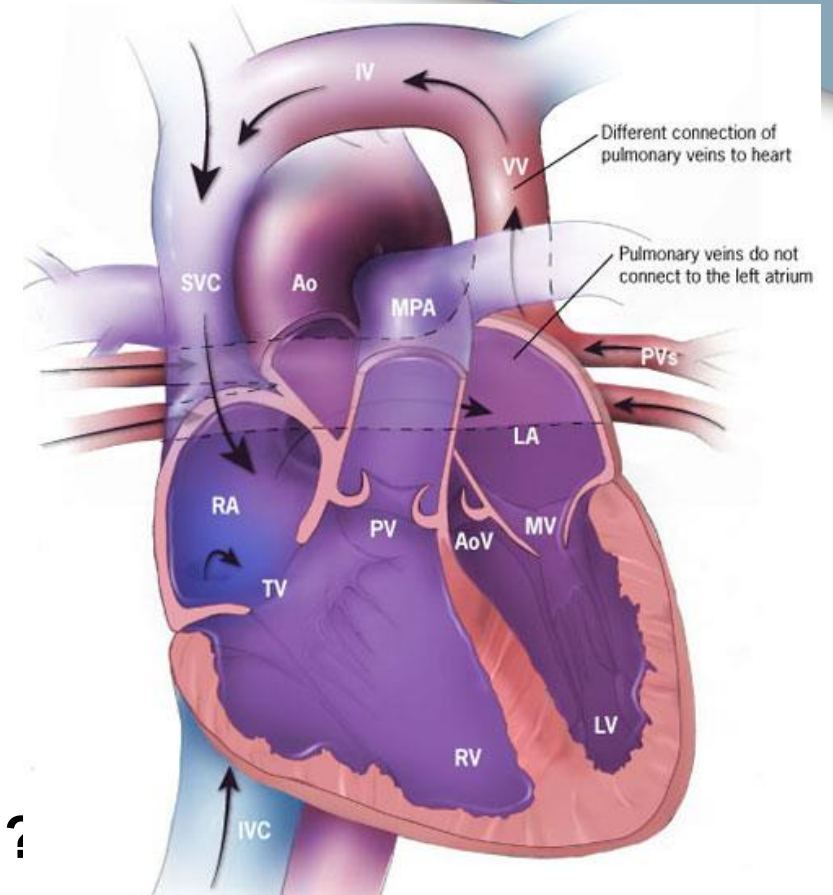
PA 75/20 (22)

LV 134/0-22



**We close temporarily the vertical vein with a balloon: An increase in the left atrium pressure from 12 to 22 mmHg with the patient complaining of chest pain and breathlessness**

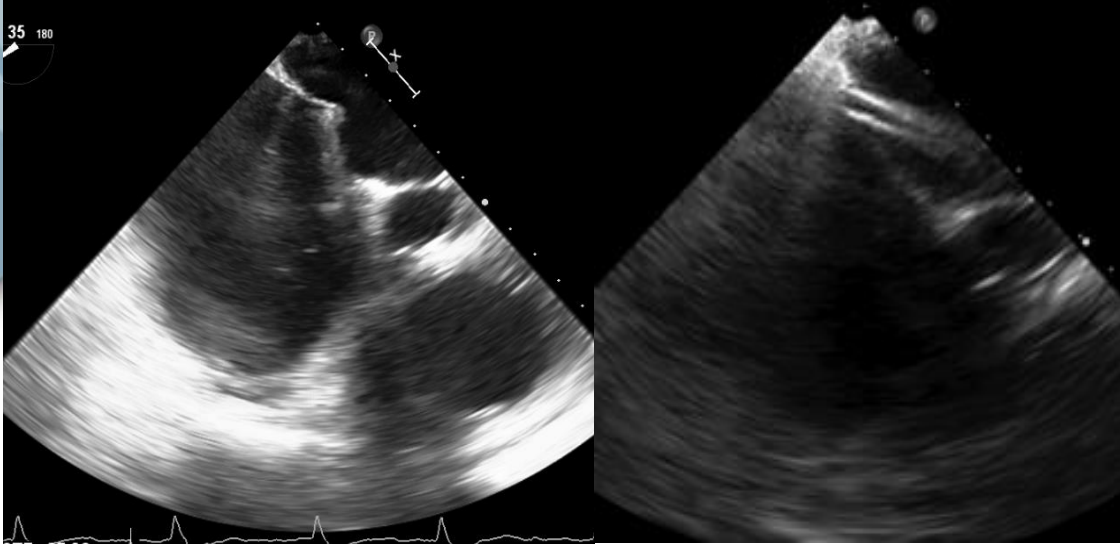
# Best approach?



1. Medical treatment ?
2. Percutaneous closure of vertical vein ?
3. New surgery of ligadure of vertical vein ?
4. Percutaneous fenestrated closure of vertical vein ?
5. **Percutaneous controlled left atrial decompression & closure of vertical vein ?**



**Transseptal puncture of the surgical patch**

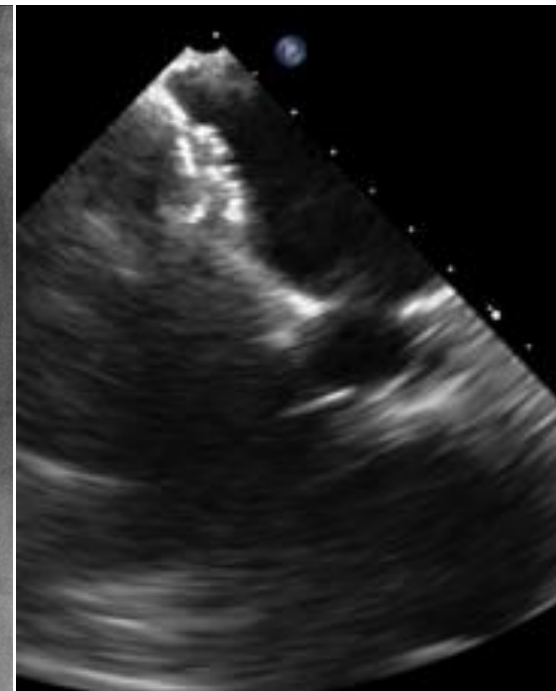
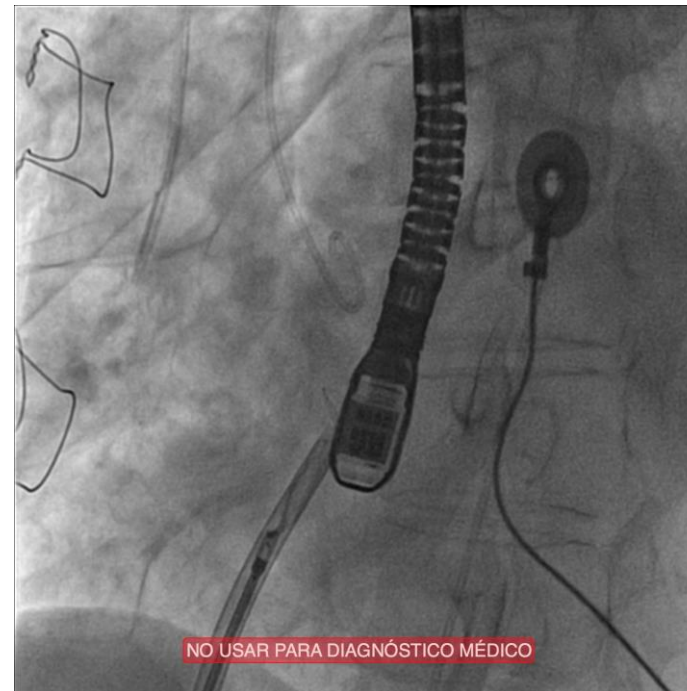
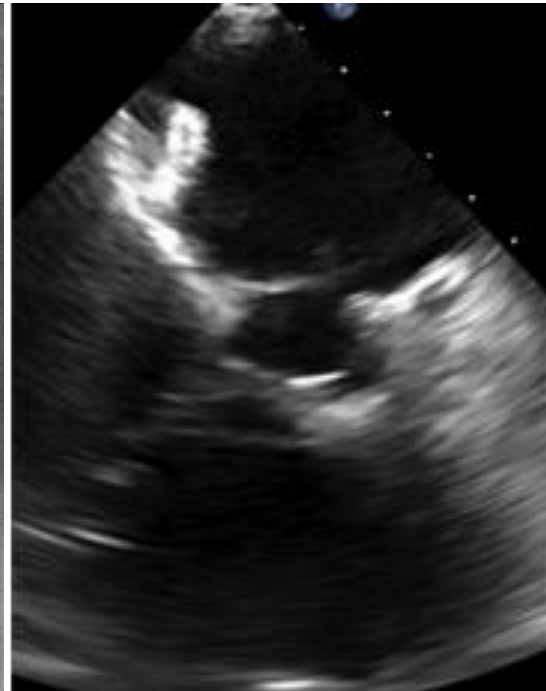
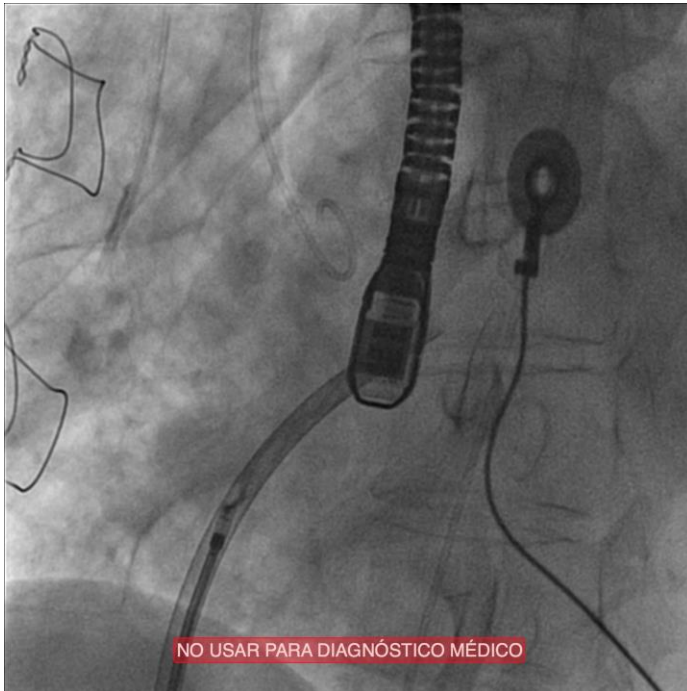


**Left disc deployment**

**Atrial Flow Regulator 8 mm device (Occlutech)**

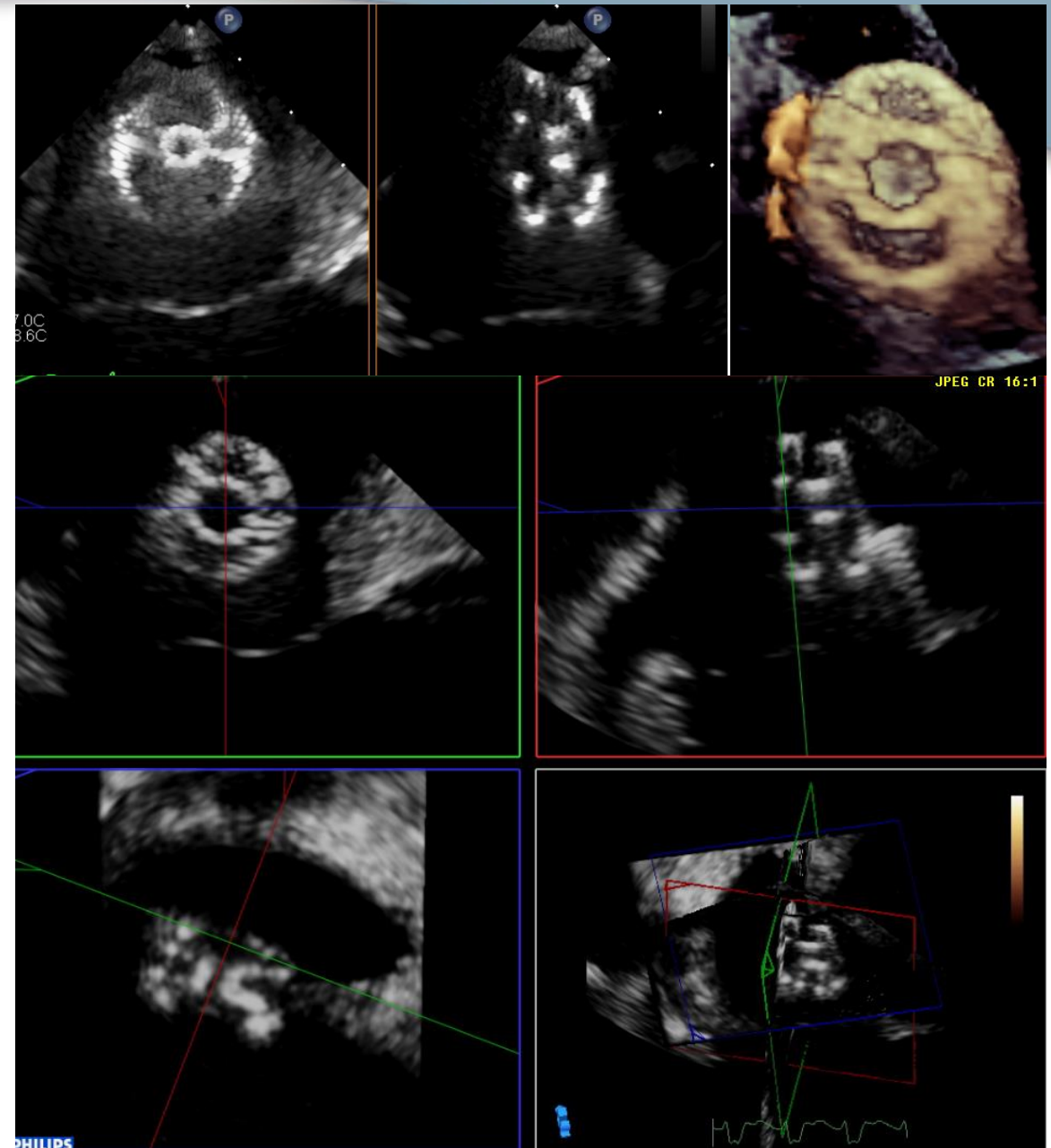
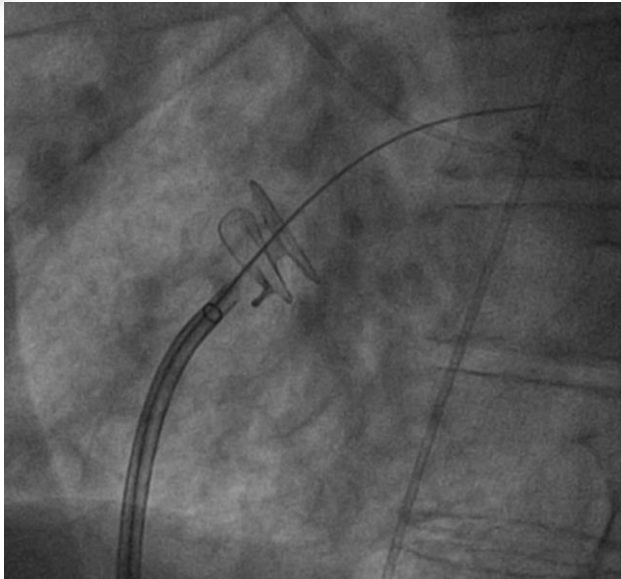


**Right disc deployment**

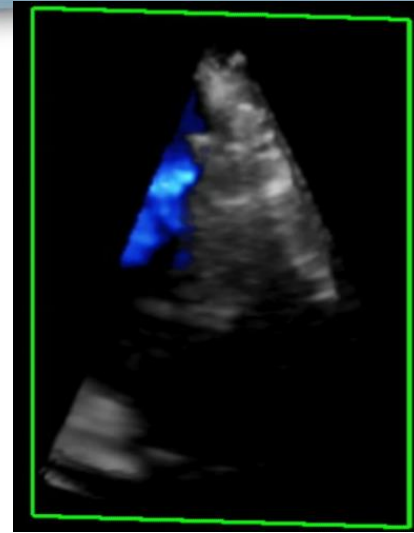
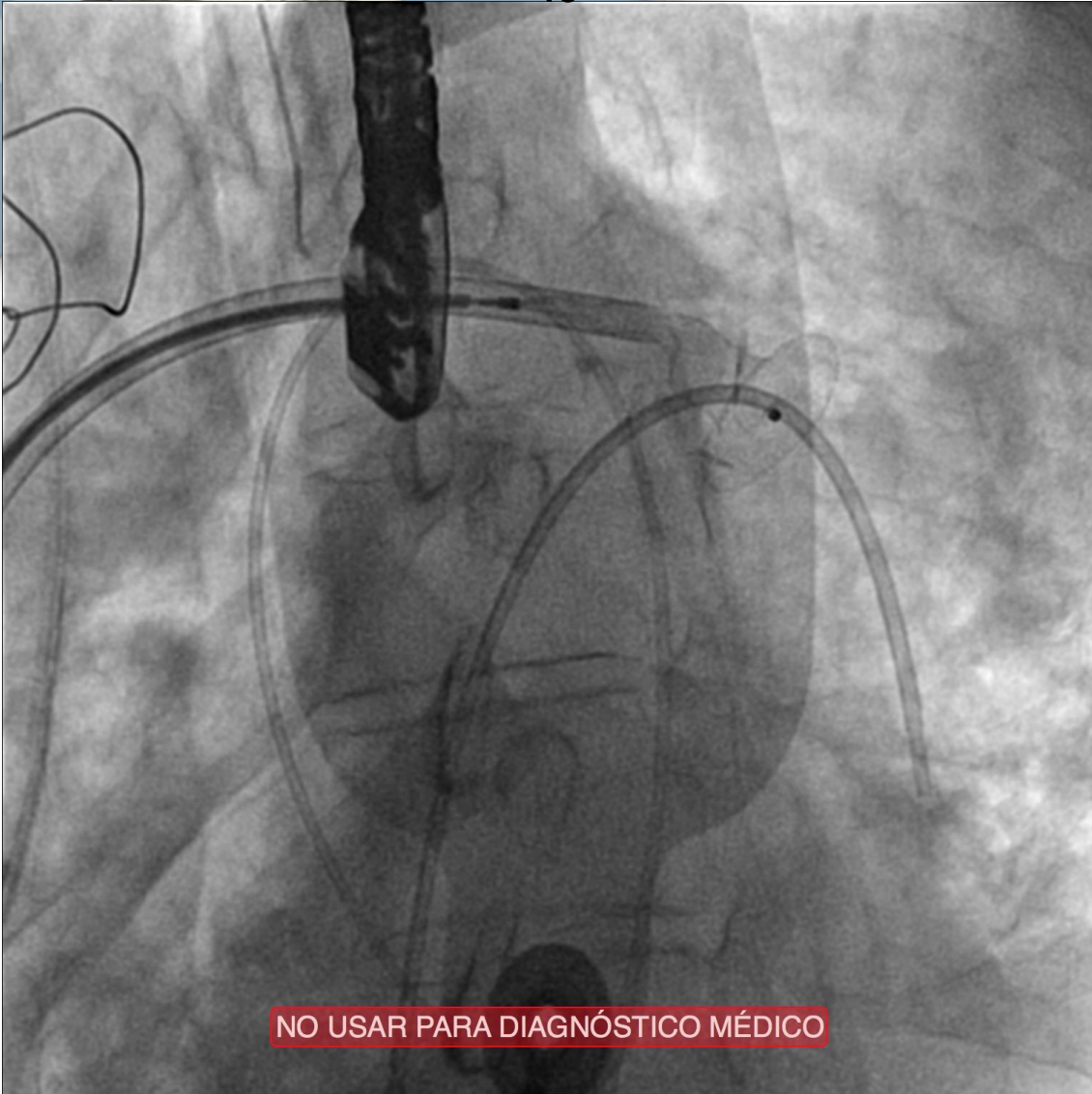




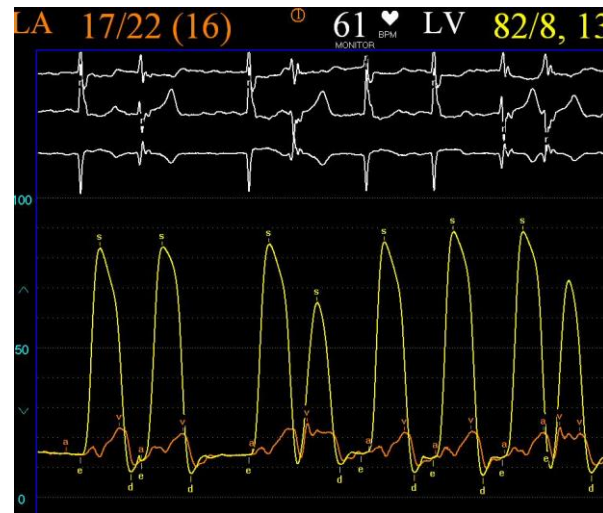
### Post-dilatation Balloon NC 8 mm



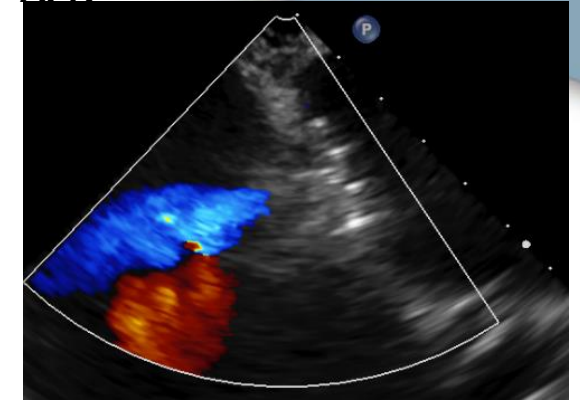
Vertical Vein closure with Amplatzer Muscular VSD n° 18



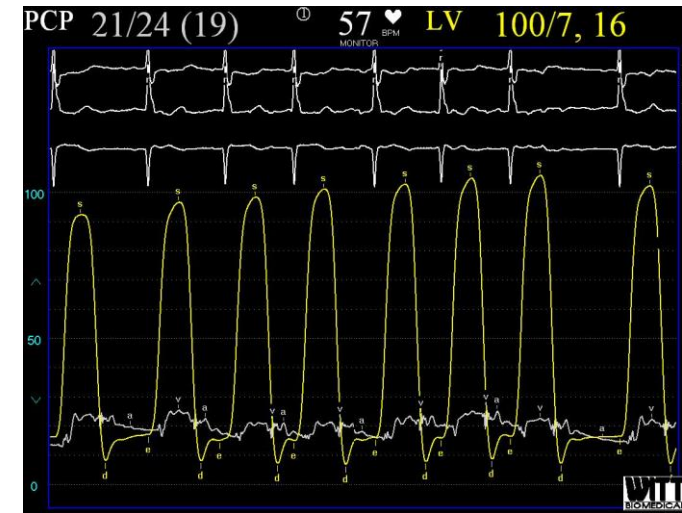
Pre



Left-to-right flow through AFR



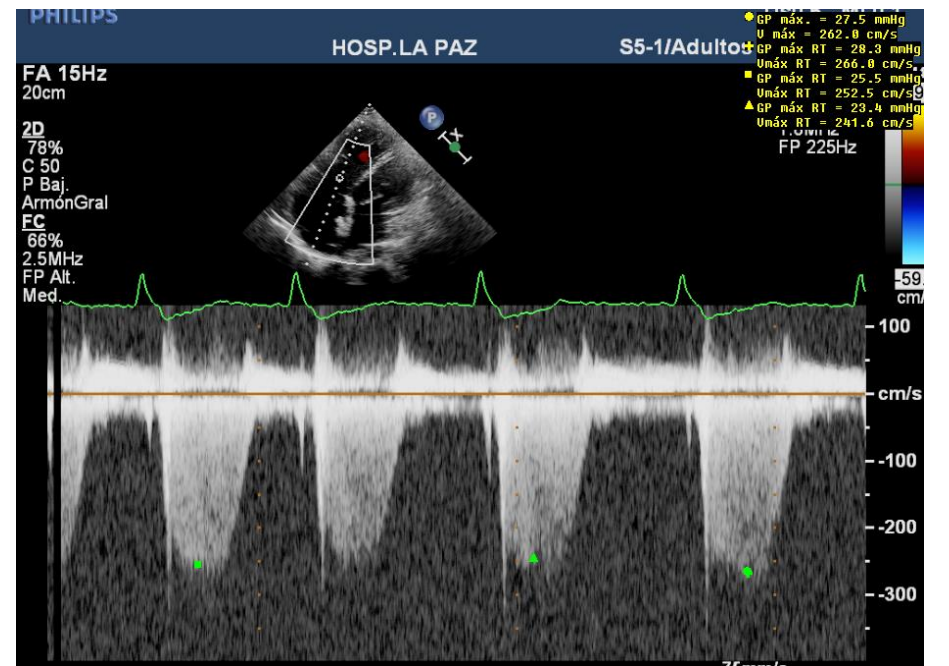
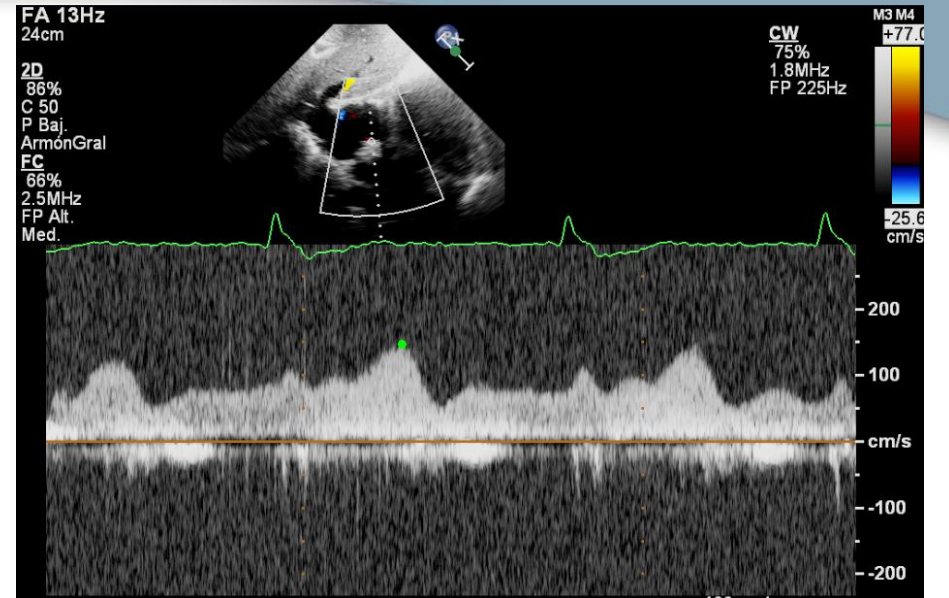
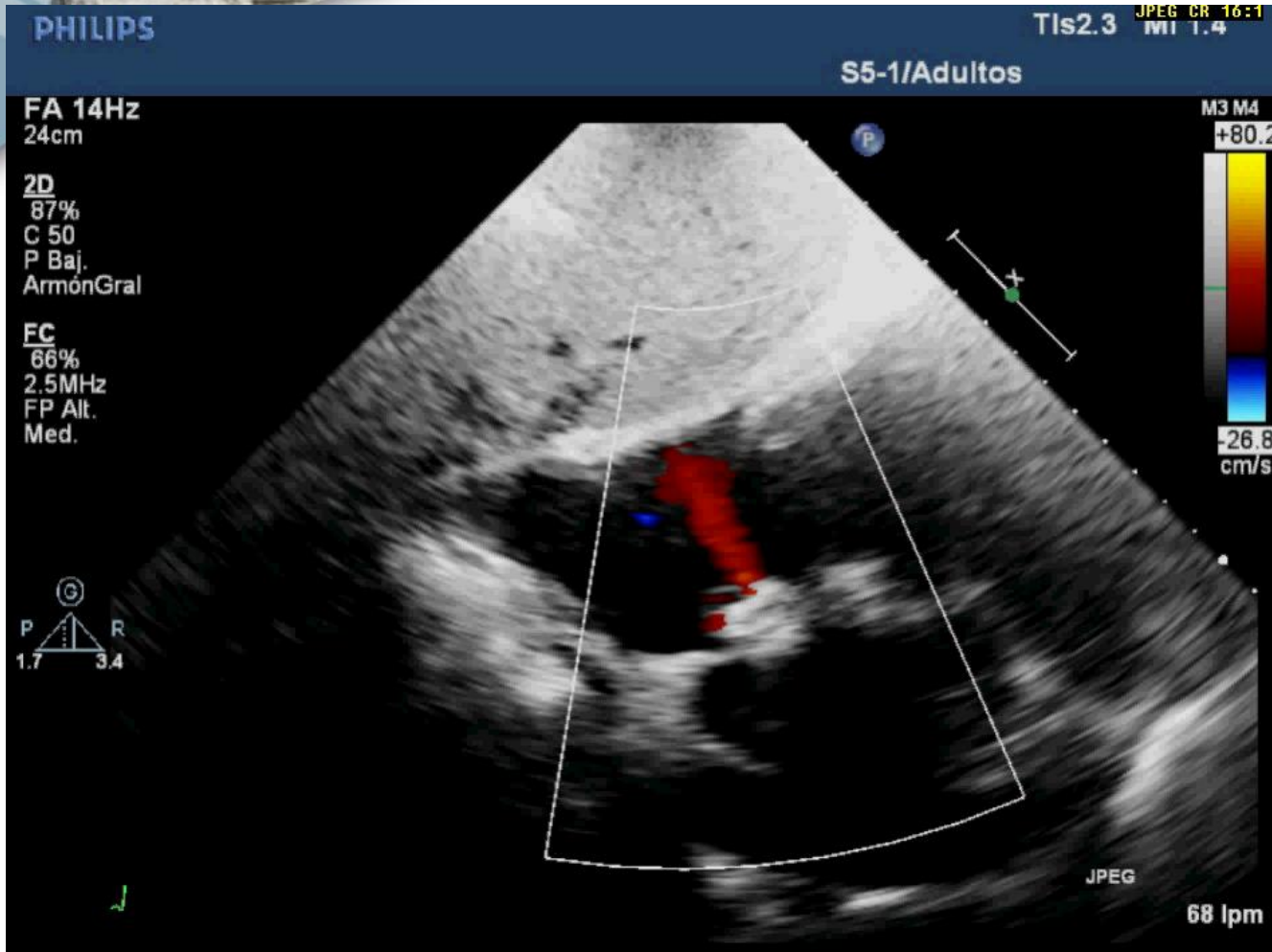
Post



**No left atrium Pressure elevation after vertical vein Occlusion**  
**There is a LA de-compression through AFR device**



# Echo (48 h)

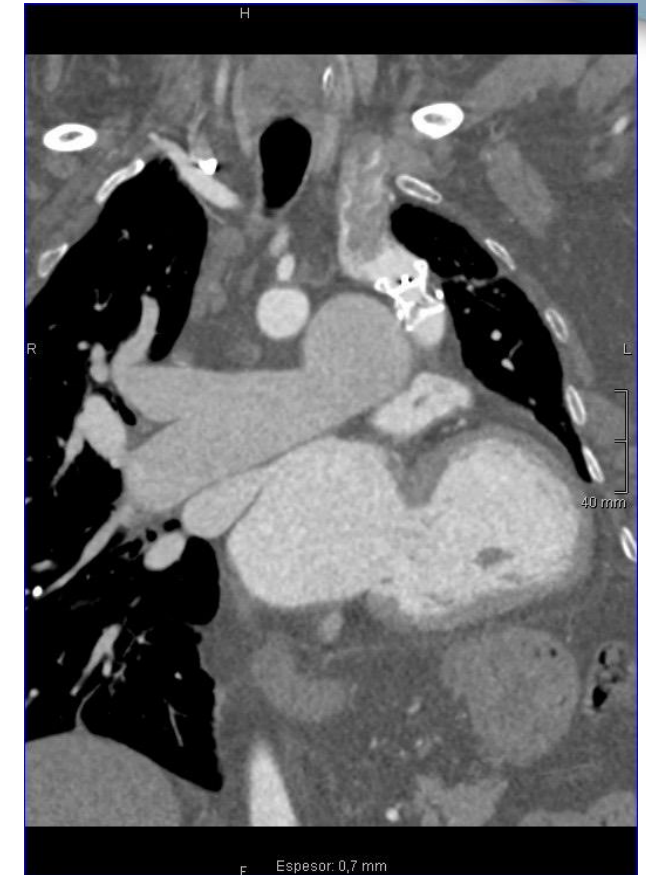
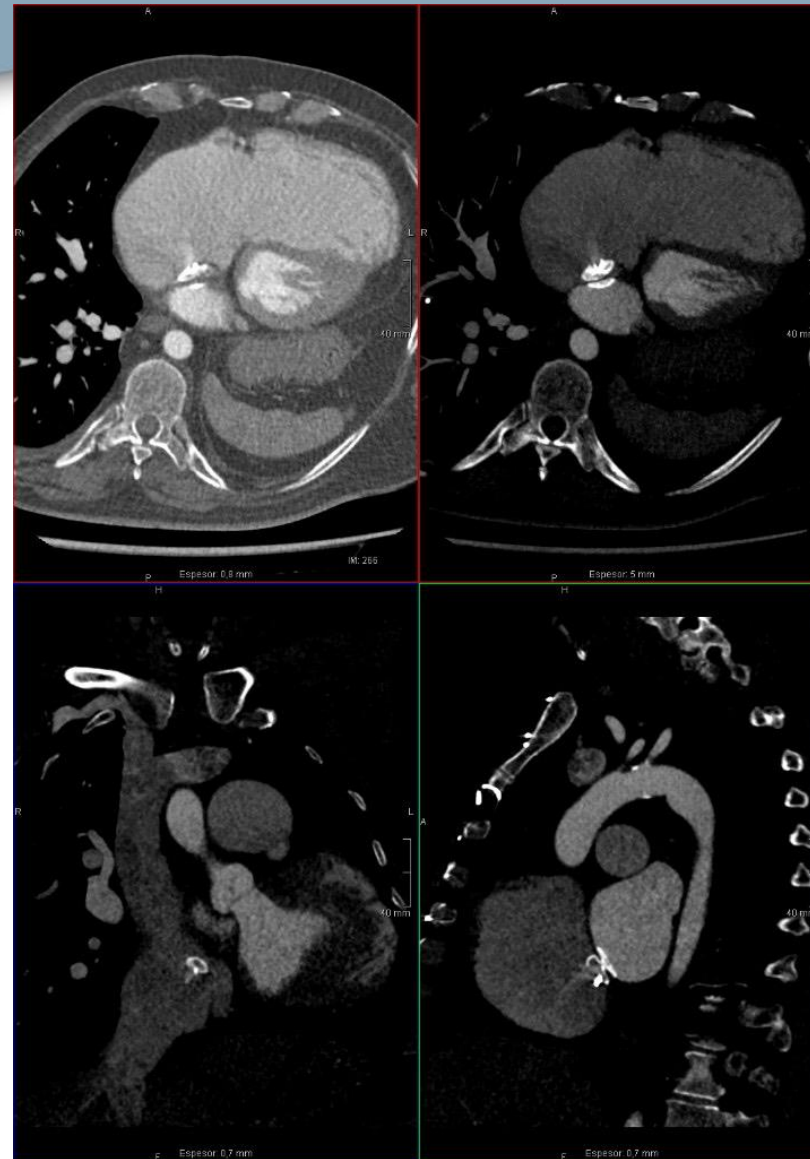


Scientific letter

**Transcatheter closure of a vertical vein in a patient with total anomalous pulmonary venous drainage decompressing the left atrium with an AFR device**

***Cierre percutáneo de vena vertical en paciente con drenaje venoso pulmonar anómalo total previa descompresión de la aurícula izquierda con dispositivo AFR***

Virginia Pascual-Tejerina,<sup>a</sup> Ángel Sánchez-Recalde,<sup>b,\*</sup>  
Federico Gutiérrez-Larraya,<sup>c</sup> José Ruiz-Cantador,<sup>d</sup>  
Luis Rodríguez-Padial,<sup>a</sup> and José L. Zamorano<sup>b</sup>



# New devices....



## ■ Characteristics



1. The stent is in the sheath.



2. The LA part is released from the sheath.



3. The stent is released from the sheath, with a minimum size of 4mm.



4. The waist diameter can be adjusted up to 10mm.



Connection to the RF Ablation Generator.

# New devices....

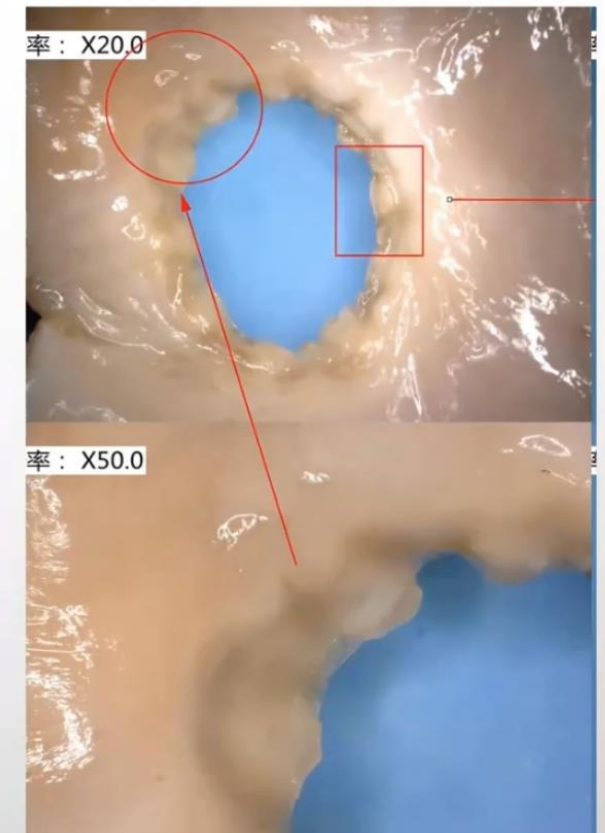
## ■ Ablate as the Stent Expand:



Process



Final result



Microscopic Result

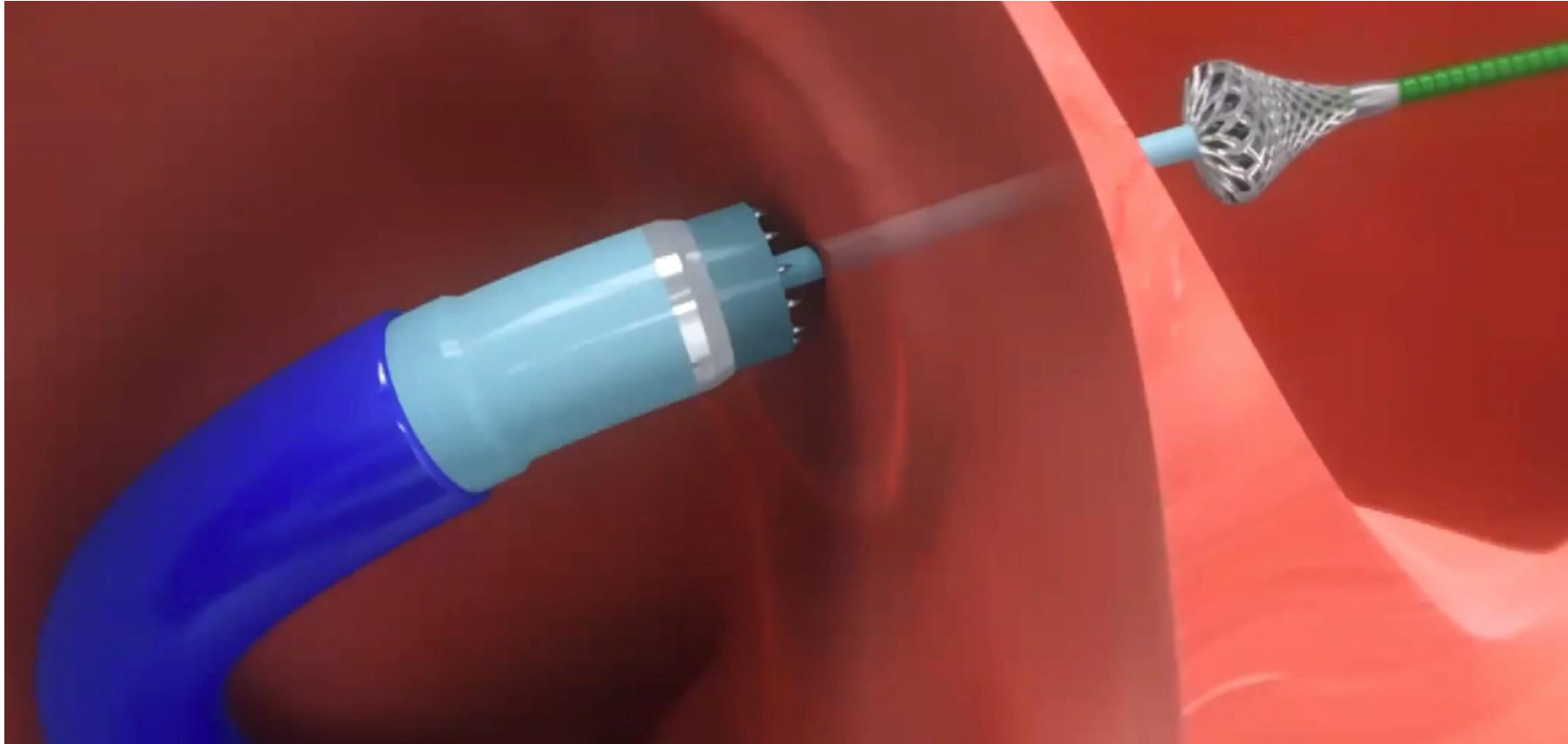
2#

1#

# New devices....

## DOVES: Durability Of Vardi Endocardial Septostomy

Horst Sievert



4-10 mm





# Conclusions

- ✓ **Dynamic elevations in LAP -- worsening HF symptoms, morbidity and mortality**
- ✓ **Lowering LAP may improve symptoms and clinical outcomes pharmacological therapies may not fully address dynamic increases in LAP during HF exacerbations**
- ✓ **Inteartrial shunts (on-demand & self-regulating) lower LAP in both HFrEF & HFpEF**
  - **Very high implant success, excellent device safety and wide patency**
  - **Improved quality of life, functional class, exercise ability, regardless LFEF**
- ✓ **These observations will be further evaluated in the ongoing trials (RELIEVE-HF, REDUCE LAP-HF 2, etc )**